

*Phys.  
Thesis*



BOHR'S ATOMIC MODEL FROM THE STANDPOINT OF THE  
GENERAL THEORY OF RELATIVITY AND OF THE  
CALCULUS OF PERTURBATIONS

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Dedicated to the Memory of my Mother

## Table of Contents

Acknowledgment .....	p.	3.
Abstract .....	p.	4.
Part I. The Bohr-Sommerfeld Atomic Model from the Standpoint of the General Theory of Relativity		
1. General .....	p.	11.
2. A Few Remarks on Relativistic Keplerian orbits .....	p.	13.
3. The Relativistic Keplerian orbit in the hydrogen atom .....	p.	26.
4. The Relativistic Interpretation of the Quantum Theory .....	p.	45.
Part II. The Application of the Calculus of Perturbations to Bohr's At- omic Model		
1. General .....	p.	65.
2. The Methods of Quantization of Epstein, Bohr and Born and Pauli .....	p.	66.
3. The Form of the Integrals of the Equations of Dynamics. Geometrical Discussion of Poincare's Theorem .....	p.	87.
4. The Adiabatic Invariance of Quantized orbits .....	p.	97.
5. The Separation of Variables in Hamilton-Jacobi's equation .....	p.	113.
6. (Appendix) Cauchy's existence proof and its generalization by Poincare. Poincare's per- iodic solutions .....	p.	122.
7. (Appendix) The convergency of the expansion of the pertur- bation function. Theorems of Poincare and Bruns.....	p.	132.

Part III. Appendix

General Introduction to the theory  
of Perturbations.

1. Canonic variables. Contact transformations ..... p. 140.
2. Introduction to the n-body problem ..... p. 144.
3. Laplace's stability theorem .. p. 151.
4. Delaunay's and Poincare's variable. The Perturbation function and its expansion . p. 153.
5. Secular Perturbations ..... p. 159.
6. Periodic solutions. Lagrange's solution of the three-body problem ..... p. 166.
7. Periodic solutions in the vicinity of libration points. Hill's curves ..... p. 169.
8. Periodic solutions of first, second and higher classes .. p. 177.

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Bohr's Atomic Model from the Standpoint of the  
General Theory of Relativity and of the  
Calculus of Perturbations

Abstract

I. In the first part of this thesis, Bohr's atomic model is studied in detail from the standpoint of the general theory of relativity. A) Lenard's arguments: 1) that the theory of relativity stands in no causal relationship to Sommerfeld's theory of fine structure, and 2) that it is not clear why the restricted theory of relativity is sufficient to account for the motion of the electron in the atom, whereas the general theory is required to explain the anomaly of the perihelion of Mercury, are examined at length. The former objection naturally leads to an examination of the more general question: Does the metric of space-time stand in a causal relationship to the advance of the perihelion of Mercury? It is shown that

$\alpha$ ) The Newtonian equations of dynamics are obtained from the geodesic equations in a four-dimensional continuum by cancellations which, so far as our analysis can show, are purely arbitrary.

$\beta$ ) Complementing Le Roux's and Painlevé's objec-

tions to the arbitrariness of Schwarzschild's solution of Einstein's gravitational equations  $G_{\mu\nu} = 0$  in a static sphero-symmetrical gravitational field, it is proved that Schwarzschild's result is arrived at by a series of suitable restrictive arbitrary assumptions. There exist in fact an infinite number of solutions of the gravitational equation consistent with the definition of static, spherical and symmetrical field and the choice of the Schwarzschildian form must be regarded as purely arbitrary. It follows that Einstein's equation is insufficient to fix uniquely the relativistic Keplerian orbit.

1) Von Gleich's and Zaremba's analysis are discussed in detail and found to hold. The argument may be summed up as follows: For motions in a central field the dynamic equations of classical mechanics must be supplemented by a "relativistic" equation, but this last equation is arbitrary because several forms of this equation lead to the same formula for the perihelion advance. Hence it cannot be maintained that the metric of space-time stands in a necessary causal relationship to the anomaly of the perihelion. The general conclusion is that Lenard's first objection holds.

2) Using Nordström's fundamental form in a static sphero-symmetrical material field and Weyl-Eddington's equation of motion of the electron in this field it is

shown:  $\alpha$ ) that the motion is plane,  $\beta$ ) that the curvature of the space-time in the region around the nucleus almost vanishes (Laue's condition),  $\gamma$ ) that the field of the nucleus is very near static,  $\delta$ ) that it is justified to treat hydrogen or hydrogenic atoms as a relativistic one-body problem,  $\epsilon$ ) that our more general equation of the orbit reduces to Sommerfeld's equation if conclusions  $(\alpha)$  to  $(\delta)$  are taken into account,  $\theta$ ) that Einstein's equation for planetary motion follows from our equation of the orbit if the terms depending on electric charges vanish,  $\eta$ ) that condition  $(\beta)$  is not satisfied for planetary motion but condition  $(\gamma)$  is.  $\zeta$ ) that the general relativistic Keplerian orbit can be obtained by the familiar method of the calculus of perturbations and yields results in accordance with rigorous analysis. In closing, the weakness of the Weyl-Eddington equation of motion is discussed.

B. An attempt is made to bring the quantum theory into relativity. Following on the work of Mecke, it is shown that the two fundamental postulates of the quantum theory: minimal principle, and continuity principle can be brought in harmony with relativity and lead to the following results:  $\alpha$ ) an explanation of the existence of unmechanical orbits in the atom,  $\beta$ ) a unified interpretation of Bohr's second postulate (monochromatic radia-



tion) and his principle of correspondence. Mecke's "integral principle" (atomistic conception of action) can also be brought into the body of the theory of relativity, but, if it is to have any usefulness, privileged coordinate systems must be introduced. On the author's view, this is due entirely to the artificiality of our present quantum conditions and shows no weakness of the main argument. The fundamental meaning of the relativistic two-body problem, in which probably the periodic solutions are the natural quantized states, irrespective of any quantum rules, is brought out. It is shown next that the electron problem is not yet sufficiently developed to warrant an attack on the quantum problem from that side. In closing, Mohorovicic's objection that "different geometries hold at the same spot of the universe" (ex. atom in gravitational field)<sup>15</sup> examined and found to be untenable.

II. A. In the second part of this investigation, the applicability of the calculus of perturbations to the Bohr atom with more than one electron is studied in detail. The methods of quantization of Epstein, Bohr and Born and Pauli are examined. It is shown that it follows directly from the form of the integrals of the equations of dynamics, as determined by the classical theorems of Poincaré and Bruns, that neither of these methods can converge. In Epstein's case nothing is known

as to the remainder, and he disregards altogether a possible libration of the momenta of the intermediate orbit, a case which cannot be handled by Delaunay's method, which he uses. The contention of Born and Pauli, that the semi-convergency of the Newcomb-Lindstedt or Bohlin expansion of the perturbation function is sufficient for practical atomic problems, is shown to be untenable. Stress is laid on the fundamental significance of commensurabilities in periodic times in those methods that utilize the Newcomb-Lindstedt expansion and the impossibility of introducing angle variables in certain cases. For continuity reasons, it is concluded that neither the solution of Epstein nor that of Born and Pauli are compatible with the adiabatic hypothesis or the correspondence principle. The decisive advantages of periodic solutions and the appropriateness of Bohr's method as a first approximation, in those cases to which it applies, is brought out clearly. The general conclusions: For purely analytic reasons, the calculus of perturbations is not in general applicable to the atomic system with more than one electron.

B. Following Fermi, Poincaré's theorem is discussed from a geometrical-statistical standpoint. We establish the following theorem: Except for the dynamical system of one degree of freedom, no continuous analytic hypersurface exists in phase-space, besides the energy-surface,

which can wholly contain a dynamical trajectory issued from one of its points. This holds under conditions which are explicitly stated.

C. An attempt is made to extend the adiabatic theorem to non-conditioned-periodic systems. The method of attack is geometrical-statistical and the result is in the main negative. We establish the following theorems:  $\alpha$ ) Any dynamical system admitting a single integral, uniform and independent of time, admits an adiabatic transformation leaving this characteristic adiabatically invariant. As a particular corollary we have the well-known theorem that the energy of a quasi-ergodic system is adiabatically invariant, and the adiabatic invariant of harmonic motion.  $\beta$ ) If a dynamical system admits more than one integral uniform and independent of time, none of these integrals is in general an adiabatic invariant. An exception is, for instance, the phase-integrals of a conditioned periodic system.

The results of Krutkow and Fock and Kneser are analyzed and discussed. In closing, the difficulties standing in the way of a generalization of the concept of adiabatic invariance to systems of higher degree of freedom are discussed, following Ehrenfest. It is pointed out that the theorem of the conservation of dimensions for the transformation from W, I-phase-space to

$p, q$ -phase-space involves only differentiability conditions, which for physical reasons are satisfied in all likelihood, but no rigorous proof is given. Ehrenfest and Breit's example of the rotating dipole is explained from the standpoint of our general discussion.

D. A discussion is given of the separation of variables in Hamilton-Jacobi's equation, embodying the condition of separability of Levi-Civita, the latter's theorem on the separability of the variables in the kinetic energy and Dall'Acqua's proof of the separable forms of the potential.

E. For purposes of ready reference, Cauchy's existence proof and its generalization by Poincaré, Poincaré's periodic solution, the convergency of the expansion of the perturbation function and the theorems of Poincaré and Bruns are given at the end.

III. An appendix is added collecting certain classical results of celestial dynamics which are made use of in the text.

Part I, The Bohr-Sommerfeld Atomic Model from the  
Standpoint of the General Theory of Relativity

1. General

The mathematical meaning of the restricted theory of relativity is contained in the Lorentz transformation. That more is implied in the Lorentz group than was originally supposed in 1905 by Einstein is something which can be safely said.<sup>(1)</sup> By applying the restricted theory of relativity to Bohr's model of the hydrogen atom, Sommerfeld was able to give a complete satisfactory theory of the fine structure of hydrogen lines and, besides, important extensions to the theory of the general systematization of Röntgen spectra.<sup>(2)</sup> But soon Lenard<sup>(3)</sup> cast two grave doubts on Sommerfeld's interpretation of the fine structure: Why is it that restricted relativity is sufficient to account for the advance of the perihelion of an electronic orbit in an atom, whereas general relativity is necessary to explain this advance in the case of the planetary system? Does the theory of relativity stand in a causal relationship to the theory of fine structure? The answer to the first of these questions was quite apparent to the writer early in 1923, while studying De Donder's "La gravifique einsteinienne" (Paris, 1921). That there are some very

deep-seated reasons why the restricted theory is sufficient to account for electronic motions, whereas the general theory is necessary to calculate planetary motions, will be shown in the sequence.

On the other hand, the correctness of Lenard's contention that there exists no necessary causal relationship between relativity and perihelion advance must be recognized. Aside from arguments of a very general kind which will be brought forth presently, it should be quite carefully emphasized at the very start that Paschen's experimental verification of Sommerfeld's theory of <sup>(1a)</sup> fine structure cannot be taken to be a proof of the restricted theory of relativity, but of any theory which leads to a law of variation of electronic mass  $m = m_0 / (1 - v^2/c^2)^{1/2}$ , <sup>(2a)</sup> as clearly shown by Lenard. Further, Paschen's figure for the fundamental hydrogen doublet  $\Delta\nu_0 = 0.365 \text{ cm}^{-1}$  <sup>(3a)</sup> has been questioned very recently by Gehrcke and Lau, who find  $\Delta\nu_0 = 0.292 \text{ cm}^{-1}$ , <sup>(4a)</sup> in accordance with Abraham's mass formula for a rigid electron. For the present, the question rests altogether in the hands of the experimental physicist.

A few Remarks on Relativistic Keplerian Orbits.

One of the best known results of Einstein's gravitational theory is the explanation of the advance of the perihelion of planetary orbits. In this paper we are largely concerned with the theory of electronic orbits in hydrogenic atoms from the standpoint of general relativity. The discussion of specific phases of the problem is left for later sections, but at the very outset of the investigation a question of fundamental importance presents itself for consideration: Is it a fact that the metric properties of space-time stand in a causal relationship to the advance of the perihelion in a relativistic Keplerian orbit? On account of the obvious fact that all future deductions are based upon the answer to this query, it might be properly taken up here.

The problem has been discussed from different angles by a number of writers, notably by Zaremba.<sup>(4)</sup> After a profound examination of the postulates of the theory of relativity he arrives at the important conclusion that "dans tous les cas où les relativistes ont crû avoir démontré qu'une proposition, confirmée par l'observation, est une conséquence de la théorie de la relativité, ils ont appuyé leur thèse par des considérations basées non seulement sur les hypothèses de la relativité, mais en-

core sur quelque affirmation gratuite ou sur des hypothèses logiquement incompatibles avec celles de la relativité". Further, he states that the postulates of the theory of relativity are insufficient to establish a correspondence between measurements and the numerical values of the symbols entering into the formulae of the theory: "Avant de parler de l'accord ou du desaccord de la théorie de la relativité avec les faits observés, il faudrait la compléter au moyen d'hypothèses additionnelles". However, he is careful to add that "les résultats précédents ne constituent cependant nullement une réfutation de la théorie de la relativité" because it has not been shown that it is impossible so to complete the postulates of the theory of relativity as to transform it into a theory in which we may actually speak of a confirmation of theory by experiment. All this seems to point out that a re-examination of the problem is not only opportune, but necessary and unavoidable.

We are not concerned at all here with the numerous attacks which, for metaphysical or other reasons, <sup>(5)</sup> have been made from time to time against the theory of relativity. We approach the question, with due reverence to the formidable creative work of Einstein and Weyl, from the point of view of the mathematical physicist only.

The theory of the geodesics, which had already yield-



ed such beautiful results in classical dynamics, led Einstein<sup>(6)</sup> to the following problem: What is the relation between the equations of the geodesics

$$\frac{d^2 x_k}{ds^2} + \left\{ \begin{matrix} i & j \\ & k \end{matrix} \right\} \frac{dx_i}{ds} \frac{dx_j}{ds} = 0 \quad (i, j, k = 1, 2, 3, 4) \quad (1)$$

and the equations of motion of classical mechanics

$$\frac{d^2 x_k}{dt^2} - \frac{\partial U}{\partial x_k} = 0 \quad (k = 1, 2, 3) \quad (2)$$

In this last equation  $U$  is the gravitational potential.

It may also be written in the well-known form:

$$\frac{d^2 x_k}{dt^2} + \frac{\kappa^2 x_k}{r^3} = 0 \quad (2a)$$

Here  $r^2 = \sum_{k=1}^3 x_k^2$ ,  $\kappa$  is Gauss' constant,  $\kappa^2 = n^2 a^3 / (1+m)$ , if  $n = 2\pi/T$  is the mean angular velocity,  $T$  the periodic time,  $a$  the semi-major axis in astronomical units,  $m$  the ratio of the mass of the planet to the mass of the sun. Since  $m$  is very small compared to 1, the above relation is usually written  $\kappa^2 = n^2 a^3$ .

In the relativity theory,  $x_4 = ct$ , where  $t$  is the relativistic time, which of course is not independent of space coordinates. The above equation (2a) is therefore written in terms of the variable  $x_4$ :

$$\frac{d^2 x_k}{dx_4^2} + \frac{\kappa^2}{c^2} \frac{x_k}{r^3} = 0 \quad k = 1, 2, 3 \dots \quad (3)$$

If by  $t$  we mean the independent variable of the problem, i.e. the old "absolute" time, then in classical mechanics we obviously have  $\ddot{x}_4 = 0$ . Not so in relativity, for

the relativistic time  $x_4$  is no more the independent variable, because it is connected to the space coordinates by the postulate that the square of the linear element in four-dimensional space-time is an invariant with respect to affine transformations, i.e. by the condition equation  $ds^2 = g_{\mu\nu} dx^\mu dx^\nu = \text{invariant} \dots (4)$

From this it follows immediately that (1) and (2) can never be rigorously identifiable, but only with greater or less approximation. The necessity of a metric based on a quadratic fundamental form has been discussed by Weyl and proved by Cartan. <sup>(7)</sup>

In order to identify (2a) with (1), Einstein sets as a first approximation  $ds = dt = dx_4$  <sup>(8)</sup> Since both  $ds$  and  $dx_4$  contain the factor  $c$ , he neglects  $dx_k/ds$  for  $k = 1, 2, 3$ , in equation (1). Otherwise this is justified because the planetary velocity is negligible compared with the velocity of light. From (1) Einstein gets

$$\frac{d^2 x_k}{dt^2} + \left\{ \begin{array}{c} 44 \\ k \end{array} \right\} = 0 \quad k = 1, 2, 3.$$

and assuming that  $g_{44}$ ,  $g_{11}$ ,  $g_{22}$ ,  $g_{33}$  and the remaining  $g_{\mu\nu}$ 's differ from 1, -1, 0, respectively, by small quantities of the first order, we have, from the definition of the Christoffel symbols of the first and second kind

$$g^{\mu\sigma} \left[ \begin{array}{c} \lambda\nu \\ \mu \end{array} \right] = \left\{ \begin{array}{c} \lambda\nu \\ \mu \end{array} \right\}$$

of the 10 different components of the covariant funda-

mental tensor  $g_{\mu\nu}$  only four remain in a first approximation, one for each of the four equations (1). We then get  $d^2 x_4 / dt^2 = 0$ , as in classical mechanics and the remaining equations (1) give

$$\frac{d^2 x_k}{dt^2} = \begin{bmatrix} 44 \\ k \end{bmatrix} \quad k = 1, 2, 3.$$

Here the  $g_{k4}$  which involve space and time are rather unwieldy, so Einstein sets them simply equal to zero. The writer is unable to see that there is any other reason for this except the desire to obtain equation (2) and must therefore regard this as purely arbitrary. But the advantage gained is great, for now we have simply

$$\begin{bmatrix} 44 \\ k \end{bmatrix} = - \frac{1}{2} \frac{\partial g_{44}}{\partial x_k} \quad k = 1, 2, 3.$$

and we need only ~~state~~ -  $\partial g_{44} / \partial x_k = 2 \partial v / \partial x_k$  to obtain the desired identification. But we cannot conclude from this arbitrary procedure that the relativistic equations of motion ( i.e. the geodesic equations) "reduce" to the Newtonian equations as a first approximation. For as shown above, setting  $2v = 1 - g_{44}$  is the result of purely arbitrary cancellations, which, as far as one can see, are exclusively born of the wish to

reduce  $\begin{bmatrix} 44 \\ k \end{bmatrix}$ , to  $-\frac{1}{2} \frac{\partial g_{44}}{\partial x_k}$ . If our standpoint is

correct, the statement of Einstein "Das Merkwürdige an diesem Resultat ist, dass nur die Komponente  $g_{44}$  des

Fundamentaltensors allein in erster Näherung die Bewegung des materiellen Punktes bestimmt" is difficult to understand.

Let us now examine the advance of the perihelion of a planet more closely. In a recent article, G. von Gleich <sup>(9)</sup> has shown that any law of attraction gives results for the advance of the perihelion of a planet (Mercury in his example) which differ from the general relativity formula only by a numerical factor. Thus, <sup>(10)</sup> the latter gives the well-known expression

$$\Delta\phi = \frac{24\pi^3 a^2}{T^2 c^2 (1-e^2)} \quad (e = \text{eccentricity}) \quad (43'' \text{ per century}) \quad (11)$$

while restricted relativity gives

$$\Delta\phi = \frac{4\pi^3 a^2}{T^2 c^2 (1-e^2)} \quad (7'' \text{ per century})$$

Weber's theory which assumes a law of attraction

$$F = - \frac{k^2}{r^2} \left[ 1 - \frac{1}{c^2} \left( \frac{dr}{dt} \right)^2 + \frac{2r}{c^2} \frac{d^2 r}{dt^2} \right] \quad (12)$$

and Neumann's, which sets

$$F = \frac{k^2}{r^2} \left( 1 - \frac{1}{c^2} \frac{dr}{dt} \right) \quad (13)$$

give  $\Delta\phi = \frac{8\pi^3 a^2}{T^2 c^2 (1-e^2)} \quad (14'' \text{ per century})$

Riemann's law with a potential <sup>(12a)</sup>

$$k^2 \left( \frac{1}{r} - \frac{1}{c^2} f(x,y,z, \frac{dx}{dt}, \frac{dy}{dt}, \frac{dz}{dt}) \right)$$

gives 
$$\Delta\phi = \frac{16\pi^3 a^2}{T^2 c^2 (1 - e^2)} \quad (28'' \text{ per century})$$

and the same result is obtained from Gauss's law which sets

$$F = -\frac{k^2}{r^2} \left[ 1 + \frac{1}{c^2} \left( 2u^2 - 3 \left( \frac{dr}{dt} \right)^2 \right) \right] \quad (14)$$

Finally, the much-discussed paper of P. Gerber which assumes a potential

$$\frac{k^2}{r} \left( 1 + \frac{1}{c^2} \left( \frac{dr}{dt} \right)^2 \right) \quad (15)$$

leads exactly the Einstein formula. However, it has been shown by Laue that Gerber's justification of the expression for his potential is untenable. <sup>(15a)</sup> Besides, it is evident a priori that all these expressions for the gravitational force or potential are purely empirical. Other empirical expressions, as for example Seeliger's  $-\frac{k^2}{r} e^{-\beta r}$  also give the required precession, if  $\beta$  is suitably chosen. In general for all such empirical laws we may write,

$$\Delta\phi = \eta \frac{4\pi^3 a^2}{T^2 c^2 (1 - e^2)}$$

$\eta$  being an integer, having the following values

Einstein and Gerber:  $\eta = 6$

Riemann and Gauss :  $\eta = 4$

Weber and Neumann :  $\eta = 2$

Lenard and Lorentz :  $\eta = 1$

Similar considerations have led Gehrcke <sup>(15a)</sup> to the conclusion that this expression for the advance of the perihelion is a dimensional property, i.e. it must occur in all gravitational theories and Mohorovicic has extended the reasoning to show that it also plays a fundamental part in all other consequences of gravitational theory, as for instance, displacement of spectral lines, deviation of a light-ray, radius of curvature of the universe, etc. But in view of the well-known objections which can be made to so-called "dimensional" theory, too much weight cannot be attached to such conclusions. <sup>(16)</sup>

We may sum up the above evidence as follows: For motions in a central field, the dynamic equations of classical mechanics  $\frac{d^2 x_k}{dt^2} = \frac{\partial U}{\partial x_k}$  ( $k = 1, 2, 3$ ) with their well-known integrals  $r^2 \dot{\phi} = \text{const.}$  (integral of angular momentum)  $\dot{r}^2 + r^2 \dot{\phi}^2 = 2U + c_0 = k^2 \left( \frac{2}{r} - \frac{1}{a} \right)$  <sup>(Jacobi's integral)</sup> are only a first approximation to the facts. They must be supplemented by a "relativistic" condition equation  $F(x, \dot{x}, t, c)$ , but the form of this equation is as yet arbitrary in the sense that several forms of this equation will lead to the same expression for the perihelion advance. In other words, it cannot be maintained that the metric of space-time stands in a necessary causal relationship to the motion of the perihelion.

The argument may still be presented from another angle.

As is well-known, the relativistic Keplerian orbits are the geodesics in a static-symmetrical spherical gravitational field. The solution of the Einstein gravitational equation  $G_{\mu\nu} = 0$  for this case was given by Schwarzschild. <sup>(17)</sup> By reasons of symmetry, he chooses polar coördinates, -- choosing the coördinate system strictly amounts to begging the problem, as already brought out clearly by Eddington <sup>(18)</sup> and Le Roux <sup>(19)</sup> but specially by Painleve <sup>(20)</sup> -- and is led to assuming for the first fundamental form an expression  $-ds^2 = Rdr^2 + R'd\sigma^2 - R''dt^2$ ;  $R, R', R''$  being functions of  $r$  only,  $d\sigma^2$  the line-element on a sphere of unit radius and  $t$  the relativistic "time". It might be pointed out here that there exists as yet no compelling reason for identifying this parameter  $t$  with the ordinary time, as pointed out with extreme clearness by Zaremba <sup>(21)</sup> and Le Roux. Expressed in symmetrical coördinates  $d\sigma^2 = -\frac{4dx dy}{(x+y)^2}$  and we may write, making an obvious change of notation,  $-ds^2 = g_{11} dx_1^2 + g_{22} dx_2^2 + 2g_{34} dx_3 dx_4$  in which  $g_{11}, g_{22}$  are functions of  $x_1$  only and  $g_{34}$  is of the form  $-\frac{2\phi(x_1)}{(x_3+x_4)^2}$ . If  $\phi(x_1)$  is not a constant we may always change the variable  $x_1$  so as to bring back  $g_{34}$  to the form  $g_{34} = -\frac{2x_1}{(x_3+x_4)^2}$ . If now we form the ten Einstein equations  $G_{\mu\nu} = 0$ , we find that seven of them vanish identically and the remaining three give:

$$G_{11} = \frac{1}{2} \left( \frac{1}{g_{11}} \frac{\partial}{\partial x_1} g_{11} \right)^2 + \frac{1}{2} \frac{\partial^2}{\partial x_1^2} \log g_{11} - \frac{1}{2} \frac{\partial}{\partial x_1} c$$

$$- \frac{1}{2g_{11}} \frac{\partial}{\partial x_1} g_{11} \left( \frac{1}{2g_{11}} \frac{\partial}{\partial x_1} g_{11} + \frac{2}{x_1} \right) = 0$$

$$G_{12} = \frac{1}{2} \frac{\partial}{\partial x_1} \left( \frac{1}{g_{11}} \frac{\partial}{\partial x_1} g_{12} \right) - \frac{1}{2} \left( \frac{1}{g_{11}} \frac{\partial}{\partial x_1} g_{11} \right) \left( \frac{1}{g_{12}} \frac{\partial}{\partial x_1} g_{12} \right) +$$

$$+ \frac{1}{2} \left( \frac{1}{g_{11}} \frac{\partial}{\partial x_1} g_{12} \right) \left( \frac{1}{2g_{11}} \frac{\partial}{\partial x_1} g_{11} + \frac{1}{2g_{12}} \frac{\partial}{\partial x_1} g_{12} + \frac{2}{x_1} \right) = 0$$

$$G_{34} = \frac{1}{2} \frac{\partial}{\partial x_1} \left( \frac{1}{g_{11}} \frac{\partial}{\partial x_1} g_{34} \right) - \frac{1}{2} \left( \frac{1}{g_{11}} \frac{\partial}{\partial x_1} g_{11} \right) \left( \frac{1}{g_{34}} \frac{\partial}{\partial x_1} g_{34} \right) + \frac{\partial^2}{\partial x_3 \partial x_4} \log g_{34} +$$

$$+ \frac{1}{2g_{11}} \frac{\partial}{\partial x_1} g_{34} \left( \frac{1}{2g_{11}} \frac{\partial}{\partial x_1} g_{11} + \frac{1}{2g_{34}} \frac{\partial}{\partial x_1} g_{34} + \frac{2}{x_1} \right) = 0$$

$G_{22} = 0$  can be integrated at once, giving

$$\frac{dg_{22}}{dx_1} = \frac{c}{x_1^2} \sqrt{g_{11} g_{22}}$$

$c$  being an integration constant.  $G_{11} = 0$  then gives after a somewhat laborious computation

$$\frac{d}{dx_1} \log g_{11} + \frac{d}{dx_1} \log g_{22} = 0$$

whence  $g_{11} g_{22} = \text{const.}$

By virtue of the assumptions made above this constant is equal to 1,

and we have  $\frac{dg_{11}}{dx_1} = \frac{c}{x_1^2}$

from which  $g_{22} = c' - \frac{c}{x}$  and  $g_{11} = \frac{1}{c' - \frac{c}{x}}$



Introducing these in  $G_{,4} = 0$  gives  $c' = 1$  and we have obtained Schwarzschild's solution

$$ds^2 = \frac{dr^2}{1-\frac{c}{r}} + r^2(d\theta^2 + \sin^2\theta d\phi^2) - (1 - \frac{c}{r}) dt^2$$

But, it should be carefully noted that we have arrived at this result by a series of suitable restrictive assumptions. In fact there is an infinite number of solutions <sup>(22)</sup>, and hence the choice of Schwarzschild's form to define the motion of a gravitating particle is wholly arbitrary. For instance, Painlevé (l.c.) shows that the form

$$ds^2 = (1 - \frac{c}{r}) dt^2 + 2drdt \sqrt{\frac{c}{r}} + dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)$$

gives <sup>advance</sup> the same perihelion as the Schwarzschild form.

The general conclusion is: Einstein's equations of the gravitational field are insufficient to fix uniquely the relativistic Keplerian orbits. As we shall see later the same objections apply to Nordström's solution for the field of an electrically charged heavy particle, which lies at the basis of our further work.

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The Relativistic Keplerian Orbit in the Hydrogen Atom

We consider from the standpoint of general relativity the motion of a "small" particle (electron) of mass  $m$  and charge  $-e$  in the field of a "large" particle (nucleus) of mass  $M$  and charge  $E$ , having spherical symmetry about its midpoint  $O$ . If certain relations, to be discussed later, exist between  $e, m$  and  $E, M$ , so that the field of the Large particle is not appreciably disturbed by the field of the small particle, the material field of the system, assuming no other electro-magnetic action in its space-time, can be also considered to have spherical symmetry about the center of the large particle. Following more or less arbitrary considerations of the nature outlined in dealing with Schwarzschild's solution of the static symmetrical gravitational field, <sup>and</sup> choosing the center of the large particle as the origin of a system of spatial polar coordinates  $r, \theta, \phi$ , Nordström<sup>(1)</sup>, Jeffery<sup>(2)</sup> and others<sup>(3)</sup> have found the metric fundamental form of the material field to be:

$$ds^2 = c^2 \left(1 - \frac{\gamma}{r} + \frac{\epsilon}{r^2}\right) dt^2 - \frac{dr^2}{1 - \gamma/r + \epsilon/r^2} - r^2 (d\theta^2 + \sin^2\theta d\phi^2) \dots (1)$$

where  $\gamma = 2\chi M$ ,  $\epsilon = \frac{\chi E^2}{c^2}$  and  $\chi$  is the gravitational

constant divided by the square of the velocity of light,  $\chi = 7.42 \times 10^{-29}$  in ordinary c.g.s. units. By the general theory of relativity, the equations of motion, are,

in the case considered, (4)

$$\mu \left( \frac{du^k}{ds} - \frac{1}{2} \frac{\partial g_{\mu\nu}}{\partial x_k} u^\mu u^\nu \right) = - \frac{\rho}{c} F_{kj} u^j \quad (k = 1, 2, 3, 4) \quad (2)$$

$\mu$  and  $\rho$  being the mass and charge density, respectively, of the electron,  $u^k = \frac{(dx)^k}{ds}$  the generalized velocity (Eddington) or, more properly perhaps, the world-direction  $K$  (Weyl);  $F_{kj} = \frac{\partial \phi_j}{\partial x_k} - \frac{\partial \phi_k}{\partial x_j}$  is the electromagnetic tensor and  $\phi_k$  the components of the electromagnetic potential. In our case  $\phi_1 = \phi_2 = \phi_3 = 0$ ,  $\phi_4 = \frac{E}{r}$ . We define, following Weyl, the mass and charge of the electron by the relations:

$$m ds = \mu dx \quad -e ds = \rho dx \quad (dx = dx_1 dx_2 dx_3 dx_4)$$

and multiplying (1) by  $dx/ds$  obtain Eddington's equation:

$$m \frac{du^k}{ds} = \frac{m}{2} \frac{\partial g_{\mu\nu}}{\partial x_k} u^\mu u^\nu + \frac{e}{c} F_{kj} u^j \quad (k=1, 2, 3, 4) \quad (3)$$

In the first place, we note that, as a consequence of our assumptions, the motion takes place in a plane. This can be seen just as in the case of planetary motion in a static sphero-symmetrical gravitational field as follows: Consider Nordström's fundamental form (1) above, which determines the material field of the nucleus. By our assumptions, the trajectory of the moving electron in 3+1 space-time is determined by equation (3) above. In our case the only  $F_{ik}$ 's which do not vanish are, covariantly,

$$F_{14} = - \frac{\partial \phi_4}{\partial x_1} \quad \text{r} \quad F_{41} = \frac{\partial \phi_4}{\partial x_1}$$

hence the equation corresponding to  $x_3 = \theta$  is independent of the electric field, because the corresponding components of the electromagnetic tensor vanish. Therefore it depends only on the gravitational field and by following exactly the same process given in detail in Eddington <sup>(5)</sup>, is found to be <sup>(6)</sup>

$$\frac{d^2 \theta}{ds^2} + \frac{2}{r} \frac{dr}{ds} \frac{d\theta}{ds} - \sin \theta \cos \theta \left( \frac{d\phi}{ds} \right)^2 = 0,$$

which is identically satisfied for  $\theta = \frac{\pi}{2}$ . Hence if  $\theta = \pi/2$  initially,  $\theta = \pi/2$  always, and  $\theta = \pi/2$  may be taken as the orbital plane. Since on it  $d\theta = 0$ , the line-element (1) reduces to

$$ds^2 = c^2 R^2 dt^2 - R^{-2} dr^2 - r^2 d\phi^2 \dots \quad (4)$$

where  $R^2 = 1 - \gamma/r + \beta/r^2$ .

The equations (3) corresponding to the index  $k = 4$  (variable  $t$ ) and  $k = 2$  (variable  $\phi$ ) are

$$\frac{d^2 t}{ds^2} + \frac{dt}{ds} \frac{dt}{ds} \frac{\partial}{\partial r} \log R^2 = \frac{eE}{mcR^2 r^2} \frac{dr}{ds}$$

$$mc \frac{d^2 \phi}{ds^2} + \frac{2mc}{r} \frac{dr}{ds} \frac{d\phi}{ds} = 0$$

which integrated yield the energy-integral and the integral of angular momentum, respectively:

$$R^2 \frac{dt}{ds} = C + \frac{eE}{mcr} = W + mc^2 + \frac{eE}{mcr} \quad (5)$$

$$mcr^2 \frac{d\phi}{ds} = p \quad (6)$$

$C$  and  $p$  are integration constants. We set for  $C$  the well-known energy expression of relativistic dynamics.

Although the equation (3) corresponding to the index  $k = 1$ , (variable  $r$ ) is not needed in what follows, it has considerably physical interest, as first pointed out (publically) by Jaffé<sup>(7)</sup>. It is:

$$\frac{d^2 r}{ds^2} - \frac{1}{2} \left( \frac{dr}{ds} \right)^2 \frac{\partial}{\partial r} \log R^2 - rR^2 \left[ \left( \frac{d\theta}{ds} \right)^2 - \sin^2 \left( \frac{d\phi}{ds} \right)^2 \right] + \frac{R^4 c^2}{2} \left( \frac{dt}{ds} \right)^2 \frac{\partial}{\partial r} \log R^2 = \frac{eEc}{mr^2} \frac{dt}{ds} \quad (7)$$

From (4) we have:

$$\frac{dt}{ds} = \frac{1}{\left[ c^2 R^2 - \left( \frac{dr}{dt} \right)^2 \right]^{1/2} \left[ c^2 R^2 - \left[ R^{-2} \left( \frac{dt}{dt} \right)^2 + r^2 \left( \frac{d\phi}{dt} \right)^2 \right]^{1/2}} \quad (8)$$

where  $d\sigma^2$  is the positive definite quadratic form of the space variables  $r, \phi$ . This is a useful equation which permits changing from derivatives with respect to  $s$  (proper time) to derivatives with respect to  $t$  (universal time). Using (8) in (6) and (7), we may write:

$$\frac{d}{dt} \left( \frac{mcr^{-2}}{\left[ c^2 R^2 - \left( \frac{dr}{dt} \right)^2 \right]^{1/2}} \frac{dr}{dt} \right) = - \frac{\kappa c^2 mmc}{r^2 \left[ c^2 R^2 - \left( \frac{dr}{dt} \right)^2 \right]^{1/2}} \left[ 1 + \frac{1}{c^2 R^4} \left( \frac{dr}{dt} \right)^2 \right] + \frac{\kappa E^2 mc}{r^3 \left[ c^2 R^2 - \left( \frac{dr}{dt} \right)^2 \right]^{1/2}} \left[ 1 + \frac{1}{c^2 R^4} \left( \frac{dr}{dt} \right)^2 \right] + \frac{mcr \left( \frac{d\phi}{dt} \right)^2}{\left[ c^2 R^2 - \left( \frac{dr}{dt} \right)^2 \right]^{1/2}} - \frac{eE}{r^2} \quad (9)$$

$$\frac{d}{dt} \left( \frac{mc}{\left[ c^2 R^2 - \left( \frac{dr}{dt} \right)^2 \right]^{1/2}} r^2 \frac{d\phi}{dt} \right) = 0 \quad (10)$$

the left-hand member of the former equation is essentially a rate of change of momentum -- i.e. a force -- which, since it contains  $R^{-2}$ , the coefficient of  $dr^2$  in the fundamental form (4), is a radial force. Likewise,

the latter equation which contains  $r^2$ , in the coefficient of  $d\phi^2$ , is a tangential force. If we now consider the right-hand member of (9), we see that the radial force is made up of the following components:

1) The Newtonian attractive force,  $-\frac{\kappa Mm}{r^2}$ , which, corrected for finite velocity of propagation, gives the first term on the right-hand member of (9)

2) The repulsive gravitational force between the electric charge  $E$  and the mass  $m$ , which, except for terms in  $c$ , varies as the cube of the distance and gives the second term.

3) The centrifugal force  $mr\dot{\phi}^2$  (third term)

4) The attractive Coulombian force. (fourth term)

We come back now to the equation of the orbit. The fundamental form (4) above may obviously be written

$$c^2 R^2 \left( \frac{dt}{ds} \right)^2 - \frac{1}{R^2} \left( \frac{dr}{ds} \right)^2 - r^2 \left( \frac{d\phi}{ds} \right)^2 - 1 = 0$$

Introducing in this equation the energy-integral (5)

and the integral of angular momentum (6), we have,

writing as usual  $u = 1/r$ , the equation of the orbit:

$$\left( \frac{du}{d\phi} \right)^2 = - \frac{W}{c^2 p^2} - \frac{2mW}{p^2} + \frac{2meE}{p^2} \left( 1 + \frac{W}{mc^2} + \frac{\kappa c^2 mM}{eE} \right) u - \left( 1 + k \frac{m^2 E^2}{p^2} - \frac{e^2 E^2}{c^2 p^2} \right) u^2 + 2kM u^3 - \frac{\kappa E^2}{c^2} u^4 \quad (11)$$



whose integral is  $\phi = \int \frac{du}{\sqrt{f(u)}}$ . Since  $f(u)$  is an entire polynomial of the fourth degree in  $u$ , the integration can be carried out by means of elliptic functions. (8) It will be noticed that the orbit of an electron in a static spherical symmetrical material field depends on a polynomial of the fourth degree, whereas the orbit of a material particle in a similar gravitational field depends on a polynomial of the third degree. (9) A complete discussion of the orbits in the latter case has been given by De Jans. (10) A brief discussion of the corresponding problem in the former case has been given by Weyl. (11) The complete study of these orbits is, as shown below, of scant interest and can be left for another occasion.

The problem now before us is to show that, if we consider the numerical relations between electronic and nuclear charge and mass respectively in the hydrogen atom, the above equation of the orbit reduces to the one obtained by Sommerfeld through the dynamics of restricted relativity, i.e.

$$\frac{d^2 u}{d\phi^2} = \frac{m e E}{p^2} \left( 1 + \frac{W}{mc^2} \right) - \left( 1 - \frac{e^2 E^2}{p^2 c^2} \right) u \dots \quad (12)$$

Now, differentiating equation (11), we obtain

$$\frac{d^2 u}{d\phi^2} = \frac{m e E}{p^2} \left( 1 + \frac{W}{mc^2} + \frac{\kappa c^2 m E}{e E} \right) - \left( 1 + \kappa \frac{e^2 E^2}{p^2} - \frac{e^2 E^2}{c^2 p^2} \right) u +$$

$$+ 3\kappa Mu^2 - \frac{2\kappa E^2}{c^2} u^3 \dots\dots\dots (13)$$

An important remark might be made here. Sommerfeld's equation was obtained by neglecting the departure of the metric properties of space around the nucleus from those of Euclidean space, i.e. by neglecting the Riemann curvature due to the gravitational and electric action of the nucleus. Hence, it was pointed out long ago by Laue <sup>(13)</sup>, in answering Lenard's objection already quoted, that all that is necessary in order to prove that the motion of the electron around the nucleus in the hydrogen atom is governed by the dynamics of special relativity is to show that the quantities  $\gamma$  and  $\xi$  which determine the departure of the line-element from the Minkowski (Euclidean) form, are small quantities. More precisely, it is required to show that the two quantities

$$\gamma_1 = \frac{2\kappa M}{r}, \quad \xi_1 = \frac{\kappa E^2}{c^2 r^2} \dots\dots\dots (14)$$

are very small compared to 1. Laue's remark, however, is open to the very serious objection that, if we do so, we neglect altogether the consideration of the ratios  $m/M$  and  $e/E$ , which, as pointed out above, are essential for our method of reasoning. Laue's remark would clear up Lenard's objection only if it were known a priori that the ratios  $m/M$ ,  $e/E$  are negligible, which is not by any

means the case. It might be argued that our own procedure is based upon just such an assumption, but it must be remarked that our method gives the necessary information as to just how much the orbit depends upon the gravitational and the electrical magnitudes which fix the field as well as the factors introduced by restricted relativity. To be sure, it is only an approximation, but an approximation which reasonably can tell how far it is from the facts.

In this connection, another important remark must also be made here. We have taken no account of the modification of the field due to the moving particle. A measure of this neglected influence is given by the ratio of the quantities  $\gamma_1$ ,  $\xi_1$ , which fix the curvature of the space-time, to the quotient  $(\frac{d\sigma}{dt})^2 / c^2 R^2$  which measures the rate at which the field singularity due to the electron itself moves about in the pure nuclear field. We require that

$$\gamma_1 = \frac{\gamma_1 c^2 R^2}{(\frac{d\sigma}{dt})^2} \ll 1 \quad (15)$$

and

$$\xi_2 = \frac{\xi_1 c^2 R^2}{(\frac{d\sigma}{dt})^2} \ll 1$$

In order to prove these relations and show that our equation (13) reduces to Sommerfeld's equation (12), we must now consider the numerical relations in the hy-

drogen atom. Assume that the electron is in the innermost path ( $n_\phi = 1, n_r = 0$ ). We have <sup>(14)</sup>

$$e = 4.774 \times 10^{-10} \text{ statcoulombs (Millikan's value)}$$

$$E = 4.774 \times 10^{-10} \text{ "}$$

$$m = 8.99 \times 10^{-28} \text{ grams}$$

$$M = 1.649 \times 10^{-24} \text{ "}$$

$$\left(\frac{dc}{dt}\right)^2 / c^2 = 7.29 \times 10^{-3} \text{ (Sommerfeld's constant)}$$

$$r = 0.532 \times 10^{-8} \text{ cm.}$$

$$\gamma_1 = \frac{2 \times 7.42 \times 10^{-29} \times 1.649 \times 10^{-24}}{0.532 \times 10^{-8}} = 4.61 \times 10^{-46}$$

$$\epsilon_1 = \frac{7.42 \times 10^{-29} \times \frac{4.774^2 \times 10^{-20}}{9 \times 10^{20} \times 0.532^2 \times 10^{-16}}}{9 \times 10^{20} \times 0.532^2 \times 10^{-16}} = 6.62 \times 10^{-53}$$

It follows from this that Laue's conditions (14) are satisfied with extraordinary accuracy and to all intents and purposes the space in which the moving electron moves is Minkowskian. Let us see now how our conditions (15), which measure the departure of our one-body problem from the true two-body problem, are satisfied.

$$\text{By above } R^2 \approx 1, \text{ hence, } \gamma_1 = \frac{4.61 \times 10^{-46}}{7.29 \times 10^{-3}} = 0.634 \times 10^{-43}$$

$$\text{and } \epsilon_2 = \frac{6.62 \times 10^{-53}}{7.29 \times 10^{-3}} = 0.923 \times 10^{-50}$$

and to all intents and purposes, the hydrogen atom can be treated as a one-body problem. Further à plus forte raison, the material field of the hydrogen atom is spherical, symmetrical and static: The material field

of the nucleus is almost non-affected by the moving electron. These conclusions hold, for still stronger obvious reasons, in all hydrogenic ions of the type  $\text{He}^+$ ,  $\text{Li}^{++}$ ,  $\text{Be}^{+++}$ , etc; but they do not hold at all for other ionic or atomic types on account of the inter-penetration of the different electronic orbits. It is possible then that different orbits may come close together over certain intervals <sup>(15)</sup> and as a result the material field of atoms and ions of non-hydrogenic type is qualitatively shown to be neither static <sup>(16)</sup> nor spherically-symmetrical. This conclusion is of paramount importance for what follows.

We conclude further, that all the terms depending on the curvature of space-time -- i.e. all the terms containing  $\kappa$  -- may be dropped from our equation of the orbit (13). The latter then obviously reduces to Sommerfeld's equation: Lenard's objection is now completely answered.

With regard to electronic orbits of any azimuthal and radial quantum number,  $n_\phi$  and  $n_r$ , Sommerfeld's discussion <sup>(17)</sup> shows that the greatest departure of the relativistic from the classical Keplerian orbit -- greatest precession of the perihelion -- occurs for large eccentricities, i.e. when  $n_\phi = 1$  and  $n_r$  is a large number. But even for the highest values of  $n_r$  which can occur

practically in atomic theory, our fundamental quantities  $\gamma_1, \gamma_2, \epsilon_1, \epsilon_2$ , stay of the same order of magnitude and all our conclusions hold, as an elementary computation readily shows.

The planetary orbits in a pure gravitational field are a particular case of our equation (13) and are obtained from it by placing  $e = E = 0$ , that is by dropping the curvature terms which depend on electric charges in the fundamental form (1). Simultaneously, of course, the electromagnetic tensor vanishes in (3). But in this case our conditions corresponding to (15) are satisfied for all planets in the solar system, while the Laue conditions (14) are not. We have here the reason why restricted relativity is unable to account for planetary motions. In fact, if we place  $e = 0, E = 0$ , we obtain, from (11)

$$\left(\frac{du}{d\phi}\right)^2 = -\frac{W}{p^2 c^2} - \frac{2mW}{p^2} + \frac{2\kappa c^2 m^2 M}{p^2} u - u^2 + 2\kappa Mu^3 \quad (16)$$

$$\text{or } \frac{d^2 u}{d\phi^2} = \frac{2\kappa c^2 m^2 M}{p^2} - u + 3\kappa Mu^2 \quad (16a)$$

which are the well-known equations of a planetary orbit (18) in the theory of Relativity. The last term causes the departure of the Einstein orbit from the Newtonian orbit. Suppose now we treat this departure as a small orbital perturbation would be accounted for in classical mechanics and write the solution of (16) in the usual form:

$$u = u_0 + u_1 \kappa M + u_2 (\kappa M)^2 + \dots \quad (17)$$

If now we substitute (17) in (16) and equate coefficients of  $M$ , we obtain a system of linear differential equations with constant coefficients. The first of these equations

$$\frac{d^2 u_0}{d\phi^2} = \frac{2\kappa c^2 m^2 M}{p^2} - u_0 \quad (19)$$

gives the Newtonian orbits

$$u_0 = \frac{2\kappa c^2 m^2 M}{p^2} (1 + \xi_0 \cos \phi) \quad (18)$$

where, <sup>analogy to</sup> ~~as in~~ Newtonian dynamics, ( $c$  is introduced here only by the units we are using)

$$\xi_0^2 = 1 + \frac{W(1 + 2mc^2)}{c^4} \left( \frac{1}{2\kappa M m^2} \right)$$

and we have assumed that  $\phi = 0$  is the azimuth of the perihelion.

The term of the first order in  $\kappa$  gives,

$$\frac{d^2 u_1}{d\phi^2} + u_1 = 3 \left( \frac{\kappa c^2 m^2 M}{p^2} \right)^2 (1 + \xi_0 \cos \phi)^2$$

whose integral is

$$u_1 = c_1 \cos \phi + c_2 \sin \phi + 3 \left( \frac{c^2 m^2 M}{p^2} \right)^2 \left[ 1 + \xi_0 \cos \phi + \xi_0 \phi \sin \phi + \frac{\xi_0^2}{6} (3 - \cos 2\phi) \right]$$

The integration constants are determined by the conditions that  $du_1/d\phi$  vanishes for  $\phi = 0$  and that

$u = u_0 + \kappa M u_1$  gives the correct value of the term which

does not contain  $u$  in (16). We get

$$\begin{aligned}
 u &= u_0 + \kappa M u_1 \\
 &= \frac{\kappa c^2 m^2 M}{2p} \left[ 1 + 3\kappa MR \left( 1 + \frac{\xi_0^2}{2} \right) \right] \left[ 1 + \epsilon_0 \left[ 1 + \kappa MR \left( \frac{1}{\xi_0^2} - \frac{3\xi_0^2}{2} \right) \cos \phi \right] + \right. \\
 &\quad \left. + 3\kappa M \xi_0 R^2 \phi \sin \phi - \frac{\kappa}{2} M \xi_0^2 R^2 \cos 2\phi \right].
 \end{aligned}$$

What might be called the "Einstein orbit of the first order" differs therefore from the Newtonian orbit:

- 1) By the value of the constant which determines the semi-latus rectum of the Newtonian orbit, (1st term)
- 2) By the precession of the perihelion. It can be easily shown that the second term ( $3\kappa M \xi_0 R^2 \phi \sin \phi$ ) gives exactly the Einstein formula, already quoted before,
- 3) by a departure from the elliptic form. This is in agreement with the rigorous analysis given by de Jans. (18a)

The maximum lengthening of the radius vector is, at the aphelion,

$$\Delta r_{\max} = \frac{\kappa M \xi_0^2}{2(1-\xi_0)^2}$$

and even for Mercury remains far beyond experimental observation.

From the fact that, as pointed out before, the integration of the differential equation of the orbit can be effected by elliptic (doubly-periodic) functions, we see at once that all orbits in the hydrogen atom are very nearly strictly periodic. The qualification arises here



from the fact that the material field is not rigorously static nor sphero-symmetrical. But the electronic orbits in other atomic systems need not be periodic because the field is neither static nor sphero-symmetrical. It may be that this corresponds to the radiation of energy by gravitational and electromagnetic waves, as suggested by Jeffery. But if we admit that the atom is quantized in the Bohr sense, it must follow that the quantum postulates govern not only electromagnetic but also gravitational radiation and the possibility subsists that satisfactory approximations in the stationary states, <sup>if not exact solutions,</sup> may be obtained by periodic solutions of the types discussed elsewhere in this thesis, as already pointed out by the writer. <sup>(14)</sup> Jeffery has made the very interesting suggestion that the solution of the relativistic two-body problem may under certain conditions become periodic, these periodic orbits then would be the quantized paths. The fundamental importance of the two-body problem for the quantum theory thus becomes evident. We shall now attempt to develop as far as our resources permit, a different relativistic interpretation of the quantum theory of stationary orbits, which at least brings to light in an extraordinarily clear manner the why of some of its obscure points. It must be admitted that our quantization rules which, as known, are essentially an addition to classical dynamics, are highly artificial:

the real solution of the problem of the quantized orbits must be independent of any such arbitrary rules. The paramount importance of Jeffery's suggestion should not, therefore, be overlooked.

A closing remark which concerns our method of determining the equation of the electronic path must be made here. Our fundamental equation of motion (2) is based on empirical facts without any theoretical interpretation and the path of the electron is not a geodesic in the space-time described by Nordström's fundamental form. This is because the electric force describes a world-condition which is totally different from mechanic force. All that we have done is to write the tensor equation

$$m \left[ \frac{d^2 x^\mu}{ds^2} + \left\{ \begin{matrix} \alpha \beta \\ \sigma \end{matrix} \right\} u^\alpha u^\beta \right] = \frac{e}{c} F_{\mu\nu} u^\nu$$

which corresponds to the elementary equation of electrostatics

$$m \frac{d^2 x}{dt^2} = X e.$$

$\frac{e}{mc} F_{\mu\nu} u^\nu$  would then represent the "deviation" of the actual path from the geodesic path. The problem is then to explain why the electron deviates from the geodesic path. Whether such an interpretation can be worked out from the generalizations of the Einstein theory given

by Weyl<sup>(20)</sup> and Eddington<sup>(21)</sup> is, so far as the writer is aware, unknown. The most satisfactory interpretation with which the writer is acquainted is De Donder's,<sup>(22)</sup> who, on the basis of his "Théorème du tenseur asymétrique" is able to give what appears to be the most satisfactory extant unification of electromagnetic and gravitational theories.

Another difficulty presents itself here which may also be carefully noted. We have assumed that the only effects to be dealt with in the atomic system are those due to the nucleus and the electron. How is our reasoning to be altered if the atom is immersed in another field, say the gravitational field of the earth? The most obvious answer is that the atom relies only on the properties "in Kleinen" of the surrounding space. But in Kleinen the gravitational field of the earth, for instance, is Euclidean and we are led to the difficulty, pointed out already by Mohorovicic<sup>(23)</sup>, of having to assume different geometries for different regions of the world. Thus in our case, if we have a nucleus in the earth's field, in space is Kleinen Euclidean with respect to the earth and non-Euclidean with respect to the nucleus. This would obviously be a contradiction. But he has overlooked that we are dealing with different degrees of smallness. To speak in very rough terms, take the following example: the

earth, a mountain, a square foot on that mountain.  
Within a curved surface (earth) we have a space of  
different curvature (mountain, our atom) and in this  
mountain (i.e. in this atom) the in Kleinem Euclidean  
space. Mohorovicic's objection, <sup>therefore</sup> does not seem to be  
valid.

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The Relativistic Interpretation of the Quantum Theory

Bohr's first postulate is that closed electronic orbits are possible without the simultaneous occurrence of irreversible radiation. That non-radiating open orbits are indeed possible in classical electro-<sup>(1)</sup> dynamics was pointed out as early as 1911 by Born,<sup>(2)</sup> then discussed by S. R. Milner and G. A. Schott, who showed that the only class of motions unaffected by irreversible radiation is that in which the path of the electron is a hyperbola described with a constant velocity component parallel to the conjugate<sup>(3)</sup> axis of the orbit. Mie, in his remarkable article on the field of an electron rotating around a mass centre, has shown that Bohr's postulate is not only by any means the foundation of the modern quantum theory of the atom, but rather the essential hypothesis of any electric theory of matter which is built up on the assumption of the existence of electrons. He has further shown that a rigorous reasoning starting from the principle of the relativity of gravitation (not of the general principle of relativity) leads to the startling conclusion that an inertial electron rotating around an uncharged gravitational center does not radiate. The hope of finding a modified Maxwellian theory which is in accordance with the existence of non-radiating orbits

need not, therefore, be given up as yet. Much light may be thrown on this point if we attempt a relativistic <sup>(interpretation of the quantum)</sup> theory. One possible answer, depending on the solution of the relativistic two-body problem, has already been mentioned. It has also been suggested that the puzzles on the quantum theory are to be traced to an unsatisfactory conception of the nature and properties of the electron. It is apparent that such an alternative offers possibilities which cannot be disregarded a priori. It thus becomes necessary to consider the problem of the electron somewhat more closely.

Consider again Nordström's fundamental form in the material field of the electron

$$ds^2 = - \frac{dr^2}{1 - \frac{\gamma}{r} + \frac{\xi}{r^2}} - r^2 (d\theta^2 + \sin^2\theta d\phi^2) + \left(1 - \frac{\gamma}{r} + \frac{\xi}{r^2}\right) dt^2$$

As pointed out by Eddington <sup>(4)</sup>  $\frac{\gamma}{2}$  can be identified with the gravitational mass of the electron and  $\xi/4\pi$  with its electric charge. As we approach the electron from infinity  $R^2 = 1 - \frac{\gamma}{r} + \frac{\xi}{r^2}$  decreases continuously to a minimum at  $r = \frac{2\xi^2}{\gamma} = \frac{e^2}{4\pi m}$ , if  $m$  is the electronic mass, then increases and reaches the value plus infinity at  $r = 0$ . Thus, there is no singularity in the



material field of the electron except at  $r = 0$ . In the relativity theory it is therefore possible to have an electron which is rigorously a point-singularity but has finite mass and finite charge. In the old theories of electromagnetic mass, we could not have a point-singularity, because the mass and charge would become infinite. <sup>(5)</sup> The difference between the nature of the singularity in the case of the material field and the gravitational field might also be emphasized; at the same time, the notion of radius of the electron loses all precise meaning.

The electron, which in the older electromagnetic theories is looked upon as a substantial aggregate in a non-substantial field, appears in the relativity theory as a region which is not at all sharply bounded with respect to its field. In his epoch-making "Grundlage einer Theorie der Materie" <sup>(6)</sup>, Mie has investigated how such "energy knots" move about in space-time just as a wave moves over the surface of the ocean; this conception, it is seen, means that there is no unicity of the substance of the electron. His theory, which has been further investigated by Weyl <sup>(7)</sup> is based upon the postulate, which indeed pervades the whole theory of relativity and is one of its most beautiful consequences, that not only the field is a consequence of matter, but conversely matter is generated by the field. The most

important consequence of this view is that the protons<sup>(8)</sup>  
and electrons are not the last constituents of matter.

The problem of matter is thus to explain why the field admits just such aggregates of "energy-knots" as the protons and electrons and no others. In other words the problem of matter is solved when we find the answer to the query: Why do the field laws admit just the solutions that correspond to the proton and the electron. Whether the answer to this question involves also the explanation of the existence of non-radiating orbits, we do not essentially know, but it must be admitted that we are yet far from this answer.

An attempt from the converse standpoint is that of Tetrode<sup>(9)</sup>. He looks upon the observable electrons and protons as the primary entities, assumes that the acceleration of any electron is conditioned by other electrons and looks upon the electromagnetic field as a pure mathematical entity, which serves a purpose only so long as the quantum phenomena can be neglected. The essential new conception of his theory is that the instantaneous state of an atom is conditioned not only by its past but by the "arithmetische Mitte der nächsten Vergangenheit und Zukunft". The absorption of energy is predetermined by its emission. His speculation is interesting in that it allows an explanation of the mechanism of radiation,

but has not been worked through sufficiently so far.

All that we have done so far is to find a substitute for the main difficulty of the older electronic theories: why is it that the electron does not explode following the Coulombian repulsion between neighboring parts? An unsatisfactory answer, now discarded, was for example Abraham's: the electron is a rigid sphere and the charge is rigidly bound to its surface. This view is of course untenable in Relativity.

Another well-known attempt is that of Poincaré.<sup>(10)</sup> His electron is deformable but is prevented from exploding by applying a suitable pressure, of hypothetical origin, on the electronic surface. The Poincaré electron has been re-examined from the standpoint of gravitational theory by van den Berg, de Donder and Vanderlinden<sup>(11)</sup>, but the arbitrary introduction of the applied Poincaré tensor brings into their theory an element completely foreign to the spirit of Relativity. We may therefore sum up the present status of the question in the statement: The relativistic interpretation of the electron problem is itself not yet sufficiently developed to enable the quantum postulates to be referred to the problem of the electron's constitution.

Of course, more or less hold speculations are allowed in the electron problem, provided not too much is claimed for them. One of the most interesting is that

of Mohorovicic, <sup>(12)</sup> with Lenard <sup>(13)</sup> the foremost expo-  
 nent of the modern reversion to the theory of a sub-  
 stantial ether. Starting from the hypothesis of a  
 discontinuous gaseous ether, "die wir als eine etwas  
 Kompressible Flüssigkeit auffassen werden", Mohorovicic  
 shows, by combining it with his theory of gravitation, <sup>(14)</sup>  
 that the pressure and density in this ether decrease  
 with decreasing distance from matter. Changes in either  
 of these propagate with finite velocity, and, carrying  
 out the analogy with a gas still further, finds that  
 the "temperature" of the ether is proportional to the  
 gravitational potential, a speculation which originally  
 is due to Fricke. <sup>(15)</sup> He is further led to consider two  
 kinds of mass--baric and antibaric -- a conception due  
 to O. Wiener. <sup>(16)</sup> It should be remarked in this connection  
 that, as already noted by Herglotz, Wiener's equations  
 are insufficient to determine his "Grundgesetz der Er-  
 haltung der Athergeschwindigkeit", for the simple reason  
 that there are more unknowns than equations. Mohorovicic,  
 as all his predecessors who adhered to the ether theory,  
 finds entirely inadmissible values for the ether pres-  
 sure and density at the proton and electron  
 $(p_0 = 8.28 \times 10^{43} \text{ g/cm}^2, \rho_0 = 9.2 \times 10^{22} \text{ g/cm}^3 \text{ for the proton;}$   
 $p_0 = 7.16 \times 10^{30} \text{ g/cm}^2, \rho_0 = 7.96 \times 10^9 \text{ g/cm}^3 \text{ for the elec-}$   
 tron) so concludes that the electron and proton are not  
 the last constituents of matter. He thus revives the old

nineteenth century conception of the atom as an aggregate of static tension centers and confronted with the same old difficulties: why do such centers exist? How did they arise? He promises to answer these questions at another occasion. Aside from this, his generalization of the fundamental equations of electrodynamics is quite objectionable, but this point is outside the scope of this paper. Let us now look at the problem from another entirely different standpoint.

Let us postulate, as in the general relativity theory, that all natural phenomena are manifestations of the four-dimensional metric properties of space. The atomistic concept, which is the essential idea in the quantum theory, thus far refused to find a place in relativity, but, as far as the writer is aware, it has not been shown that any contradiction exists between the postulate of relativity and the hypothesis of the quantum theory. To be sure, certain consequences of the restricted theory, especially the inertia of energy, is difficult to interpret from the atomistic standpoint. (17)

We now consider the following problems: What are the necessary theoretical principles of any atomistic theory and can these principles be brought into the body of the relativity theory?

In the Mie-Weyl theory, mass-density is a tensor mass itself a vector; since neither a tensor nor a vector (18)

can conceivably have an atomistic structure, mass-density and mass cannot be interpreted atomistically. This, it must be carefully emphasized, is perfectly in accordance with the field theory of matter, as Reichenbacher has shown, <sup>(19)</sup> and also in accordance with the facts, for obviously no other interpretation except the statistical one is possible for the mass-effects which are interpreted in the relativity theory. The only physical entities which conserve their scalar character in the general theory of relativity are entropy, electric charge and action. The last is just the one to which the quantum theory ascribes an atomistic structure. The conception of an atomistic action in a three-dimensional continuum leads, we believe, to the well-known difficulties of the quantum theory, which finds its worst expression in the concept of the emission of light quanta.

From a relativistic standpoint, the quantum theory of the atom is based upon three principles: the minimal principle, the integral principle and the continuity principle. Let  $a$  and  $b$  be two universal constants,  $K$  the Gaussian curvature of the metric fundamental form  $ds^2 = g_{\mu\nu} dx^\mu dx^\nu$  --  $K = g^{\mu\nu} R_{\mu\nu}$ , if  $R_{\mu\nu}$  is the contracted Riemann-Christoffel tensor -- the world-function of the field, which in general is a function of the covariant fundamental tensors and their derivatives and of the variables defining the material field. Then the most

general form of the Hamilton minimal principle is written (De Donder)

$$\delta S = \delta \int_R (a + bK + \Lambda) d\omega = 0$$

where  $d\omega$  is the element of volume,  $d\omega = \sqrt{-g} dx_1 dx_2 dx_3 dx_4$

and the variation is taken with respect to the fundamental tensors and their derivatives, within the region  $R$  of space-time considered. On the boundaries of  $R$ , all the variations vanish. <sup>(20)</sup> We postulate that  $\delta S = 0$  is satisfied over every finite region of space-time.

For our purposes, a more restricted formulation of the Hamilton principle is sufficient. We formulate it, following Weyl <sup>(21)</sup> as follows: The total action is the sum of the substance-action of mass

$$(S_m) = \int dm \int \sqrt{-g_{\mu\nu}} dx^\mu dx^\nu$$

plus the substance-action of electricity

$$(S_e) = \int de \int \phi_\kappa dx^\kappa$$

and the field-action of electromagnetic energy

$$(S_f) = \frac{1}{4} \int F_{ik} F^{ik} d\omega .$$

In the first two equations, the outer integration is to be taken over all substance elements, the inner over that part of the world-line of an arbitrary substance element which lies inside the world-region considered. In the last expression, the integration is to be taken

over the world-region considered.  $dm$  is the mass element,  $de$  the element of charge,  $\phi_K$ , as above, the electrodynamic potential,  $F_{ik}$ , the electromagnetic tensor. We shall enunciate the integral principle as follows: The line integral of the total action  $S$  over any closed world-line is an integral multiple of Planck's element of action  $h$ , i.e.  $\oint S ds = nh$ . In this form, the integral principle was first stated, but not used, by Mecke.<sup>(22)</sup> The conservation principle will be stated and taken up later.

We return now to the minimal principle and some of its interesting consequences. In the case of an electron, i.e. a single point-singularity, the outer integrations can be carried out at once, giving,

$$S = \int (m_0 c \sqrt{g_{\mu\nu} dx^\mu dx^\nu} + e \phi_\lambda u^\lambda) ds = \int L(x_k, u^k) ds \quad (1)$$

and Hamilton's principle gives at once the Lagrangian equations,

$$\frac{d}{ds} \left( \frac{\partial L}{\partial u^k} \right) - \frac{\partial L}{\partial x_k} = 0 \quad (2)$$

Now, we have, since  $\sqrt{g_{\mu\nu} u^\mu u^\nu} = 1$ , identically,

$$p_i = \frac{\partial L}{\partial u^i} = -m_0 c g_{ik} u^k + e \phi_i \quad (23) \quad (3)$$

$p_i$  being the impulse vector. Further

$$L(x_k, u^k) = -m_0 c g_{ik} u^k u^i + e \phi_i u^i = p_i u^i \quad (4)$$

and, as shown in De Donder, l.c.,

$$g^{ik} (p_i - e \phi_i) (p_k - e \phi_k) = -m_0^2 c^2 \quad (5)$$



where, however, it is to be noticed that our  $m$  is written  $m_0$  in De Donder. From (3)

$$m_0 c g_{ik} u^k = p_i - e \phi_i$$

whence by multiplying by  $g^{ik}$ , and contracting,

$$m_0 c u^i = -g^{ik} (p_i - e \phi_i) \quad (6)$$

from which we get the "Hamiltonian" function

$$H(x_k, p_k) = p_i \frac{g^{ik}}{m_0 c} (p_k - e \phi_k) \quad (7)$$

and, from (4) and (7)

$$u^i = \frac{dx_i}{ds} = \frac{\partial L}{\partial p_i} = \frac{\partial H}{\partial p_i} + \frac{p^i}{m_0 c} \quad (8)$$

We now establish the fundamental result that only for static fields do the above equations in space-time reduce to the Hamiltonian canonic equations in space and time. By this last expression: "space and time", we mean that we privilege the coordinate  $x_4$  relatively to the other three coordinates  $x_1, x_2, x_3$ .<sup>(24)</sup> In the case of

a static field  $\phi_i = \phi_r = \phi_s = 0$ , so

$$p_4 = -m_0 c g_{4k} u^k + e \phi_4 = -H \quad (9)$$

where  $-m_0 c g_{4k} u^k \doteq -m_0 c^2 + \frac{mv^2}{2}$  can be interpreted

as the Kinetic and  $e \phi_4$  as the potential energy.

Now, from (5)

$$\frac{\partial p_4}{\partial p_i} = -\frac{g^{ik} (p_4 - e \phi_k)}{g^{4k} (p_k - e \phi_k)} \quad (10)$$

therefore, one of the two triplets of Hamiltonian equations is

$$\frac{dx_k}{dx_4} = - \frac{\partial p_4}{\partial p_k} \quad (11)$$

which, it will be noted, follows directly from the definition of the impulse vector (3) and hence does not involve the minimal principle. On the other hand, the remaining Hamilton triplet, follows directly from the minimal principle, through equations (2), but can be reduced to the Hamilton form only if the field is static (independent of time). We have

$$\frac{dp_k}{ds} = \frac{\partial p_k}{\partial x_j} u^j + \frac{\partial p_4}{\partial x_j} u^4 \quad (12)$$

but by (5):

$$\frac{\partial p_4}{\partial x_j} = \left( \frac{\partial p_4}{\partial x_j} \right)_{p_j} - \frac{\partial p_k}{\partial x_j} \frac{u^k}{u^4} \quad (13)$$

hence the Hamiltonian system is now

$$\left. \begin{aligned} \frac{dp_k}{dx_4} &= \left( \frac{\partial p_4}{\partial x_k} \right)_{p_j} \\ \frac{dp_4}{dx_4} &= \left( \frac{\partial p_4}{\partial x_4} \right)_{p_j} \end{aligned} \right\} \quad (14)$$

Now, if the field is static,  $p_4$  does not contain  $x_4$  and the second of (14) vanishes identically. Under this restriction we may place

$$x_4 = ct, \quad cp_4 = m_0 c^2 + W,$$

and (11) and (14) give, in classical notation,

$$\dot{p}_k = - \frac{\partial W}{\partial x_k} \quad \dot{x}_k = \frac{\partial W}{\partial p_k}$$

It is therefore seen that from a relativistic standpoint, the Hamiltonian equations hold only if the field is static. It has been pointed out before that, if Bohr's views of interpenetrating orbits are correct, the field of the electron in an atomic system of more than one electron cannot in general be static, hence the motion of the electrons, particularly, let it be emphasized, the optical electrons, need not be governed by the canonic equations. We have here a theoretical reason for the existence of unmechanical orbits in the atom <sup>(25)</sup> i.e. orbits not governed by the canonic equations and not obtainable through the Hamilton-Jacobi equation -- which have been so often emphasized by Bohr and others.

The minimal Hamilton principle, to which in the last analysis all problems in physics can be reduced, yields thus four Lagrangian equations (2) in space-time, whereas their integrals contain in general eight integration constants. Of these eight parameters, four are fixed by the initial conditions, and four remain arbitrary. Here is undoubtedly a weakness of our present reasoning, to be traced back to the fact that, in order to bring the problem

within the limits of our ability, we have considered the field of a single electron only. These arbitrary constants must now be determined quantum-theoretically, and hence the introduction of the integral principle. But just as the presence of our arbitrary constants is very likely a result of the limitations in our reasoning, so is the introduction of the integral principle purely arbitrary. There is no doubt whatever in the writer's mind that, as brought out before, the true solution of the quantum problem lies in the relativistic solution of the two-body problem. In other words, it is the opinion of the writer that the periodic solutions of the two-body problem contain no arbitrary constants. These then are the natural quantized orbits, which, on account of the limitations in our reasoning, we have now to fix by introducing arbitrary additional conditions.

To cover the weakness of our previous treatment and fix, then, the remaining four arbitrary constants, we assume with Mecke that the integral principle  $\oint L ds = nh$ , can be split up by separation of variables into four conditions

$$I_k = \oint p_k dq_k = n_k h.$$

For  $k = 1, 2, 3$  (space coördinates), these are the well-known Sommerfeld-Wilson phase-integrals, while the rela-

tion corresponding to  $k = 4$  (time coördinates) contains something new, which we shall discuss later. Since now we are using a specific coördinate system (separable system), we have given up the general relativistic invariance of  $\oint L ds$  and introduced a restriction in the choice of variables which is wholly foreign to the principle of relativity; merely another reflection of the weakness of our reasoning. The arbitrariness and purely heuristic value of the Sommerfeld-Wilson conditions, is, we believe, thrown thereby into an extremely clear light. On the other hand, if we believe that a privileged system of coordinates is implied in the quantum theory, then it cannot be expected that quantization in a system of coordinates chosen at random will lead to correct values for the energy terms, as already pointed out by the writer. (25)

From the orbital equations, the frequency of the emitted radiation is determined in Bohr's theory by the principle of correspondence. Under Mecke's separability assumption, it is of course always possible, as shown exhaustively in Part II, to find a contact-transformation from separable variables to angle variables, which is a fundamental step in the application of the correspondence principle. We now consider the following problem: How is the correspondence principle to be interpreted in

the relativistic four-dimensional metric space? Let us introduce now the continuity principle, which we shall enunciate again somewhat artificially, as follows: The total action remains unchanged for any change of the partial actions  $I_k$ , i.e.  $\Delta S = S_1 - S_1 = 0$ .  $s_1$  is a function of four quantum numbers  $n_k$  and  $s_2$  the same function of four different quantum numbers  $n'_k$ . Assuming, as always, that an introduction of angle variables is possible, we may write, for each of the coordinates  $x_k$

$$f(x_k) = \frac{1}{n_k n'_k h^2} \sum_{n_k, n'_k = -\infty}^{\infty} A_{n_k n'_k} e^{j \frac{2\pi}{h} (s_2^k - s_1^k)}$$

where, as usual, the coefficients in the Fourier expansion are given by

$$A_{n_k n'_k} = \iint f(x_k, x'_k) p_k p'_k e^{j \frac{2\pi}{h} (s_2^k - s_1^k)} dx_k dx'_k$$

and, by the continuity principle,

$$s_2^4 - s_1^4 = \sum s_1^k - \sum s_2^k,$$

$$\text{whence } f(x_1 x_2 x_3) = \sum_{n_k n'_k} \sum_{k=1}^3 B_{n_k n'_k}^k e^{j \frac{2\pi}{h} (s_2^4 - s_1^4)}$$

where the coefficients  $B$  are products or sums of products of the coefficients  $A$ . This however, is nothing else than Bohr's frequency postulate, for, again under the assumption of a static field

$$s_2^4 - s_1^4 = (p_4^+ - p_4^-) \lambda_4$$

and the frequencies of the harmonics in the above equation are Bohr's frequencies,

$$\nu = \frac{1}{h} (p'_4 - p_4) = \frac{1}{h} (E' - E)$$

and the correspondence principle appears therefore as the natural generalization of the frequency postulate. The meaning of the fourth phase integral and the corresponding quantum  $\lambda_4$  is thus seen at once.

We see, therefore, in conclusion, that at least in a semi-formal way, the quantum postulates can be brought into a four-dimensional metric without too much forcing, even though with a good deal of artificiality. Whether now the atomicity of action entails the atomicity of electricity and, if so, how one is to be brought into the realm of the other are questions which for the present we cannot answer.

In its general formulation, the integral principle states merely that the world-line of any quantized system must lie within a closed region of space-time. A coordinate transformation which, be it again noted, leaves the above formulation invariant, can be interpreted then, in the sense of Einstein's equivalence principle, as the effect of an external field. Thus, the Zeeman effect introduces the Coriolis-centrifugal field, which, from a relativistic standpoint can be identified with a rotating coordinate system; (27) the Stark effect trans-

forms cartesian to parabolic coördinates, etc. No such interpretation is apparent in Mecke's restricted form.



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Part II. The Application of the Calculus of Perturbations to Bohr's Atomic Model.

General

In Part I of this investigation we have come to the conclusion that in general the equations of classical dynamics do not govern the motions of the electrons in an atomic system of more than one electron. We now investigate the following problems: Consider an atomic system with more than one electron and assume that the motions of the electrons in the stationary states can be described by the equations of classical dynamics, is it possible to determine these motions by the methods of the calculus of perturbations? Are there any limitations and, if so, how far can they be so determined? Can such a system be quantized by our present quantum rules? If not, how are our present conditions for quantization to be altered?

The Methods of Quantization of Bohr, Epstein and Born  
and Pauli

Methods for the application of the calculus of perturbations to the quantum-theory of stationary orbits in non-conditioned-periodic atomic systems have been proposed by a number of writers. Perhaps the first attempt in this direction was made by Burgers in 1917 and Bohr's fundamental investigation a year later has become almost a classic. <sup>(1)</sup> However, the two most complete attempts in this direction are those of Epstein <sup>(2)</sup> and of Born and Pauli <sup>(3)</sup>, which we proceed to examine.

The earliest investigations to find the general integrals of the three-body problem gave the result, already pointed out elsewhere, <sup>\*</sup> that terms -- so called "secular" terms -- occur in the eccentricities and inclinations of the planets which increase linearly with time. The "stability theorem" of Laplace and Lagrange showed that these terms are the constant terms of a trigonometric series and so the possibility of finding purely trigonometric expressions for the coordinates of the moving planets was opened up. The importance of this trigonometric expansion is this: Suppose that any coordinate  $q_k$  can be expressed as a trigonometric series

$$q_k = \sum_{m_1 \dots m_s = -\infty}^{\infty} A_{m_1 \dots m_s} e^{j(m_1 w_1 + \dots + m_s w_s)}$$

<sup>\*</sup> see Appendix.

where the  $w$ 's are linear functions of time. If

$\sum A_{m_1 \dots m_n}$  is finite, then the upper and lower bounds

of the corresponding coördinates can be readily found, whereby the stability question is solved once for all; next, the above series, if it exists and is absolutely and uniformly convergent, gives the value of the corresponding coördinate for all values of the time. If on the other hand, the convergence is not absolute and uniform, no such conclusions can be drawn, and it will not be legitimate to apply this expansion outside of the domain of convergency. The form of the expansion will be always the same, but the coefficients will not be the same over each separate region.

The first to study such trigonometric expressions systematically and thoroughly was Gylden, who, unfortunately for science, died before he could complete his investigations. Long before him, Delaunay had given a particular instance where the coördinates can be expressed in this form without giving any attention to the convergency of his method: In his celebrated "Théorie du Mouvement de la lune" (1860)<sup>(4)</sup> he established the Fourier expansion of the coördinates of the moon and showed that its motion can be thus satisfactorily described over given finite intervals. In this particular case, the perturbing body (sun) is far removed from the perturbed (moon) and therefore it is questionable a priori whether Delaunay's

method can be applied to more general cases; on the other hand, it points the way to formal processes leading to pure trigonometric integrals of the three or n-body problem. This was first explicitly carried out by Tisserand<sup>(5)</sup>. Let us illustrate the process involved by a simple example.

Suppose it is required to find an integral of the canonic equations of a system of two degrees of freedom.

$$\dot{q}_1 = \frac{\partial F}{\partial p_1}, \quad \dot{q}_2 = \frac{\partial F}{\partial p_2}, \quad \dot{p}_1 = -\frac{\partial F}{\partial q_1}, \quad \dot{p}_2 = -\frac{\partial F}{\partial q_2} \dots (1)$$

of the form  $F = \sum A_{ij} \cos(i p_1 + j p_2) + \bar{\Phi}$

where  $A_{ij}$  and  $\bar{\Phi}$  are assumed to be analytic functions of  $q_1$  and  $q_2$ . From the perturbing function we select any one term  $A_{ij} \cos(i_1 p_1 + j_1 p_2)$  and write

$$F_1 = \bar{\Phi} + A_{i_1 j_1} \cos(i_1 p_1 + j_1 p_2)$$

If now we consider the canonic system

$$\dot{q}_1 = \frac{\partial F_1}{\partial p_1}, \quad \dot{q}_2 = \frac{\partial F_1}{\partial p_2}, \quad \dot{p}_1 = -\frac{\partial F_1}{\partial q_1}, \quad \dot{p}_2 = -\frac{\partial F_1}{\partial q_2} \dots (2)$$

we may look upon the coördinates thereby determined as the coördinates of an intermediate orbit  $Q_1$  and by suitable variation of constants of  $Q_1$ , the equations of the actual path  $Q$ , which is determined by (1), can be satisfied. This introduction of intermediate paths is the characteristic trait of Delaunay's method.

More in general, the process is conceived as follows:

The Hamiltonian function of the dynamical system under consideration is split into two parts

$$F = F_1 + R_1$$

of which  $F_1$  describes a system which is conditioned periodic, and is so chosen that  $R_1$  -- the perturbing function -- is "as small as possible"; the motion  $O_1$  given by the function  $F_1$  is then called the "first intermediate orbit". This first intermediate motion  $O_1$ , since it is conditioned periodic, can be described by means of angle variables  $w_k = \nu_k t + \theta_k$  and their canonically conjugate momenta  $I_k$  (Epstein, l.c., shows that these are canonic variables not only with respect to  $F_1$ , but also with respect to  $F$ ). Next it is assumed that the perturbing function is periodic and regular everywhere within the domain considered. If so,  $R_1$  can be expanded in an absolutely and uniformly convergent Fourier series

$$R_1 = \sum_{m_1, \dots, m_n} A_{m_1 \dots m_n} e^{j \sum_{k=1}^n m_k w_k}$$

the A's being functions of the momenta  $I$ . Suppose now that A is the numerically largest coefficient of this series. We consider the system

$$F_2 = F_1(I) + A(I) e^{j \sum m_k w_k}$$

It is easy to see that  $F_2$  again describes a conditioned periodic motion which we shall call the "second inter-

mediate motion". We write then,

$$F = F_2(I, w) + R_2(I, w)$$

$R_2$  standing for that part of the Fourier series which does not contain the term  $A$ . If we now introduce new angle variables  $W'_k$  to describe the motion determined by  $F_2$ ,  $F_2$  becomes a function of the canonically conjugate momenta  $I'$  and the process may be repeated on the next largest coefficient of the Fourier expansion until formally the desired approximation is obtained.

Epstein does not say a word with regard to how far the process can be legitimately carried out; in fact it would seem that he is of the opinion that nothing is known as to whether it is or not convergent. But, as was first pointed out by Smekal <sup>(6)</sup>, it follows directly from the theorems of Poincare and Bruns that the method cannot in general converge. He further points out that examples can be given in which those particular solutions of dynamic problems, which are conditioned periodic, have at most  $n-s$  independent periods, so that Epstein's expression for  $F_1$  need not be convergent in any finite region of a continuous  $n$ -dimensional manifold. Even assuming that the expansion were convergent, it does not give an approximation to the motion, but only to the energy of this motion, which of course is all that is wanted in the quantization.



Two aspects of the question, both of fundamental importance, are left entirely untouched in Epstein's paper: First, the relation of his solution to the adiabatic hypothesis and second, the connection between his method and the essential features of the principle of correspondence. Let us again examine this question from the standpoint of the quantum-theory of periodic systems.

Periodic properties of the motion of an atomic system, play, as known, a fundamental part in all the investigations of Bohr and his collaborators. He takes the view that, the solution of the canonic equations of a system with  $n$ -degrees of freedom being, in general, too complicated "scarcely offers sufficient basis for fixing and describing discrete stationary states of the system", therefore that only such periodic properties need be taken into account. <sup>(7)</sup> He further points out that in those cases where it has been possible to fix the stationary states, the general solutions of the canonic equations is of simply or multiply periodic character, but is careful to explain that in more complicated cases "we must be prepared for the fact that the (canonic) equations will not prove sufficient for the description of the motion in the stationary states". Let us review briefly Bohr's method for the determination of the stationary states in periodic systems: An  $s$ -periodic non-degenerate system is fixed by

s-conditions  $I_k = n_k h$  ( $k = 1, 2, \dots, s$ ), where the  $I$ 's are canonic variables conjugate to the angle variables  $w$ , of the system (8) and for a separable system coincide with the phase-integrals  $\oint p_k dq_k$  (9). Since the energy of the system is a function of the  $I$ 's only, it is clear that Bohr's conditions are necessary and sufficient for the quantization of the system. During the motion of the system, the  $I$ 's remain constant and only the  $w$ 's increase linearly with time.

Next consider the case where a "perturbation", i.e. an external force which is small compared with the internal forces of the system, is acting on the system. According to the usual procedure of celestial mechanics, described at length elsewhere in this paper, this perturbation is described by considering at each instant the osculating motion of the system, i.e. the motion which would take place if the perturbation at that instant vanished. Let  $\lambda \Omega$  ( $\lambda =$  perturbing parameter) be the potential of the applied forces and assume, of course, the unperturbed motion to be periodic. Then the osculating motion is also periodic, the rate of change of the canonic variables describing this osculating motion is

$$\dot{I}_k^0 = -\lambda \frac{\partial \Omega}{\partial w_k}, \quad \dot{w}_k^0 = \nu_k^0 + \lambda \frac{\partial \Omega}{\partial I_k} \quad k = 1, 2, \dots, s$$

$$\dot{\alpha}_k^0 = -\lambda \frac{\partial \Omega}{\partial \beta_k}, \quad \dot{\beta}_k^0 = \lambda \frac{\partial \Omega}{\partial \alpha_k}$$

$$\Omega = \Omega_0(I, \alpha, \beta) + \sum \Omega_{n_1 \dots n_s}(I, \alpha, \beta) \cos 2\pi (m_1 w_1 + \dots + m_s w_s + \delta_1 + \dots + \delta_s)$$

and the nature of the perturbation is essentially different according as to whether  $\Omega_0$  depends on the action variables  $I_1 \dots I_s$  or contains in addition the variables  $\alpha$  and  $\beta$  which will be so in general of  $s < n$ . If  $s = n$  the perturbations are always of multiply periodic character and the quantum conditions are sufficient to specify the stationary states. For this case a contact-transformation,

$$\begin{aligned} I'_k &= I_k^0 + \lambda \frac{\partial S}{\partial w_k} & \alpha'_k &= \alpha_k^0 + \lambda \frac{\partial S}{\partial \beta_k} & k &= 1, 2 \dots n \\ w'_k &= w_k^0 - \lambda \frac{\partial S}{\partial I_k} & \beta'_k &= \beta_k^0 & & - \frac{\partial S}{\partial \alpha_k} \end{aligned}$$

where

$$S = \frac{1}{2\pi} \sum \frac{\Omega_{m_1 \dots m_n}}{m_1 w_1 + \dots + m_n w_n} \sin 2\pi (m_1 w_1 + \dots + m_n w_n)$$

and the energy of the perturbed motion is given by

$$E_1 = E(I_1^1 \dots I_s^1) + \lambda (I_1^1 \dots I_s^1)$$

but if  $\Omega_0$  depends on the  $\alpha$ 's and  $\beta$ 's, the above process breaks down. In the latter case, a contact-transformation such as the one above does indeed remove all coordinates from  $E$ , but not from  $\Omega$ . We find in fact

$$E_1 = E(I_1^1 \dots I_s^1) + \lambda \Omega_0(I_1^1 \dots I_s^1, \alpha_1^1 \dots \alpha_{s-u}^1, \beta_1^1 \dots \beta_{s-u}^1)$$

$\alpha$  and  $\beta$  being functions of  $t$ . The secular perturbations of the first order are given by

$$\dot{\alpha}_k^1 = -\lambda \frac{\partial \Omega_0}{\partial \beta_k} \quad \dot{\beta}_k^1 = \lambda \frac{\partial \Omega_0}{\partial \alpha_k} \quad k = 1, 2 \dots s-u.$$

Assume now that the solutions of these equations are again multiple periodic of periodicity  $u$ . Then the system is quantized, from Bohr's standpoint, by introducing besides the  $s$  conditions  $I_k = n_k h$ ,  $u$  new conditions  $I_j^1 = n_j h$ . That these conditions are adiabatically invariant follows at once from Burgers proof.

The logical development of Bohr's method is the investigation of M. Born and W. Pauli, Jr. (10). It is seen, from our summary presentation of Bohr's method, that his quantization is limited to the perturbation of the first order, which evidently cannot be always sufficient.

We have already presented the methods used in Celestial Mechanics to obtain formal solutions of the dynamical equations as a power expansion in the perturbing parameter. Born and Pauli seem to be the only writers working on the problem of the quantization of general atomic systems who have paid any attention to the fundamental question of the convergency of the processes they use. They also draw attention to the distinction that must be established in the treatment according as to whether the unperturbed system is or not degenerate. Just as in Bohr's method, given the Hamilton function  $H_0$  of the unperturbed system in terms of the action variables  $I_k^0$ , the problem is to find functions  $I_k, w_k$  of  $I_k^0$  and  $w_k^0$ , which are canonically conjugate and have the same properties

with respect to the perturbed system as the variables  $I_k^0$ ,  $w_0^k$  with respect to the unperturbed system. We shall not go into the detail of Born-Pauli's reasoning, for in the non-degenerate case, it is identical with the Newcomb-Lindstedt method that is common in Celestial Mechanics and has already been expounded at length elsewhere. With respect to the general character of the perturbed orbits, it is to be noted that only periodic changes can take place in the constant orbital elements of the unperturbed motion on account of the fact that these, by hypothesis, can be described by angle variables. In other words, secular perturbations are altogether excluded, if the system is non-degenerate. If the system is degenerate, the method must be essentially modified, and this is the most important contribution of Born and Pauli.

If the unperturbed system is degenerate, Born and Pauli have shown that the problem depends on a solution of a partial differential equation of Hamilton-Jacobi type: if this equation can be integrated by separation of variables, no further difficulties stand in the way of the solution. It is then readily shown that the secular terms can be expanded in Fourier series, but while in the case of periodic terms, the amplitudes are proportional to the perturbing force and the frequencies are finite and constant, in the case of secular terms, the

amplitudes are finite and the frequencies proportional to the perturbing force. But if the Hamilton- Jacobi equation is not integrable by separation of variables, the method breaks down. Bohr then has suggested that in this case we are not really dealing with insufficient analytical resources, but that inherently it is no longer possible to assign mechanically a discrete set of stationary orbits; in other words, that "sharp" quantization is no longer possible and that "sharp" spectral lines must give way to "diffuse" lines <sup>(11)</sup>. Whether this is or is not the case cannot be decided on the basis of present experimental evidence.

Let us consider the point a little closer. We are again dealing here with the so-called "higher" and "lower" commensurabilities, which play, as known, a preponderant part in Celestial Mechanics. If the mean motions of two electrons in an atom is approximately commensurable, so that,

$$n : n_1 = p : q \quad \text{nearly}$$

(p,q prime integers), we have already seen that large terms may arise in the perturbation function. Commensurabilities are closely connected with degeneracy and cause librations or oscillations of the orbital elements and, most important of all, the coördinates are no longer single-valued functions of the integration constants. Under such conditions "sharp" quantization is obviously

impossible, for the rules  $I_k = n_k h$  admit more than one interpretation. Indeed, it is conceivable that uniform continuous manifolds do exist, for sufficiently close "packing" of the singularities of these functions where no single finite set of rules of the type chosen by Sommerfeld and Wilson would yield a discrete set of stationary orbits. The outlook seems slightly better in the case of "higher" commensurabilities, for then large terms can occur in the perturbing function and the general character of the motion remains essentially unaltered. The following points should however be noted:

- 1) A term of the form  $A_1 \cos (m_1 w_1 + m_2 w_2 + \dots + m_n w_n)$  in the perturbing function can only cause small periodic variations which in the vicinity of the commensurability is of the order of magnitude of  $\sqrt{G}$ .
- 2) The angle  $\sum m_k w_k$  increases indefinitely with time, but if the Jacobi coordinates of the perturbed point lie at any time within a small continuous region, nothing but libration about fixed angles can take place.
- 3) If the Jacobi coordinates do not lie within any such region, then the mean anomaly can undergo only continuous changes. From Bohr's standpoint, therefore, lower commensurabilities must give rise to diffuse lines, while higher commensurabilities can still be more or less adequately handled by his method of periodic solutions.

In any case, whatever be the nature of these commen-

surabilities, it follows immediately from the theorems of Bruns and Poincare that it is in general impossible to describe the motion of a perturbed motion by means of multiple Fourier series whose degree of periodicity is equal to the number of degrees of freedom of the system (general solutions) <sup>(12)</sup>. Hence it is in general impossible to describe an atomic system of more than one electron by angle variables and therefore to introduce constant-in-time action variables  $I_k$  which can be used to quantize the system. In other words, an atomic system of more than one electron is in general non-quantizable by our available quantum rules, unless the motion can be described by particular periodic solutions of the types we have considered at length elsewhere.

From an entirely different standpoint, the same conclusion is arrived at in a recent paper of Smekal, <sup>(13)</sup> which was recently discussed by the writer. Smekal points out that, according to an unpublished theorem of Herglotz, if a continuous  $2n$ -dimensional region of initial values of coördinates and momenta exist where  $n$ -fold Fourier series converge everywhere uniformly, then a contact-transformation can be always found which permits obtaining the general solutions directly; but since then all the coördinates are cyclical, the system is separable and conditioned-periodic. Hence the conclusion drawn by the



writer. No general solution of a non-conditioned periodic system can be expressed as a uniformly convergent Fourier series over any finite region of  $p, q$ . This is of course closely allied with the fact, known since the days of Poincare, that the "stable" <sup>(14)</sup> solution of the  $n$ -body problem do not generate any continuous  $2n$ -dimensional manifold. One important point should not be overlooked here: If the correspondence principle has at all anything but a purely formal meaning, the Hamiltonian function must be a continuous function of the action variables  $I_1 \dots I_n$ . Hence the conclusion: The demands of the correspondence principle are in sharp contradiction with the properties of the general solutions of the  $n$ -body problem. Otherwise expressed, even after we neglect the radiation reaction, the requirements of dynamics and electrodynamics cannot be simultaneously satisfied.

Again, if the quantized stationary states are to be looked for among the general solutions, then the stability of the atom becomes shrouded in darkness, for we cannot bring any proof that the distances of the electrons from each other and the nucleus stay always within finite bounds. This seems to be a not inconsiderable argument for the adoption of periodic solutions, in the absence of anything better.

The writer is as yet unable to state whether such particular periodic solutions will yield satisfactory values

for the energy-terms, but at the same time is not in a position to agree with the remark of Bohr, <sup>(15)</sup> that the class of periodic solutions that he has discussed in his paper is the same as the class first proposed by Smekal and discussed by the writer. But the full discussion of this point must be left until later.

In spite of the fact that the method of Born-Pauli is not in general convergent, -- except semi-convergent <sup>(16)</sup> over limited intervals of time, as shown by Poincare -- these two authors believe that it is sufficient for the calculation of energy levels, because, they say "Man kann die doch ziemlich spärlichen Glieder mit allzu kleinen Nennern einfach fortstreichen" which, on their view, is justified because the "diffuse" character of the lines, caused by commensurable relation, is negligible compared to other agencies, like Doppler effect etc. That, aside from any of the purely theoretical arguments which we have brought up, this point of view is actually untenable, is best shown by Born and Heisenberg's <sup>(17)</sup> attempt to apply the method in question to the quantization of the excited helium atom. In this remarkable paper, the first systematic investigation of all possible orbits in the excited helium atom is carried out, with a view to obtaining the values of the energy terms. The result is negative: no values which are at all comparable to experiment are obtained. How much the theoretical values

differ from the experimental ones is best shown by the following table:

Table I

	Azimuthal quantum number of outer electron					
	<sup>2</sup>		<sup>3</sup>		<sup>4</sup>	
	Calc.	Obs.	Calc.	Obs.	Calc.	Obs.
Orthohelium	-0.0625	-0.067	-0.0289	-0.0036	-0.0166	-0.00103
Parhelium	0.0137	0.0103	0.040	-0.0025	0.0017	-0.0014

From the point of view which has been developed in the preceding pages, no other result could indeed be expected. It is, granted of course that Born and Heisenberg's proof that the calculus of perturbations can be applied to the case on hand is correct, but again it follows directly from the theorem of Bruns that, aside from the solutions found by Born and Heisenberg, infinitely many other solutions of non-conditioned-periodic type exist in the immediate neighborhood of their solutions, which may be stable in a dynamical sense, and which cannot be obtained by their method. This, let it be noted, introduces considerable difficulties from the point of view of the adiabatic principle and the correspondence principle. If we require, according to the former, that any one stationary orbit may be adiabatically transformable into a corresponding orbit, we cannot carry out the transformation for the simple reason that the initial orbit is not continuously connected with any other orbit: In other words, the first

requirement of the adiabatic principle -- "infinitely slow change of the parameters" has no sense whatever here, because any infinitesimal change of the orbital parameters will lead at once through an unallowable discontinuity<sup>(18)</sup> to an entirely different orbit.

The difficulties are no less when we consider the matter from the standpoint of the principle of correspondence. Suppose we require that only such changes between quantized orbits are allowable which can be connected by a continuous manifold of conditioned periodic solutions. By Bruns' theorem, no such manifold exists. Next, assume that the solutions are continuous not in an analytical sense but in the sense of the correspondence principle. Born and Heisenberg then find that otherwise allowable orbits become unstable. We must consequently alter our whole conception of stability in order to keep this second hypothesis.

Epstein's method can mean anything only if the Delaunay transformation from which the successive approximations are obtained, actually does result in decreasing the perturbing function. This can only be the case if there exists any definite reason for eliminating a given Fourier term. The Delaunay method assumes in fact that none of the intermediate orbits has a libration in the  $p$ 's.<sup>(19)</sup> If this is not the case, we must take into account the possibility that by a variation of the elements of such an intermediate orbit we are led alternately within and

without the orbit in question. How the trigonometric integrals are to be derived in this case is totally unknown, so far as the writer is aware. Such a fact is entirely disregarded in Epstein's method. It is just for this reason that his quantization of the crossed electric and magnetic fields cannot be looked upon as free from objection, for it is clear that, if nothing is known as to how large the perturbing function may become after the approximating process has been considered ended, the possibility is always extant that that part which has been neglected may be actually of the order of magnitude, or greater, than the part which has been taken into account.

Before closing this paragraph, a general fundamental difficulty in the application of the methods of the calculus of perturbations to quantum-theoretical problems, which has already been emphasized several times before, might properly be discussed here: We refer to the presence of commensurabilities in the motions of electrons in quantized atomic systems. The difficulty has already been discussed by Born and Heisenberg <sup>(20)</sup>, but neither exhausted nor cleared up, in the writer's opinion.

The difficulty in question may be concisely expressed as follows: In the applications of the calculus of perturbations in celestial mechanics, the case where commensurable periodic times exist among the planets can be re-

garded as infinitely improbable, i.e. it is reasonably assumed that no exact commensurabilities can occur. It is on this fact that Poisson's stability proof is based. (21)

On the other hand, such commensurabilities must be present in the motion of electrons in atomic systems, for that is just what the quantum conditions require of the unperturbed motion, as already pointed out by Born and Heisenberg themselves. (22)

It has been shown exhaustively in this paper that when such commensurable ratios do exist, the Newcomb-Lindstedt method, which lies at the basis of the developments of Born and Pauli, breaks down at the outset. Hence the important conclusion: If our quantum conditions are correct, the Newcomb-Lindstedt perturbation method is not applicable in general to atomic systems. In other words, if our quantum conditions are correct all atomic systems are inherently degenerate, which may serve as another argument for the adoption of periodic solutions of lower periodicity than the number of degrees of freedom.

It is true that in such a case of degeneration we may still evade the difficulty by utilizing the Bohlin expansion (23) in powers of  $\mu^{\frac{1}{2}}$  instead of the Newcomb-Lindstedt expansion in powers of  $\mu$ . But again here the expansion is not convergent (24). Hence the following conclusion: For purely analytical reasons, the methods of the calculus of perturbations are not in general applicable to atomic systems with more than one electron.

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The Form of the Integrals of the Equations of Dynamics

Discussion of Poincaré's Theorem

(1)  
 In 1887 Bruns showed that the three-body problem admits no algebraic integrals other than those already known. Two years later Poincaré<sup>(2)</sup> proved that not only ~~are~~ there no other algebraic integrals but even that no uniform transcendental integral over any finite bounded domain can exist. While Poincaré established his theorem only for sufficiently small masses, Bruns proved his for any system of masses.

We have been led elsewhere in this thesis to the study of conservative dynamical systems for which the energy  $F$ , besides depending on the coordinates and momenta, is an analytic function of a parameter  $\mu$ , which, for sufficiently small values of  $\mu$ , can be expanded in a power series in  $\mu$ :  $F = F_0 + \mu F_1 + \mu^2 F_2 + \dots$ . In the case of a periodic system, the configuration of the system -- and hence all the  $F_k$ 's except  $F_0$  -- depend periodically upon the  $q$ 's.  $F_0$  is a function of momenta only ( $px$ ). The canonic equations of such a system are called, as known, normal equations. All perturbation problems studied in celestial mechanics are, as we have shown elsewhere, reducible to normal canonical equations and Poincaré<sup>(3)</sup> proved that such systems do not admit any other

first integral, analytic everywhere and independent of time, besides the energy integral. Geometrically this means, as pointed out by Fermi<sup>(4)</sup> that in  $2n$ -phase space  $\Gamma$  it is impossible to find any family of hypersurfaces, other than that for which  $F = \text{const.}$ , such that the trajectory of the representative point in  $\Gamma$  lies wholly on one of these hypersurfaces. We shall now proceed to discuss the geometrical significance of Poincaré's theorem and show that for  $n > 2$  no continuous analytic hypersurface exists, besides the energy-surface, which can wholly contain a dynamical trajectory issued from one of its points. Analytically formulated: No continuous analytic function  $\bar{\Phi}(q, p, \mu)$  satisfying the normal canonic equations exists such that if  $\bar{\Phi} = 0$  initially,  $\bar{\Phi} = 0$  always, unless from  $\bar{\Phi} = 0$  follows identically  $F = \text{const.}$

Suppose the surface  $S_\mu = \phi(q, p, \mu) = 0$  has the above property; it is required to show that in general  $S_\mu$  coincides with one surface of the family  $F = \text{const.}$  Expand  $\bar{\Phi}$  in a power series in  $\mu$  and let  $\bar{\Phi} = \bar{\Phi}_0 + \mu \bar{\Phi}_1 + \mu^2 \bar{\Phi}_2 + \dots$  where  $\bar{\Phi}_k$ , except  $\bar{\Phi}_0$ , are functions of  $p$  and  $q$ . We see at once that if  $S_\mu$  is known for all values of  $\mu$ , the  $\bar{\Phi}_k$ 's are not completely determined, for  $\bar{\Phi}_0$  is assumed to vanish on  $S_0$ , but otherwise is arbitrary,  $\bar{\Phi}_1$  is determined on  $S_0$  by the condition that for sufficiently small  $\mu$  the two surfaces  $S_\mu$  and  $\bar{\Phi}_0 + \mu \bar{\Phi}_1 = 0$  differ by quantities of

the order of  $\mu^t$ , but otherwise is arbitrary outside of  $S_0$  and so on for all other  $\bar{\Phi}$ 's. We proceed to show now that  $\bar{\Phi}_0$  can be chosen independently of  $p$ .<sup>(5)</sup> Analytically, the proof has already been given before. Geometrically it is necessary to show that all points having a coordinate  $p$  which belong to a point on  $S_0$  are on  $S_0$  and nowhere else. But if  $P_0$  is a point on  $S_0$ ,  $S_0$  contains the entire trajectory of the phase-point of the unperturbed system which starts at  $P_0$ . If then  $F_0$  does not involve the  $p$ 's, the points of this trajectory are such that for them  $p = \text{const.}$ , while the  $q$ 's are linear functions of time, i.e.  $q_k = \nu_k t + \delta_k$ . In  $n$ -space ( $q$ ) this trajectory is a straight line. Since  $F$  is periodic by hypothesis, we may transform all points on these lines to points within a hypercube of side  $2\pi$  and vertex at the origin, which will be filled everywhere densely by the transform  $T_0$ , provided <sup>no</sup> commensurabilities exist among the  $\nu_k$ 's. In this case all the points within the unit cube are the transforms of points on  $S_0$ . If on the other hand relations of the form  $\sum m_k \nu_k = 0$  ( $m_k$  integers) exist on  $S_0$  then we may always assume that such a relation does not hold identically throughout space and that  $S_0 = \bar{\Phi}_0 = \sum m_k \nu_k \approx 0$  is the equation of  $S_0$ , for  $\bar{\Phi}_0$  is wholly arbitrary. Hence in every case,  $\bar{\Phi}_0$  is independent of  $q$ , which was to be shown.

Analytically, the property that  $S_\mu$  contains the tra-

factories issued from one of its points is expressed by saying that  $(F_1, \bar{\Phi}) = 0$  <sup>(6)</sup> is a consequence of  $\bar{\Phi} = 0$ . If then from this last equation we get for example  $p_1$  as a function of  $p_2 \dots p_n, q_1 \dots q_n, \mu$ , substitute in  $(F_1, \bar{\Phi}) = 0$  and expand in a power series in  $\mu$ , the coefficients of all powers of  $\mu$  should vanish. Now, in this expansion the first term  $(F_0, \bar{\Phi}_0)$  vanishes identically throughout space, because  $F_0$  and  $\bar{\Phi}_0$  depend on  $p$  only; the coefficient of  $\mu$  is  $(F_0, \bar{\Phi}_1) + (F_1, \bar{\Phi}_0)$  calculated on  $S_0$ , and we must have, on  $S_0$ ,  $(F_0, \bar{\Phi}_1) + (F_1, \bar{\Phi}_0) = 0$ . Since  $F_1$  and  $\bar{\Phi}_1$  are periodic in the  $q$ 's, we may write

$$F_1 = \sum_{m_1 \dots m_n = -\infty}^{\infty} A_{m_1 \dots m_n} e^{j(m_1 q_1 + \dots + m_n q_n)}$$

$$\bar{\Phi}_1 = \sum_{m_1 \dots m_n = -\infty}^{\infty} B_{m_1 \dots m_n} e^{j(m_1 q_1 + \dots + m_n q_n)}$$

where the coefficients A and B are functions of the  $p$ 's only. We have, after an easy calculation  $(F_0, \bar{\Phi}_1) + (F_1, \bar{\Phi}_0) = \sum_{m_1 \dots m_n = -\infty}^{\infty} e^{j(m_1 q_1 + \dots + m_n q_n)} (A_{m_1 \dots m_n} \sum_j m_j \frac{\partial F_0}{\partial p_j} - B_{m_1 \dots m_n} \sum_k m_k \frac{\partial \bar{\Phi}_0}{\partial p_k}) = 0$

which must be identically satisfied if for any  $p_j$  we write its value in terms of the remaining  $p$ 's. On  $S_0$  all the coefficients must vanish, i.e.

$$A_{m_1 \dots m_n} \sum_j m_j \frac{\partial F_0}{\partial p_j} = B_{m_1 \dots m_n} \sum_k m_k \frac{\partial \bar{\Phi}_0}{\partial p_k}$$

from which follows that if, exceptionally, on a point on  $S_0$  we have  $\sum m_k v_k = 0$ , we also have  $\sum m_k \frac{\partial F_0}{\partial p_k} = 0$  because in general  $B_{m_1 \dots m_n} \neq 0$ . In the system of two degrees of freedom ( $n = 2$ ) it may happen that  $S_0$  has for its equation  $v_2/v_1 = \alpha$ ,  $\alpha$  being an irrational number. As known, it is then impossible to find two integers  $m_1, m_2$  such that for a point on  $S_0$   $m_1 v_1 + m_2 v_2 = 0$ . In this case we have

$$A_{m_1 \dots m_n} = \frac{B_m}{m_1 v_1 + m_2 v_2} \sum_k m_k \frac{\partial \bar{\Phi}_0}{\partial p_k} \quad (1)$$

and, formally at least, all the  $\bar{\Phi}$ 's may be determined. It remains to discuss the convergency of the series, but in this case it is always possible so to choose  $\alpha$  that the series does converge <sup>(7)</sup>. For the system with 2 degrees of freedom we thus have that besides the family of hypersurfaces  $F = \text{const.}$ , an infinite number of families of hypersurfaces  $\bar{\Phi} = \text{const.}$  exist having the property above.

On the other hand, if  $n > 2$ , matters are considerably altered. Let for simplicity, but without loss of generality  $n = 3$ . We may then have the following cases:  
 1) Of the three ratios  $v_2/v_1, v_3/v_1, v_3/v_2$ , one of them is rational, but neither of the other two are constant. Then, on  $S_0$  a dense set of points exists for all points

on which, say  $\nu_1/\nu_2$  is rational. For any one of the points forming this set, two integers  $m_1, m_2$  can be found such that  $m_1\nu_1 + m_2\nu_2 = 0$ . And then by the equation (1):

$$m_1 \frac{\partial \bar{\mathcal{E}}_0}{\partial p_1} + m_2 \frac{\partial \bar{\mathcal{E}}_0}{\partial p_2} = 0$$

and hence  $\nu_1/\nu_2 = \frac{\partial \bar{\mathcal{E}}_0}{\partial p_1} / \frac{\partial \bar{\mathcal{E}}_0}{\partial p_2}$

and since the points for which this holds form a dense set on  $S_0$ , the latter must be identically satisfied.

Likewise we may show that  $\nu_3/\nu_2 = \frac{\partial \bar{\mathcal{E}}_0}{\partial p_3} / \frac{\partial \bar{\mathcal{E}}_0}{\partial p_1}$

Hence on  $S_0$  we have

$$\nu_1 : \nu_2 : \nu_3 = \frac{\partial \bar{\mathcal{E}}_0}{\partial p_1} : \frac{\partial \bar{\mathcal{E}}_0}{\partial p_2} : \frac{\partial \bar{\mathcal{E}}_0}{\partial p_3}$$

and since  $\nu_1 : \nu_2 : \nu_3 = \frac{\partial F_0}{\partial p_1} : \frac{\partial F_0}{\partial p_2} : \frac{\partial F_0}{\partial p_3}$  by the

canonic equations therefore the derivatives of  $\bar{\mathcal{E}}_0$  and  $F_0$  with respect to corresponding  $p$ 's are proportional.

Therefore  $\bar{\mathcal{E}}_0 = 0$  coincides with one of  $F_0 = \text{const.}$ , for example, with  $F_0 = -c_0$ . On  $S_0$  we have now  $\bar{\mathcal{E}}_0 = F_0 + c_0$

and since  $\bar{\mathcal{E}}_0$  is arbitrary outside of  $S_0$ , we may take

$$\bar{\mathcal{E}}_0 = F_0 + c_0 \text{ throughout space. } S_0 \text{ being thus determined}$$

$\sum m_k \nu_k$  cannot vanish identically on  $S_0$  unless all the  $m$ 's vanish. Eliminating this case, we may divide through

both sides of (1) by  $\sum m_k \nu_k = \sum m_k \frac{\partial \bar{\Phi}_0}{\partial p_k}$  and

find  $A_{m_1 \dots m_n} = B_{m_1 \dots m_n}$ , except  $A_{0 \dots 0} \neq B_{0 \dots 0}$ ,

leading to  $\bar{\Phi}_1 = F_1 + f_1(p_1 \dots p_n)$ . We thus have shown

$$\left. \begin{aligned} \bar{\Phi}_0 &= F_0 + c_0 \\ \bar{\Phi}_1 &= F_1 + f_1(p_1 \dots p_n) \end{aligned} \right\} (2)$$

Lastly suppose that for a certain  $r$  we have shown

$$\left. \begin{aligned} \bar{\Phi}_0 &= F_0 + c_0 & \bar{\Phi}_{r-1} &= F_{r-1} + c_{r-1} \\ \bar{\Phi}_1 &= F_1 + c_1 & \bar{\Phi}_r &= F_r + f_r(p_1 \dots p_n) \\ \dots & & \dots & \end{aligned} \right\} (3)$$

the  $c$ 's being constants. We want to prove now that analogous equations hold for  $r+1$ . For  $r=1$ , (3) and (2) are identical, so for any  $r$   $\bar{\Phi}_r - F_r = \text{const.}$  and hence  $S_\mu$  coincides with one of the surfaces of the family  $F = \text{const.}$  From (3) we see that the first  $(r+1)$  terms of the expansion of  $\bar{\Phi} = 0$  vanish identically throughout space and that the coefficient of  $\mu^{r+1}$  is

$$\begin{aligned} (F_0, \bar{\Phi}_{r+1}) + (F_1, f_r) + (F_{r+1}, F_0) &= (F_0, \bar{\Phi}_{r+1} - F_{r+1}) + \\ &+ (F_1, f_r) = F_r \end{aligned}$$

taken on  $S_0$ . On  $S_0$  we must therefore have

$$(F_0, \bar{\Phi}_{r+1} - F_{r+1}) + (F_1, f_r) = 0 \quad (4)$$

and reasoning on this equation exactly as above, it is

found that on  $S_0$  the partial derivatives of  $F_0$  and  $f_r$  with respect to all the  $p$ 's are proportional and since on  $S_0$ ,  $F_0$  is a constant,  $f_r$  must also be a constant, but since  $f_r$  is arbitrary outside of  $S_0$ , we may put  $f_r = \text{const.} = c_r$  throughout space  $\Gamma$ . (4) then reduces to  $(F_0, \bar{\varphi}_{r+1} - F_{r+1}) = 0$  and letting

$$\bar{\varphi}_{r+1} - F_{r+1} = \sum_{m_1, \dots, m_n = -\infty}^{\infty} c_{m_1 \dots m_n} e^{j(m_1 q_1 + \dots + m_n q_n)}$$

(4) becomes,

$$\sum_{m_1, \dots, m_n = -\infty}^{\infty} e^{j(\sum_{k=1}^n m_k q_k)} c_{m_1 \dots m_n} \sum_k m_k \nu_k = 0$$

Therefore on  $S_0$ ,  $c_{m_1 \dots m_n} \sum_k m_k \nu_k$  must vanish and for all  $C$ 's except  $C_{0 \dots 0}$  must vanish on  $S_0$ . Let us write

$$C_{0 \dots 0} = f_{r+1}(p_1 \dots p_n) \quad \text{on } S_0;$$

since  $\bar{\varphi}_{r+1}$  is arbitrary outside  $S_0$ , we may extend this equation throughout space. Our proof is now complete.

The sufficient, but not necessary, conditions for the validity of this proof are:

- 1)  $n > 2$ .
- 2) No linear relations exist between the fundamental frequencies  $\nu_k$  of the unperturbed system.
- 3) None of the relations  $\nu_i / \nu_j = \text{const.}$  follows from another similar relation  $\nu_k / \nu_l = \text{const.}$
- 4)  $\sum m_k \nu_k$  does not vanish on any of the surfaces  $F_0 = \text{const.}$  except for  $m_k = 0$  ( $k = 1, 2 \dots n$ ).



5) None of the coefficients  $B_{m_1 \dots m_n}$  vanish on  $F_0 = \text{const.}$  for  $\sum m_k \nu_k = 0$ .

If commensurable relations exist between the periods, some of the terms of the perturbation function are no longer periodic and become secular. This case will <sup>not</sup> be examined here. <sup>(8)</sup>

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The Adiabatic Invariance of Quantized Orbits

It is well-known that the adiabatic theorem of Ehrenfest <sup>(1)</sup> states that if a dynamical system is at a certain instant in a quantized state and its "mechanism" -- in the larger sense given to this term by P. Hertz <sup>(2)</sup> -- is altered by an infinitely slow adiabatic process, this system stays quantized in the same state throughout and at the end of the adiabatic transformation.

In order that the adiabatic theorem may have a definite meaning it is required that the final configuration be independent of the way in which the transformation from the initial state is carried out, i.e. independent of any one of the intermediate states. That this is so for non-degenerate systems which admit a separation of variables, more generally, for systems which can be described by angle variables <sup>(2a)</sup>, in the initial and all intermediate states, was shown by Burgers <sup>(3)</sup>. How important this last clause is, is pointed out very clearly in an example due to Fermi <sup>(4)</sup>. Degenerate systems have also been discussed by Burgers who has shown that the energy of such systems is adiabatically invariant.

The simpler cases of atomic theory which belong to the conditioned-periodic type having been studied with

greater or less thoroughness -- Stark and Zeeman effects, fine structure, etc. -- the problems which are now presenting themselves for consideration belong to the class which is non-separable so far as known, and does not admit of an expression in terms of angle variables, above all the helium atom, an example of a three-body problem, and the hydrogen molecule, an example of the "reduced" four-body problem. All attempts to reduce the treatment of such systems to that of conditioned-periodic systems may be now looked upon as failures. The object of the present part of this paper is then: How can the adiabatic theorem be extended to non-conditioned-periodic systems? It is clear that the answer to the question may lead to information as to what quantum conditions fix the stationary orbits in this more general type of dynamical system.

The system is assumed to be holonomic and conservative, of  $n$  degrees of freedom. As usual, its phase is fixed by the coordinates of a point  $P$  -- the phase-point -- in  $2n$ -phase-space  $\Gamma$ . Through every point of  $\Gamma$  we can pass a hypersurface  $E$ , characterized by the fact that for all points on it, the energy of the evolving system is a constant. If we set this energy equal to the energy-constant of our conservative system, its whole trajectory will then be upon this hypersurface. Quasi-ergodic systems, for example, are such that their trajectory on  $E$  passes infinitely

(5)  
 near every point on  $E$ . Our system may, in certain cases, admit, besides the energy-integral, other integrals which are independent of time, in which case the trajectory in phase-space will fill up a manifold of lower dimensionality. Let  $\bar{\Phi}_K(p,q) = c_K$  ( $K = 1, 2 \dots s$ ) be  $s$  of these integrals, the  $c$ 's being arbitrary constants. Through every point of  $\Gamma$  passes a manifold of  $2n - s$  dimensions  $G$ , which is the intersection of the  $s$  hypersurfaces  $\bar{\Phi}_K = \text{const}$ , and the trajectory through a given point must be all contained in  $G$ . In the same way as for quasi-ergodic systems, the trajectory on  $G$  passes infinitely near every point on  $G$ . Geometrically, the statistical character of the trajectory is determined when the hypersurfaces  $\bar{\Phi}_K = \text{const}$ . are known. These hypersurfaces will be called, following Fermi and others, <sup>(6)</sup> the characteristics of the system. A quasi-ergodic system has therefore, but a single characteristic.

A conservative system which admits a separation of variables (conditioned-periodic system) has as many characteristics as degrees of freedom, corresponding to the  $n$  constants  $(\alpha)$  of the complete integral of the Hamilton-Jacobi equation; <sup>(7)</sup> a greater number can only exist when the system is degenerate, i.e. when linear relations with integral coefficients exist between the fundamental frequencies. In the classical example of the two-dimensional anisotropic oscillator, the phase-space  $\Gamma$  is four-dimen-

sional and the trajectory lies on a two-dimensional surface  $G$ : the system has therefore two characteristics. If on the other hand, the binding is isotropic, the system is simple-periodic and  $G$  is one-dimensional, which corresponds to three characteristics.

Let the coördinates of the point  $P$  in phase-space be  $x_1 \dots x_{2n}$ , -- instead of the more conventional  $q_1 \dots q_n, p_1 \dots p_n$ . We investigate the following problem: What is the probability that, at a given instant,  $x_1 \dots x_{2n-s}$  have values between  $x_1$  and  $x_1 + dx_1 \dots x_{2n-s}$  and  $x_{2n-s} + dx_{2n-s}$ , while the  $s$  remaining  $x$ 's have values such that they correspond to points on  $G$ . Now it is shown in statistical mechanics that, in order that a distribution in  $\Gamma$  be stationary, i.e. in statistical equilibrium, it is necessary that the statistical density be constant on each one of the hypersurfaces  $G$ .<sup>(8)</sup> Let  $j$  be the Jacobian

$$j = \frac{\partial(\bar{x}_1, \dots, \bar{x}_s)}{\partial(x_{2n-s+1}, \dots, x_{2n})}$$

then the element of volume in  $\Gamma$  is

$$dv = \frac{1}{j} dx_1 \dots dx_{2n-s} d\bar{x}_1 \dots d\bar{x}_s$$

which, since during the motion of the system  $d\bar{x}_1, \dots, d\bar{x}_s$  are constants, may be written:

$$d\sigma = \frac{1}{j} dx_1 \dots dx_{2n-s}$$

whence the required probability is

$$P_1 = \frac{1}{j} \frac{d\sigma}{\int \frac{d\sigma}{j}}$$

the limits of integration being taken so as to include all values of  $x_1 \dots x_{2n-s}$  which belong to the points on G.

For quasi-ergodic systems the only characteristic is the energy W. The probability that the representative point of such a system in phase-space  $\Gamma$  be within a space element of angle  $d\omega$  is measured by the product of the hypervolume between W and  $W+dW$  and the element  $d\omega$ . This probability is, hence

$$P_2 = \frac{r^{2n-1} d\omega}{\frac{\partial H}{\partial r} \int \frac{r^{2n-1} d\omega}{\frac{\partial H}{\partial r}}}$$

the integral being taken throughout the unit reference hypersphere.

The problem is now the following: Let the system depend on m parameters  $\lambda_1 \dots \lambda_m$ : When are the final values of the characteristics independent of the way in which an adiabatic transformation of the system is carried out, through adiabatic variation of the parameters  $\lambda_1 \dots \lambda_m$ ? By above, <sup>relation between the former and the latter</sup> this  $\Delta$  is given by the system of differential equations

$$\frac{d\bar{E}_k}{d\lambda_i} = \frac{\int \frac{\partial \bar{E}_k}{\partial \lambda_i} \frac{d\sigma}{j}}{\int \frac{d\sigma}{j}} \quad \begin{array}{l} k = 1, 2 \dots s \\ i = 1, 2 \dots m \end{array}$$

and if the values of  $\bar{E}_k$  are known for  $\lambda_1, \lambda_2, \dots, \lambda_m = 0$ , the in-

tegral of this system gives the desired variation between the  $\mu$ 's and the  $\lambda$ 's. In order that the final values of the characteristics be independent of the way in which these parameters are altered -- provided only that the change is adiabatic -- the condition of unlimited integrability of this system must be satisfied.

It can be easily shown that for a quasi-ergodic system the condition of unlimited integrability is satisfied. For in this case the above system reduces to the single equation, corresponding to the only characteristic W

$$\frac{dW}{d\lambda_k} = \frac{\int \frac{\frac{\partial W}{\partial \lambda_k}}{\frac{\partial W}{\partial r}} r^{2n-1} d\omega}{\int \frac{1}{\frac{\partial W}{\partial r}} r^{2n-1} d\omega} d\lambda_k \quad (\text{added on } k = 1, 2, \dots, m)$$

Assuming  $m = 2$ , and denoting the coefficients of  $d\lambda_1, d\lambda_2$  by  $L_1, L_2$ , the condition of unlimited integrability is;

$$\frac{\partial L_1}{\partial \lambda_1} + L_2 \frac{\partial L_1}{\partial W} = \frac{\partial L_2}{\partial \lambda_2} + L_1 \frac{\partial L_2}{\partial W}$$

and we obtain after a somewhat long computation:

$$\begin{aligned} \frac{\partial L_1}{\partial W} = & \frac{1}{\left( \int \frac{r^{2n-1} d\omega}{\frac{\partial W}{\partial r}} \right)^2} \left[ \int \frac{r^{2n-1} d\omega}{\frac{\partial W}{\partial r}} \left[ (2n-1) \int \frac{r^{2n-2} \frac{\partial W}{\partial \lambda_1} d\omega}{\left( \frac{\partial W}{\partial r} \right)^2} + \right. \right. \\ & \left. \left. + \int \frac{r^{2n-1} \frac{\partial^2 W}{\partial \lambda_1 \partial r}}{\left( \frac{\partial W}{\partial r} \right)^2} d\omega - \int \frac{r^{2n-1} \frac{\partial W}{\partial \lambda_1} \frac{\partial^2 W}{\partial r^2}}{\left( \frac{\partial W}{\partial r} \right)^3} d\omega \right] - \right. \end{aligned}$$



$$\begin{aligned}
& - \int \frac{r^{2n-1} \frac{\partial W}{\partial \lambda_1} d\omega}{\frac{\partial W}{\partial r}} \left[ (2n-1) \int \frac{r^{2n-2} d\omega}{\left(\frac{\partial W}{\partial r}\right)^2} - \right. \\
& \left. - \int \frac{r^{2n-1} \frac{\partial^2 W}{\partial r^2} d\omega}{\left(\frac{\partial W}{\partial r}\right)^3} \right] \\
\frac{\partial L}{\partial \lambda_2} = & \frac{1}{\left(\int \frac{r^{2n-1} d\omega}{\frac{\partial W}{\partial r}}\right)^2} \left[ \int \frac{r^{2n-1} d\omega}{\frac{\partial W}{\partial r}} \left[ - (2n-1) \int \frac{r^{2n-2} \frac{\partial W}{\partial \lambda_1} \frac{\partial W}{\partial \lambda_1}}{\left(\frac{\partial W}{\partial r}\right)^2} d\omega + \right. \right. \\
& \left. \left. + \int \frac{r^{2n-1} d\omega}{\frac{\partial W}{\partial r}} \left( \frac{\partial^2 W}{\partial \lambda_1 \partial r} - \frac{\frac{\partial^2 W}{\partial \lambda_1} \frac{\partial W}{\partial r}}{\frac{\partial W}{\partial r}} \right) - \right. \right. \\
& \left. \left. - \int \frac{r^{2n-1} \frac{\partial W}{\partial \lambda_1} d\omega}{\left(\frac{\partial W}{\partial r}\right)^2} \left( \frac{\partial^2 W}{\partial \lambda_1 \partial r} - \frac{\frac{\partial W}{\partial \lambda_1} \frac{\partial^2 W}{\partial r^2}}{\frac{\partial W}{\partial r}} \right) \right] - \right. \\
& \left. - \int \frac{r^{2n-1} \frac{\partial W}{\partial \lambda_1} d\omega}{\frac{\partial W}{\partial r}} \left[ (2n-1) \int \frac{r^{2n-2} \frac{\partial W}{\partial \mu} d\omega}{\left(\frac{\partial W}{\partial r}\right)^2} + \right. \right. \\
& \left. \left. + \int \frac{r^{2n-1} d\omega}{\left(\frac{\partial W}{\partial r}\right)^2} \left( \frac{\partial^2 W}{\partial \lambda_1 \partial r} - \frac{\frac{\partial W}{\partial \lambda_1} \frac{\partial^2 W}{\partial r^2}}{\frac{\partial W}{\partial r}} \right) \right] \right]
\end{aligned}$$

and likewise for  $\frac{\partial L_2}{\partial \lambda_1} + L_1 \frac{\partial L_2}{\partial W}$ . It is seen that

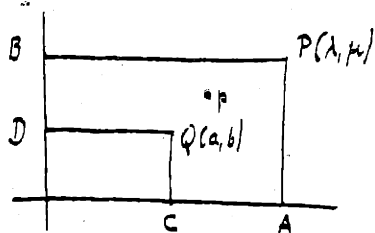
$\lambda_1$  and  $\lambda_2$  are symmetrical, so that the above integrability condition is satisfied. Hence the theorem: The

energy of a quasi-ergodic system is an adiabatic invariant. Otherwise expressed: Any dynamical system having a single characteristic admits an adiabatic transformation leaving this characteristic adiabatically invariant.

For dynamical systems with more than one characteristic it is seen, by carrying through a similar computation, which of necessity is more complicated, that the condition of unlimited integrability is not in general satisfied.

This can be best seen by the following physical example (9)

due to Fermi : Suppose that a point  $p$  is moving in-



side the polygon APBDQCA under the action of no forces, but in such a manner that a perfectly elastic impact takes place every time it

strikes against one of the sides of the polygon. Under such conditions it is obvious that the absolute values of the components  $u, v$  of the velocity along the two axes stay constant during the motion, so that the system has two characteristics. Let  $(a, b)$  be the coordinates of the fixed point  $Q$ ,  $(\lambda, \mu)$  those of the variable point  $P$ . We thus have a physical system having two characteristics and depending on two parameters  $\lambda, \mu$ . If now the position of  $P$  varies adiabatically,  $u$  and  $v$  are given by the equations:

$$\frac{d}{d\lambda} \log u = \frac{2\mu}{ab - \lambda\mu} \quad , \quad \frac{d}{d\mu} \log v = \frac{2\lambda}{ab - \lambda\mu}$$

neither of which satisfies the conditions of integrability.

Hence the characteristics depend on the way the transformation of P is carried out, and we have the theorem: If a dynamical system has more than one integral independent of time -- i.e. more than one characteristic -- none of these integrals is in general an adiabatic invariant.

Certain exceptions exist in which the system does admit adiabatic invariants. The most important is that studied by Burgers, characterized by the fact that the system can be described by angle variables. In this case there are n adiabatic invariants, i.e. the n phase integrals. Another particular case which is also important, first pointed out by Fermi (l.c.), is that in which the energy only depends explicitly on the parameters of the system. For the former is an adiabatic invariant, as already shown above, while the other characteristics are such that, by hypothesis,

$$\frac{\partial \mathcal{E}_i}{\partial \lambda_i} = 0$$

We thus arrive at the following important conclusion: The adiabatic theorem is in general applicable to systems in which only one characteristic depends explicitly on the parameters of the system.

(10)

Krutkow and Fock have proved the adiabatic invariance of the phase integral of a pendulum -- system of one degree of freedom -- for the particular case that the length of the string is a linear function of the time. Very recently H. Kneser (11) has proved the same theorem for any system of one degree of freedom, by methods which dif-

fer considerably from those employed here. The following remarks may throw some light upon this question: Ehrenfest and Burgers showed that the average change of the phase-integrals during a slow transformation for all the phases of a multiple periodic motion vanishes, provided the system does not become degenerate during the transformation. Sommerfeld pointed out, however, that this condition of slow transformation is not enough; the time variation of the parameter determining the mechanism of the system -- in the general sense given to this expression above -- must be "unsystematic", that is, such that the time average can be asymptotically substituted by the phase average. <sup>(12)</sup> That this condition is not satisfied with sufficient accuracy for pendular motion was pointed out by Krutkow and Fock who then proceeded to establish the adiabatic invariance of the phase integral by assuming the linear law, as pointed out above.

Kneser, on the other hand, enunciates his theorem as follows: Let the time variation of the parameter be given by  $\lambda = f(t)$  for  $0 < t < T$ , then the total variation of the phase integral  $I/T - I/0$  approaches the limit 0 as  $T$  increases indefinitely. His proof is carried out quite rigorously. The fundamental geometrical-statistical bearing of the question is not touched upon in his paper. That this is essential is clearly brought out in the papers <sup>(12a)</sup> of Ehrenfest. It seems therefore that the treatment adopted in this paper is to be preferred. The ex-

treme generality of the methods involved is again emphasized.

Some other difficulties which occur in the generalization of the concept of adiabatic invariance to systems of higher degree of freedom may be discussed here. Consider again a system of  $n$  degrees of freedom specified by coordinates  $q_1 \dots q_n$  and their conjugate momenta  $p_1 \dots p_n$  and the Hamiltonian function  $H(p, q)$ . Its phase-path in  $2n$ -phase space comes in general as near as desired to any point in an  $s$ -dimensional manifold  $G_s$ , which is contained of course in the  $(2n-1)$  hypersurface  $H(p, q) = E$ . If the motion is simple-periodic  $s = 1$ ;  $s$  has its maximum value  $s = 2n - 1$  if the motion is quasi-ergodic. Thus  $s$  can have all integral values within 1 and  $2n-1$ . Now, suppose that the motion can be described by angle variables, that is, that  $q$  and  $p$ , <sup>and its derivatives</sup> can be expanded in an  $r$ -fold Fourier series ( $1 \leq r \leq 2n-1$ )

$$p, q = \sum_{m_1 \dots m_r = -\infty}^{\infty} A_{m_1 \dots m_r} e^{j[2\pi(m_1\nu_1 t \dots m_r\nu_r t) + \delta_1 + \dots + \delta_r]}$$

in which there are no "degenerate" terms, that is no relations of the form  $\sum m_k \nu_k = 0$ . Now consider an  $r$ -dimensional region  $(\xi_1, \dots, \xi_r)$  and in it the lines  $\xi_k = \nu_k t$  ( $k = 1, 2 \dots r$ ). By means of the Fourier series above, a correspondence is established between points of  $\xi_j \dots \xi_r$  and points on  $G_s$  in phase-space  $(p, q)$ . Suppose we divide the

$\xi$  -space into unit "cubes"; then each line  $\xi_k$  pierces our first cube and an infinite number of other cubes; we take for the intercepted segments in the 2nd, 3rd ..... cube homologous segments within the first cube; on account of the absence of relations of the form  $\sum m_k z'_k = 0$ ,  
 (13) these segments form within the first cube a dense set; hence the  $\xi$  -paths come as near as desired to every point  
 (14) in an  $r$ -dimensional region, while the corresponding point in  $G_s$  describes a certain phase path coming as near as desired to every point on  $G_s$ . Were the correspondence between  $\xi$  -space and  $s$ -space unique (one-to-one) and continuous, then  $r = s$ , because this transformation is such as to leave the number of dimensions invariant (Brouwer's  
 (15) theorem ). But it has not been shown that our correspondence is unique and continuous, hence we cannot yet maintain that a system of  $n$  degrees of freedom must have less than  $2n-1$  independent periods.

However, it should be noted that the unicity and continuousness of the transformation from  $\xi$  to  $p, q$  involves differentiability conditions only, which in all probability are satisfied by our relations, at least within the domain considered, entirely for obvious physical reasons.

The significance of these considerations for the quantum theory will be readily appreciated, for the quantization rules (Sommerfeld-Wilson<sub>and Bohr</sub>) hold only for systems for which the degree of periodicity  $u$  is less or at most equal

to the number of degrees of freedom. In any case the possibility of constructing periodic systems with more than  $n$  independent periods is already disturbing enough. This difficulty is discussed and beautifully illustrated in a recent note of P. Ehrenfest<sup>(16)</sup>. As an example, consider the following:<sup>(17)</sup> A rigid electric dipole with moment of inertia  $I$  rotates freely in the  $xy$ -plane about its midpoint  $O$ , in such a way that as soon as the angle  $\phi$  which its axis makes with the  $x$ -axis, exceeds the limits  $-2\pi n \leq \phi \leq 2\pi n$ , the dipole suffers a perfectly elastic reflection.  $n$  is a large irrational number. The dipole has then a "doubly"-periodic motion, one of discontinuous period  $T = 8\pi n / \dot{\phi}$ , in which it rotates  $2n$  times in one direction and  $2n$  times in the opposite direction, the other harmonic of period  $2\pi / \dot{\phi}$  due to its rotation about its axis. Ehrenfest and Breit point out that in this case the single quantum condition for a system of one degree of freedom  $\oint p dq = nh$  leads to entirely absurd values of the energy, in fact to no quantization if  $n$  is chosen sufficiently great. This result is due altogether, in the light of the preceding discussion, to the fact that our quantum conditions cannot be applied to systems where the degree of periodicity is greater than the number of degrees of freedom. In this case we would have to begin by investigating the adiabatic invariants of the problem. It should also be carefully emphasized that we

are not dealing here with an example where  $u > 2n - 1$ , because one period is that of a harmonic function ("true period  $2\pi/\dot{\phi}$ "), the other that of a discontinuity ("discontinuous" period  $8n\pi/\dot{\phi}$ ).

Our general theory of adiabatic invariants leads to another important conclusion: Bohr's fundamental principle of the existence and permanence of quantum numbers demands the existence of adiabatic invariants and, since we have shown that such adiabatic invariants exist with certainty only for periodic systems, it must be concluded that atomic systems admit periodic solutions, of the types already discussed. This again seems to the writer to be a not inconsiderable argument for the adoption of particular periodic solutions, of the type he has already advocated, in the dynamic descrip-<sup>(12)</sup>tion of atoms with more than one electron.



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The Separation of Variables in Hamilton-Jacobi's Equation

It is well-known that for dynamical systems of  $n$  degrees of freedom, for which the motions corresponding to the several degrees of freedom are independent -- separable or conditioned-periodic systems -- the set of Sommerfeld-Wilson rules  $I_k = \oint p_k dq_k = n_k h$ ,  $k = 1, 2, \dots, n$ , furnish the necessary and sufficient conditions for the quantization of the system. (1) The heuristic nature of these rules is sufficiently well-known to require (2) any further comment. It thus becomes of interest to discuss the following problem: Which types of the Hamilton-Jacobi equation can be integrated by separation of variables? This is known in the literature as Stäckel's problem, by whom it was first propounded in 1891 in his celebrated "Habilitationsschrift": "Über die Integration der Hamilton-Jacobischen Differentialgleichung mittels Separation der Variabeln" (Halle, 1891). Stäckel gave the solution of the orthogonal case and also the conditions of possibility. (3) Later, in 1904, Levi-Civita (4) gave these conditions in explicit form and first pointed out clearly the part played by the potential function in the problem. Both Stäckel and Levi-Civita gave the complete solution of the problem, so far as the kinetic energy alone is concerned, for the system with two degrees of freedom, (5) and Dell'Acqua (6) for the system with three

degrees of freedom. In 1911, Burgatti<sup>(7)</sup> gave  $n+1$  types of solutions for systems of  $n$  variables, without showing that those are ~~not~~ possible, but his conclusions are more based on a genial intuition than on any logical reasoning.

At the basis of the present discussion, lies the following fundamental theorem established by Levi-Civita (l.c.) and which forms the starting point of the important investigations of Dall'Acqua<sup>(8)</sup> which we now ~~take up~~ in considerably simplified form: If a conservative holonomic dynamical system is integrable by separation of variables, the equation defining the geodesics on the surface specified by the first fundamental form  $ds^2 = \sum a_{ij} dx_i dx_j$ , corresponding to the kinetic energy  $T$  of the system, obtained from its Hamiltonian (or Lagrangian) function by letting the potential vanish, is also integrable by separation of variables. Such dynamical systems are called geodesic. It is well-known that the converse theorem is not in general true, i.e. if the geodesic case is separable it does not always follow that the dynamic problem is separable, except in<sup>(9)</sup> the case where the potential is essentially zero. To this type belong the cases studied by Levi-Civita.

Let  $H(q_r, \frac{\partial W}{\partial q}) = h_0$  be our Hamiltonian-Jacobi equation. The condition that it be integrable by separation

of variables is expressed by saying that  $\frac{\partial W}{\partial q_k} = f_k(q_k)$

where  $f_k$  depends on  $q_k$  only. Writing, following Dall'Acqua,

$$\rho_k = \frac{\frac{\partial H}{\partial q_k}}{\frac{\partial H}{\partial p_k}}$$

the condition of separability is expressed by  $\frac{dp_k}{dq_k} = -\rho_k$  or by the condition

$$\frac{\partial \rho_k}{\partial q_j} - \rho_j \frac{\partial \rho_k}{\partial p_j} = 0 \quad k \neq j \quad (1)$$

which must be satisfied for all values of  $k$  and  $j$  from 1 to  $n$ . As shown by Levi-Civita (10) these conditions

are necessary and sufficient. Let  $T = \frac{1}{2} a_{rs} \dot{q}_r \dot{q}_s$  (the

summation convention is adopted throughout this article)

be the kinetic energy,  $U$  the potential of the forces

acting on the system. If  $a^{rs}$  are the contravariant

fundamental tensors of the first fundamental form, then

$K = \frac{1}{2} a^{rs} p_r p_s$ , and the Hamiltonian function, is  $H = K + U$ .

By the canonic equations

$$\dot{q}_r = \frac{\partial H}{\partial p_r} = \frac{\partial K}{\partial p_r} = \frac{1}{2} a^{rs} p_s$$

whence  $\rho_r = \frac{\partial H}{\partial q_r} / \dot{q}_r$ . We now note that

$$\dot{q}_r \frac{\partial \rho_r}{\partial q_s}, \quad \dot{q}_r \frac{\partial \rho_r}{\partial p_s}, \quad \dot{q}_r \rho_s \quad (\text{not summed}) \text{ are inte-}$$

gral rational (entire) functions in the  $p$ 's or  $q$ 's. There-

fore equation (1) multiplied by  $\dot{q}_r \dot{q}_s$ , i.e.

$$\dot{q}_s \left( \dot{q}_r^2 \frac{\partial \rho_r}{\partial q_s} \right) - \left( \dot{q}_r \frac{\partial \rho_r}{\partial p_s} \right) (\dot{q}_s \rho_s) = 0 \quad (2)$$

is an entire function, which must be satisfied for all values of  $p$ ; we may therefore set each coefficient equal to zero. The terms not involving  $U$  are those of the fourth degree in  $p$ , while those of lower degree in  $p$  involve both  $K$  and  $U$ . If we consider only the geodesic problem ( $U = 0$ ) all these terms vanish. We thus have Levi-Civita's theorem given above.

In (2), the left-hand side is an entire function in  $p$  or  $q$ . The first term is obviously divisible by <sup>the</sup>  $\dot{q}_s$ 's. The second may or may not. We thus have two possible cases in the indices  $s$ :

- 1<sup>o</sup> The function  $\dot{q}_k \rho_k$  is divisible by  $\dot{q}_k$  or zero.
- 2<sup>o</sup> The function  $\dot{q}_k \rho_k$  is non-vanishing and non-divisible by  $\dot{q}_k$ .

Case 1. If  $L_k$  is an entire function in  $p$ , we shall have  $\rho_s = L_s$ , or by above,

$$\frac{\partial U}{\partial q_s} = 0, \quad \frac{\partial K}{\partial q_s} = \dot{q}_s L_s \quad (3)$$

and it becomes quite evident that  $L_s$  is linear in the  $p$ 's.

Case 2. If  $s$  belongs to this case ( $\dot{q}_s \rho_s$  is not divisible by  $\dot{q}_s$ ) then  $\dot{q}_r^2 \frac{\partial \rho_r}{\partial p_s}$  is divisible by <sup>the</sup>  $\dot{q}_r$ 's. If

we denote by  $M_{rs}$  an entire function in  $p$ , we shall have:

$$\dot{q}_r \frac{\partial p_r}{\partial p_s} = \dot{q}_s M_{rs} \quad (r \neq s)$$

which, by above, separating the coefficients of the different powers, becomes:

$$a^{rs} \frac{\partial U}{\partial q_r} = 0 \quad (4)$$

$$\frac{\partial^2 K}{\partial q_r \partial p_s} \dot{q}_r - \frac{\partial K}{\partial q_r} a^{rs} = \dot{q}_s M_{rs} \quad (r \neq s) \quad (4a)$$

and, from the last equation, the M's are linear homogeneous functions in p. Substituting in (2), we may separate the latter into the two conditions,

$$\frac{\partial^2 U}{\partial q_r \partial q_s} \dot{q}_r - \frac{\partial U}{\partial q_r} \frac{\partial^2 K}{\partial q_s \partial p_r} - \frac{\partial U}{\partial q_s} M_{rs} = 0 \quad (5)$$

$$\frac{\partial^2 K}{\partial q_r \partial q_s} \dot{q}_r - \frac{\partial K}{\partial q_r} \frac{\partial^2 K}{\partial q_s \partial p_r} - \frac{\partial K}{\partial q_s} M_{rs} = 0 \quad (5a)$$

Differentiating (4a) with respect to  $q_s$ , (5a) with respect to  $p_s$  and subtracting, we get

$$\frac{\partial K}{\partial q_s} \frac{\partial M_{rs}}{\partial p_s} + 2 \frac{\partial^2 K}{\partial q_r \partial p_s} \frac{\partial^2 K}{\partial q_s \partial p_r} - 2 \frac{\partial^2 K}{\partial q_r \partial q_s} a^{rs} = \dot{q}_s \frac{\partial M_{rs}}{\partial q_s} \quad (6)$$

The M's are easily found as follows: Differentiating (4a) with respect to  $p_s$  we have:

$$\frac{\partial a^{ss}}{\partial q_r} \dot{q}_r = a^{ss} M_{rs} + \dot{q}_s \frac{\partial M_{rs}}{\partial p_s} \quad (r \neq s)$$

Differentiating again,

$$\frac{\partial a^{ss}}{\partial q_r} a^{rs} = 2 a^{ss} \frac{\partial M_{rs}}{\partial p_s} \quad (r \neq s) \quad (7)$$

whence

$$M_{rs} = \frac{1}{2(a^{ss})^2} \frac{\partial a^{ss}}{\partial p_s} (2a^{ss} \dot{q}_r - a^{rs} \dot{q}_s) \quad (r \neq s) \quad (8)$$

The  $M$ 's having been determined, the rest of the proof is only a matter of straight-forward calculation. In this way Dall'Acqua proves that the necessary and sufficient condition that a dynamical system which is separable in the kinetic energy be integrable by separation of variables, is that its potential depend on a certain number of arbitrary functions each of a single variable and this number characterizes the different cases.

To show this last part of the theorem, we note that the Hamilton-Jacobi equation may be written in the Jacobi expression:

$$a^{st} p_s p_t = 2(U + h_q)$$

If now we place all the  $q$ 's equal to zero with the exception of the  $r^{\text{th}}$   $q$ ,  $p_r$  remains unaltered since by hypothesis it is a function of  $q_r$  only. Suppose we pick out the terms in the summation which contain  $p_r$ . Indicating the arbitrary initial values of  $p_r$  by  $c_r$ , the above equation becomes under the specified conditions



$$p_r^2 - 2p_r f_{(r)} + \phi_{(r)} - U_{(r)} - 2h_0 = 0$$

where

$$f_{(r)} = -a_{(rr)}^{rs} c_s$$

$$\phi_{(r)} = a_{(r)}^{st} c_s c_t$$

the indices in parenthesis indicating that the corresponding term is taken with respect to  $q_r$  only. The problem is now to specify the functions  $f$  and  $\phi$ . Denoting by  $f_{(r)}(\alpha)$  a linear form in the constants of the first group, which depends on the variable  $q_r$  only, Dall'Acqua shows that a necessary and sufficient condition to be satisfied by the potential of systems of the first class is,

$$p_{(r)} = f_{(r)}(\alpha)$$

and for problems of the second class,

$$\phi_{(r)} = \phi'_{(r)}(\alpha) + \Phi'_{(r)}(\beta)$$

$\Phi'_{(r)}(\beta)$  being a linear form of  $\beta = a^{ss} [c_s^2 + 2a_o^{st} c_s c_t]$  with coefficients that depend on  $q_r$  only. The energy-constant  $h_0$  is then determined to be,

$$h_0 = \frac{1}{2} [\phi'(\alpha) + \Phi''(\beta)]$$

We thus have, for the potential  $U$ ,

$$p_{(r)} = f_{(r)}(\alpha) \quad (\text{r of 1st class})$$

$$p_{(r)} = f_{(r)}(\alpha) \pm \sqrt{f_{(r)}(\alpha) + \phi_{(r)}(\beta) + U_r} \quad (\text{r of 2nd class})$$

$$2h_0 = \phi'_o(\alpha) + \Phi''_o(\beta)$$

which solve the problem, provided the discriminants of

the two forms  $f$  and  $\phi$  are both non-vanishing.

The elimination of the constants of the  $\alpha$  and  $\beta$  groups among these three equations gives a Hamilton-Jacobi equation which is integrable by separation of variables. For details, reference must be made directly to Dall'Acqua's papers.

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Cauchy's existence proof and its generalization  
by Poincaré. Poincaré's periodic solutions.

Consider a differential equation

$$\dot{x} = \phi(x, t) \quad (1)$$

and let the function  $\phi$  be such that it can be expanded in a power series in  $t$  in the vicinity of a point  $t_0, x_0$ . The integral of this equation can then be written

$$x = x_0 + c_1(t - t_0) + c_2(t - t_0)^2 + \dots \quad (2)$$

which converges within the circle of convergence. The coefficients  $c_1, c_2$  etc. are then unique and (2) is the only analytic integral which has the value  $x = x_0$  for  $t = t_0$ . This is Cauchy's well-known classical "existence theorem". It was extended by Poincaré<sup>(11)</sup> who deduced from this extension certain theorems of the greatest importance in the general theory of orbits.

Consider the system of differential equations

$$\dot{x}_k = \phi_k(x_1, \dots, x_n, t, \mu) \quad k = 1, 2, \dots, n \quad (3)$$

and let

$$x_k = \theta_k(t, \mu) \quad (4)$$

be those solutions of the above equations such that the values of  $x_1, x_2, \dots, x_n$  vanish for  $t = 0$ . Assume now that for a certain system of values of  $x_1, x_2, \dots, x_n, t, \mu$  one of the functions  $\theta_k$  ceases to be holomorphic. We then say that this system of values corresponds to a

singular point of equations (3). Poincaré's extension of Cauchy's theorem is then to the effect that:

For any non-singular point of the system of equations

$$\dot{x}_k = \phi_k(x_1 \dots x_n, t, \mu) \quad k = 1, 2, \dots, n,$$

$\theta_1(t, \mu) \dots \theta_n(t, \mu)$  can be expanded in a power series in  $\mu$  (not in  $t$  and  $\mu$ ), for all values of  $t$  between 0 and  $t_0$ , provided  $|\mu|$  is sufficiently small, and  $\phi_1 \dots \phi_n$  can be expanded in positive powers of  $x_1 - \theta_1(t, 0) \dots$

$x_n - \theta_n(t, 0)$  for all values of  $t$  between zero and  $T$ .

From this it follows that if the expansion of in powers of  $\phi_k$  and  $x_k - \theta_k$  converges for all real values of  $t$ , the expansion of the integral also converges for any value of  $t$ , provided  $\mu$  is sufficiently small.

We may therefore write

$$x_k = \theta_k(t, \beta_1, \dots, \beta_n, \mu) = \theta_k(t, 0) + \frac{\partial \theta_k}{\partial \beta_1} \beta_1 + \dots + \frac{\partial \theta_k}{\partial \beta_n} \beta_n + \frac{\partial \theta_k}{\partial \mu} \mu + \dots \quad (4)$$

If in particular this integral is a periodic function of  $t$ , then it is convergent for all values of  $t$ , also for  $t = \infty$ . In this case it is therefore enough to find integrals that converge in the interval  $0 \rightarrow T$ . We have therefore, to determine the conditions under which the motion defined by (3) is periodic. Poincaré proceeds as follows:

We have assumed that the function  $\phi$  depends on a parameter  $\mu$  -- the so-called "perturbing" parameter. Let us

further suppose that the equations (3) have been integrated for  $\mu = 0$  and that in this case certain periodic solutions have been found, under what conditions can we state that periodic solutions also exist for small  $\mu$ ? The simplest case that we can think of is that for which the coordinates  $x_k$  have the same values at  $t = 0$  and  $t = T$ . The derivatives of the coordinates at these two epochs must then have the same values and the motion is then necessarily periodic. This, however, is not by any means a necessary condition for the existence of periodic solutions. For if we are dealing with the motion of mass-points, a periodic solution exists whenever the configurations of the mass-points, charges, etc. and their instantaneous changes are the same at  $t = 0$  and  $t = T$ . We consider now the first case.

If the difference in the initial values  $\beta_k = \theta_k(0, \mu) - \theta_k(0, 0)$  is taken into account, the integrals of (1) may be written

$$x_k = \theta_k(t, \beta_1 \dots \beta_n, \mu)$$

For  $\mu = 0$ , the motion is periodic of period  $T$ , by hypothesis, so that  $\theta_k(T, 0) = \theta_k(0, 0)$  ( $k = 1, 2, \dots, n$ )

When does a periodic motion exist for  $\mu \neq 0$ ? Evidently such is the case when  $\theta_k(t, \mu) = \theta_k(T, \mu)$

or when by (4)

$$\Psi_k = \sum_{k=1}^n \left[ \frac{\partial x_k}{\partial \beta_i} \Big|_T - \frac{\partial x_k}{\partial \beta_i} \Big|_0 \right] \beta_i + \sum_{k=1}^n \left( \frac{\partial x_k}{\partial \mu} \Big|_T - \frac{\partial x_k}{\partial \mu} \Big|_0 \right) = 0 \quad (5)$$

which by (4) may be written as

$$\begin{aligned}\psi_k &= \sum_{k=1}^n \int_0^T \theta_k dt \\ &= \sum_{k=1}^n \beta_{ik} \int_0^T \frac{\partial \theta_k}{\partial \beta_i} dt + \sum_{k=1}^n \mu \int_0^T \frac{\partial \theta_k}{\partial \mu} dt + \dots\end{aligned}\quad (6)$$

which may be written

$$\psi_k = \sum_{i,k=1}^n A_{ik} \beta_i + \sum_{k=1}^n A_{k0} \mu + \dots \text{higher power in } \beta \text{ and } \mu \quad (7)$$

The  $n$  equations  $\psi_k = 0$  solved for  $\beta_k$  give  $\beta_1, \dots, \beta_n$  as a power series in  $\mu$  :  $\beta_k = p_k(\mu)$  ( $k = 1, 2, \dots, n$ ) provided the determinant

$$D = \begin{vmatrix} A_{11} & \dots & A_{1n} \\ \dots & \dots & \dots \\ A_{n1} & \dots & A_{nn} \end{vmatrix}$$

does not vanish.

In dynamics, equations  $\psi_k = 0$  are not in general independent. Assuming that an integral  $F(x_1, \dots, x_n, t) = c$  of equations (3) exist, which is periodic in  $t$  with period  $T$ , we have,

$$F[\theta_k(T, \mu), T] = F[\theta_k(0, \mu), 0] = F[\theta_k(T, \mu), 0]$$

or, since  $\theta_k(T, \mu) = \theta_k(0, \mu) + \psi_k$

$$F[\theta_k(0, \mu) + \psi_k, 0] - F[\theta_k(0, \mu), 0] = 0$$

The left-hand side can be expanded in powers of

$\psi_1, \dots, \psi_n$  and vanishes when  $\psi_k = 0$ . Hence if  $n-1$   $\psi$ 's vanish, the  $n$ th  $\psi$  also vanishes. In particular,

if  $\psi_k = 0$  ( $k = 1 \dots n-1$ ),  $\psi_n = 0$  if  $\frac{\partial F}{\partial x_n} \neq 0$ .

The second important case in which periodic solutions can exist, characterized by the fact that the mass-points have the same configuration at  $t = 0$  and  $t = T$ , are investigated by Poincaré as follows. <sup>(12)</sup> Consider the canonic equations:

$$\dot{x}_k = \frac{\partial F}{\partial y_k} \quad \dot{y}_k = -\frac{\partial F}{\partial x_k} \quad k = 1, 2, \dots, s \quad (7)$$

where  $F$  is a function of  $x_1, \dots, x_n, y_1, \dots, y_n$  and  $\mu$  having the following properties:

1) For all real values of  $y_k$  ( $k = 1 \dots s$ ),  $F$  can be expanded in a power series of

$$F = F_0 + \mu F_1 + \mu^2 F_2 + \dots$$

2)  $F$  depends only on  $x_1, \dots, x_n$ .

3)  $F$  is periodic in  $y_1 \dots y_n$  with period 2

For  $\mu = 0$ , equations (7) can be easily integrated. We have in fact, for  $\mu = 0$ ,

$$\dot{x}_k = 0 \quad \dot{y}_k = -\frac{\partial F}{\partial x_k} = v_k \quad (k = 1, 2 \dots s.)$$

which give  $x_k = a_k$   $y_k = v_k t + \int_k$

where  $a_k, v_k$  and  $\int_k$  are constants. If  $v_k T$  is a multiple of  $2\pi$ , the motion is obviously periodic of period  $T$ . The problem is now: when do periodic solutions of the same period exist when  $\mu \neq 0$ ?



Let, in this case, for  $t = 0$ :

$$x_k = a_k + \beta_k + \phi_k, \quad y_k = \delta_k + \gamma_k + \psi_k + \psi_k$$

and instead of  $x_k, y_k$  introduce new variables  $\phi_k$  and  $\psi_k$  defined by

$$x_k = a_k + \beta_k + \phi_k, \quad y_k = \delta_k + \gamma_k + \psi_k$$

and obtain the set of equations:

$$\dot{\phi}_k = \frac{\partial F}{\partial \delta_k}, \quad \dot{\psi}_k = -\frac{\partial F}{\partial x_k} - \nu_k$$

If  $\phi_k(0) = \phi_k(T)$  and  $\psi_k(0) = \psi_k(T)$  the motion is again evidently periodic with period  $T$ . Since these equations are not independent (see above),  $\psi_s(T) = 0$  follows from the other equations.

We have therefore  $s - 1$  equations

$$\psi_k(T) = 0 \quad k = 1, 2, \dots, s - 1$$

and, letting one of the parameters  $\beta_k$  be equal to zero ( $\beta_s = 0$ ), we obtain

$$\psi_k(T) = \sum_{l=1}^{s-1} -\beta_l \int_0^T \frac{\partial^2 F_0}{\partial a_l \partial a_k} dt + \sum_{l=1}^{s-1} \mu_l \int_0^T \frac{\partial F_1}{\partial a_l} dt + (\text{higher powers of } \beta_1, \dots, \beta_{s-1} \text{ and } \mu) = 0 \quad (8)$$

Since  $\frac{\partial^2 F_0}{\partial a_l \partial a_k} = \text{const}$ , we have, dividing by  $T$ .

$$\sum_{l=1}^{s-1} \frac{\partial^2 F_0}{\partial a_l \partial a_k} \beta_l + \sum_{l=1}^{s-1} \frac{\mu_l}{T} \int_0^T \frac{\partial F_1}{\partial a_l} dt = 0$$

which determines the parameters  $\beta_1, \beta_2, \dots, \beta_{s-1}$ . In order

that one solution only may exist, it is necessary and sufficient that the Hessian

$$\begin{vmatrix} \frac{\partial^2 F_0}{\partial a_1 \partial a_1} & \dots & \frac{\partial^2 F_0}{\partial a_1 \partial a_{s-1}} \\ \dots & \dots & \dots \\ \frac{\partial^2 F_0}{\partial a_{s-1} \partial a_1} & \dots & \frac{\partial^2 F_0}{\partial a_{s-1} \partial a_{s-1}} \end{vmatrix} \neq 0$$

We have therefore the theorem: The necessary and sufficient condition that  $s - 1$  different  $\beta$ 's exist is that the Hessian of  $F_0$  with respect to  $a_1 a_2 \dots a_{s-1}$  be non-vanishing.

Now, we may calculate  $\phi_\kappa$  by the equation

$$\phi_\kappa(T) = \sum_{k=1}^s \gamma_k \int_0^T \frac{\partial^2 F}{\partial y_i \partial y_k} dt + \mu \int_0^T \frac{\partial^2 F}{\partial y_i \partial \mu} dt + \dots$$

whence

$$\frac{\phi_\kappa(T)}{\mu} = \sum_{k=1}^s \gamma_k \int_0^T \frac{\partial^2 F_1}{\partial y_i \partial y_k} dt + \int_0^T \frac{\partial F_1}{\partial y_i} dt \quad (9)$$

and it is seen that in order that the periodic solution we consider here may be the analytic continuation of the solution previously obtained for  $\mu = 0$ , it is necessary that the set of values  $\gamma_1 \dots \gamma_s$  which we obtain from (9) shall vanish with  $\mu$ . But equation (9) contains one term which is independent of  $\mu$ , hence this term must vanish. If the solution is periodic, the  $s$  equations must be satis-

fied

$$\int_0^T \frac{\partial F_1}{\partial y_j} dt = 0 \quad j = 1, 2, \dots, s \quad (10)$$

The investigation of this condition is carried out by Poincare as follows <sup>(13)</sup>: Introduce the average value

$$[F_1] \text{ of } F_1 \text{ defined by } [F_1] = \frac{1}{T} \int_0^T F_1 dt$$

Equations (10) may then be written

$$\frac{\partial [F_1]}{\partial y_i} = \frac{\partial [F_1]}{\partial \delta_i} = 0 \quad i = 1, 2, \dots, s$$

whence  $[F_1]$  must be a maximum or a minimum. Since  $F_1$  is periodic, we have by Fourier's theorem:

$$\begin{aligned} F_1 &= \sum_{m_1, \dots, m_s = -\infty}^{\infty} A_{m_1 \dots m_s} \cos(m_1 y_1 + \dots + m_s y_s + h) \\ &= \sum A_{m_1 \dots m_s} \cos[(m_1 \nu_1 + \dots + m_s \nu_s)t + m_1 \delta_1 + \dots + m_s \delta_s + h] \\ &= \sum A \cos \omega \end{aligned}$$

$$\text{Further } \frac{\partial F_1}{\partial \delta_j} = - \sum A m_j \sin \omega$$

$$\text{and } [F_1] = \int A \cos \omega$$

where  $\int$  denotes summation over such values of  $m$  for which  $\sum m_i \nu_i = 0$ . We have therefore the important theorem first established by Poincare:

Let the characteristic function  $F$  be expandable in a power series of a sufficiently small parameter  $\mu$ :

$$F = F_0 + \mu F_1 + \mu^2 F_2 + \dots$$

then, the necessary and sufficient conditions that the canonic equations admit a periodic solution are that

$$H(F_0) \neq 0$$

$$\frac{\partial [F_1]}{\partial \delta_i} = 0 \quad i = 1, 2 \dots s$$

where  $H(F_0)$  is the Hessian of  $F_0$  with respect to the  $s-1$  of the variables  $x_1 \dots x_s$ . Besides, if the solution is to have  $s$  independent periods, the condition  $H(F_1) \neq 0$  must be satisfied.

The case  $H(F_0) = 0$  occurs frequently in astronomical applications. Its treatment, although easy, will not be taken up here <sup>(14)</sup> because it is of small importance in those applications with which we are mainly concerned.

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The Convergency of the Expansion of the Perturbation  
Function. Theorems of Poincaré and Bruns.

The question as to the convergency of the series which we have developed and made use of elsewhere in this paper is of paramount importance, not only as regards its intrinsic mathematical significance, but also to lend weight to the conclusions derived from an application of the method of the calculus of perturbations to specific problems. The questions to be discussed in this section are:

1) What is the region of convergency of the series used in the calculus of perturbations?

2) What is the magnitude of the error made by neglecting terms beyond a certain predetermined term in a given series expansion?

Many investigations have been carried out with regard to the first problem, but many points in it are still awaiting a solution. The most important result thus far available is that no solution of the three-body problem obtained by the method of perturbations holds over unlimited periods of time. Nor has it been possible to prove that any such solution does or does not exist. The important question as to the limiting values of the coordinates in the three-body problem -- the so-called "stability problem" -- is

therefore still open. Perhaps the most promising way of attacking this problem is through the method of Hill's curves. In fact Hill was the first to give a rigorous stability proof for a certain class of motion of the three-body problem. The most general investigation of this type is that of Bohlin<sup>(1)</sup>. He showed that no general conclusions on the maximum and minimum values of the relative distances of the moving particles can be drawn, it is only possible to conclude that all these distances cannot increase indefinitely at the same time. In fact in the n-body problem the equation of Hill's limiting curve is

$$\sum_{i,j=1}^n \frac{k^2 m_i m_j}{r_{ij}} + h = 0$$

and the above conclusion follows at once.

It will be recalled that it has been known since the days of Laplace and Lagrange that no secular terms containing the semi-major axis, the eccentricity and inclination occur in the expression for the perturbation of the first order. How the matter stand for the whole power expansion is something which until to-day remains essentially unknown. A well-known classical difficulty to which we shall again refer later, is the presence of small divisors, which give rise to periodic terms of very large magnitude. The burning question in any application of the calculus of perturbations is this: over what interval can

we expect our calculations to hold? This leads at once to an examination of the convergency of the series used in perturbation theory. It may be noted that a discussion of this question, important as it is from an astronomical standpoint, is wholly indispensable from the point of view of atomistics. For while the astronomer can always verify by direct observation whether his theory is in accordance with the facts, no such method of direct verification is at the disposal of the physicist. Should our series be semi-convergent, it is not only useless, but harmful, to push approximations farther, and any too general application of such expansion is totally meaningless.

(2)  
Lindstedt, in 1882 showed that the canonical equations  $\dot{p}_k = -\frac{\partial H}{\partial q_k}$ ,  $\dot{q}_k = \frac{\partial H}{\partial p_k}$  can be formally

satisfied by series of the form

$$\begin{aligned} q_k &= q_k^0 + \mu q_k^1 + \mu^2 q_k^2 + \dots \\ p_k &= w_k + \mu p_k^1 + \mu^2 p_k^2 + \dots \end{aligned} \quad (1)$$

where  $p_k^j$  and  $q_k^j$  are periodic functions of the angle variables  $w_k = \nu_k t + \delta_k$  ( $k = 1, 2, \dots, n$ ), so that we have

$$p_k^j \text{ or } q_k^j = A_0 + \sum_{m_1, \dots, m_n} A_{m_1 \dots m_n} e^{j \sum_{k=1}^n m_k w_k} \quad (2)$$

(3)  
Poincaré examined the following questions:



1) Are the "partial" series (2) for  $p_k^j$  or  $q_k^j$  convergent, if so, are they absolutely and uniformly convergent?

2) If they do not converge uniformly, is it possible to group them together that semi-convergent series can be obtained?

3) If the partial series (2) are convergent, does it follow that the total series converge absolutely and uniformly?

He then proceeded to prove that the sum of the terms of a partial series (2) cannot be less than half any one of its coefficients, hence that in order that this series converge uniformly it is necessary and sufficient that the absolute value of the coefficients  $A$  be bounded, which is so provided that the  $\nu$ 's are incommensurable or else that  $A$  vanish whenever commensurable ratios occur. On the other hand, the total series (1) do not converge uniformly for all values of  $\mu$  and  $q_k^0$  within a finite interval, nor do they converge for any values of  $\mu$ , however small, when  $q_k^0$  vary within any finite interval. Poincaré's conclusion might also therefore, be formulated as follows: It is impossible to express the coordinates in phase-space of the  $n$ -body problem in a power expansion of the perturbing parameter  $\mu$ , which converges everywhere within a domain  $D$ . Otherwise, we have shown elsewhere that if the perturbing function  $F$  can be expressed in the series:

$$F = \sum_{m_1, \dots, m_n = -\infty}^{\infty} A_{m_1 \dots m_n} \cos \left( \sum_{k=1}^n m_k w_k \right)$$

then the differential equations of any element E is

$$\dot{E} = \sum_{m_1, \dots, m_n = -\infty}^{\infty} B_{m_1 \dots m_n} \cos \left( \sum_{k=1}^n m_k w_k \right)$$

whence by integration

$$E = \sum_{m_1, \dots, m_n = -\infty}^{\infty} \frac{B_{m_1 \dots m_n}}{\sum_{k=1}^n m_k \nu_k} \sin \left( \sum_{k=1}^n m_k w_k \right) + ct + E_0$$

we now investigate the convergence of the series in the right-hand term. For simplicity and again without much loss of generality, consider the three-body problem ( $k = 1, 2$ ). Then if  $\nu$  is the ratio  $\nu_1 / \nu_2$ , we may write the above as

$$E = \sum_{m_1, m_2} \frac{K_{m_1 m_2}}{m_1 - m_2 \nu} \sin (m_1 w_1 - m_2 w_2 + D)$$

If  $\nu$  is rational, a term in the above expansion becomes infinite, even when  $\nu$  is irrational the denominator may become small enough though never vanish. From this circumstance arise the difficulties of the investigation.

However, should  $m_1 - m_2 \nu = 0$ , a new secular term would arise, which can no longer belong to the present investigation, because it is contained in the secular term  $Ct$ .

We consider the series  $\sum \frac{K_{m_1 m_2}}{m_1 - m_2 \nu}$ . One of the most

celebrated theorems of dynamics, discovered by Bruns in

(4)  
 1884, establishes the following property of this  
 series: Let us call the values of  $\nu$  for which the series  
 converges, "convergence points", those for which it  
 diverges, "divergence points", Bruns' theorem then  
 states that the convergence and divergence points of the  
series in question make up an everywhere dense set through-  
 out the real domain of  $\nu$ . (5) Gylden (6) then sought to  
 prove that, in spite of this, the probability of a diver-  
 gence is infinitely small, but his considerations do not  
 seem to be entirely free from reproach (7).

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A P P E N D I X .

## APPENDIX

## I. General Introduction to the Theory of Perturbations.

## 1) Canonic variables. Contact-transformation.

Consider a system of  $2_n$ -variables  $q_1 \dots q_n, p_1 \dots p_n$  determined by a canonic set of equations:

$$\dot{q}_k = \frac{\partial F}{\partial p_k}, \quad \dot{p}_k = -\frac{\partial F}{\partial q_k} \quad (1)$$

and a transformation

$$\begin{aligned} q_k &= f_k(\xi_1, \dots, \xi_n, \eta_1, \dots, \eta_n) \\ p_k &= g_k(\xi_1, \dots, \xi_n, \eta_1, \dots, \eta_n) \end{aligned} \quad (2)$$

there exist an infinite number of forms of the functions  $f$  and  $g$  for which the differential equations relating the new variables  $\xi$  and  $\eta$  are again of the canonic form. The problem is now to determine the conditions to be satisfied by the functions  $f$  and  $g$  in order that the variables  $\xi$  and  $\eta$  satisfy the canonic equations.

Let  $F$  be a function of  $p, q$  and time. Adopting the summation convention, as will be done throughout this paper -- a repeated index means summation on that index from 1, 2... to  $n$  unless otherwise expressly stated -- we have

$$\dot{\xi}_k = \frac{\partial \xi_k}{\partial q_i} \dot{q}_i + \frac{\partial \xi_k}{\partial p_i} \dot{p}_i$$

or by (1)

$$\dot{z}_\kappa = \frac{\partial z_\kappa}{\partial q_i} \frac{\partial F}{\partial p_i} - \frac{\partial z_\kappa}{\partial p_i} \frac{\partial F}{\partial q_i} \quad (1)$$

We now introduce the Poisson bracket expressions:

$$(a, b) = \frac{\partial a}{\partial x_s} \frac{\partial b}{\partial y_s} - \frac{\partial a}{\partial y_s} \frac{\partial b}{\partial x_s} \quad (3)$$

and write

$$\dot{z}_i = (z_i, F) \quad (4)$$

on the other hand, we have

$$\frac{\partial z_i}{\partial p_s} \frac{\partial F}{\partial p_s} = \frac{\partial F}{\partial z_i} \frac{\partial z_i}{\partial p_s} + \frac{\partial F}{\partial q_i} \frac{\partial q_i}{\partial p_s}$$

and therefore

$$\begin{aligned} \frac{\partial z_i}{\partial q_s} \frac{\partial F}{\partial p_s} - \frac{\partial z_i}{\partial p_s} \frac{\partial F}{\partial q_s} &= \frac{\partial F}{\partial z_i} \left( \frac{\partial z_\kappa}{\partial q_s} \frac{\partial z_i}{\partial p_s} - \frac{\partial z_\kappa}{\partial p_s} \frac{\partial z_i}{\partial q_s} \right) + \\ &+ \frac{\partial F}{\partial q_i} \left( \frac{\partial z_\kappa}{\partial q_s} \frac{\partial q_i}{\partial p_s} - \frac{\partial z_\kappa}{\partial p_s} \frac{\partial q_i}{\partial q_s} \right) \end{aligned}$$

whence

$$\dot{z}_i = (z_i, F) = (z_\kappa, z_i) \frac{\partial F}{\partial z_i} + (z_\kappa, q_i) \frac{\partial F}{\partial q_i} \quad (5)$$

and likewise

$$\dot{q}_i = (q_i, F) = (q_i, z_\kappa) \frac{\partial F}{\partial z_i} + (q_i, p_\kappa) \frac{\partial F}{\partial p_i} \quad (6)$$

If it is required that

$$\dot{z}_\kappa = \frac{\partial F}{\partial q_\kappa} \quad \dot{q}_\kappa = - \frac{\partial F}{\partial z_\kappa} \quad (1a)$$

we must, therefore, have the following relations satisfied

$$\begin{aligned} (\xi_i, \xi_r) &= 0, & (\xi_i, \eta_r) &= 0 & i \neq r \\ (\eta_i, \eta_r) &= 0, & (\xi_i, \eta_i) &= 1 & i, r = 1, 2, \dots, n \end{aligned} \quad (7)$$

From this method of derivation, these conditions are sufficient. Whether they are or not necessary is immaterial for our purpose, since we are only concerned with testing out given transformations. But, if we compare (5) and (6) with (1a), we see that, should no special assumption be made with regard to the function F, these conditions are also necessary. Variables satisfying conditions (7) will be called here canonic variables.

Consider again the transformation  $q, p \rightarrow \xi, \eta$

If the equations connecting the two sets of variables are such that the differential form

$$\sum_{\pi} d\xi_{\pi} - p_k dq_k$$

is the perfect differential of a function of  $(q, p)$ , when expressed in terms of  $q, p$  and their differentials, the transformation  $q, p \rightarrow \xi, \eta$  is called a contact-transformation. This definition is in a certain sense more restricted, but in another sense more general, than the geometric definition, viz. (cf. Lie, <sup>(1)</sup>): A contact-transformation is a transformation from a set of  $2n+1$  variables  $(\xi, p_k, q_k)$



to another set  $(Z, P_k, Q_k)$  such that the relation

$$dZ - P_k dq_k = \rho (dz - p_k dq_k)$$

is satisfied,  $\rho$  being a function of  $(q, p, z)$ . In particular if the  $n$  variables  $Q_k$  are functions of  $q_k$  only, the transformation  $Q \rightarrow q$  is said to be a point-transformation. From the definition, it follows at once that the result of  $n$  contact-transformations is itself a contact-transformation. Likewise, if  $q, p \rightarrow \xi, \eta$  is a contact-transformation,  $\xi, \eta \rightarrow q, p$  is also a contact-transformation. Therefore the theorem: A contact-transformation has the properties of a group. The following theorem is also easily established (Whittaker, l.c. Note 1). A transformation  $q, p \rightarrow \xi, \eta$  for which conditions (7) are satisfied, is a contact-transformation and we have just shown that a canonic system of equations is invariant for a contact-transformation.

From a geometrical standpoint, the change from the system of variables  $p, q$  to the set  $\eta, \xi$  is a transformation from a hypersurface  $S$  in  $2n - 1$  -space to a hypersurface  $\sigma$ . If two surfaces  $S$  and  $S'$  touch at a point, the corresponding surfaces  $\sigma$  and  $\sigma'$  also touch at the corresponding point. For this reason, this type of transformation was called by Sophus Lie a contact-transformation.

2. Introduction to the n-body problem. Semi-canonic equations. Canonic elements. We consider a holonomic reversible conservative system of  $3n$  degrees of freedom made up of  $n$  mass-points moving under central forces. The canonic equations of motion are

$$\dot{q}_k = \frac{\partial H}{\partial p_k} \quad \dot{p}_k = - \frac{\partial H}{\partial q_k} \quad k = 1, 2, \dots, 3n$$

$$\text{where } H = T + V = \frac{1}{2} \sum_{k=1}^n \frac{p_k^2}{m_k} + V(q) .$$

As a consequence of the properties of the characteristic function, these equations admit ten algebraic integrals, as follows:

1)  $H$  does not contain the time explicitly. Hence the existence of an energy integral.

2)  $H$  is independent of the origin of coordinates. Hence the six integrals of the uniform motion of the center of gravity of the system.

3)  $V$  is independent of a rotation of the coordinate axes. Hence the three integrals of angular momentum and the existence of an invariable plane.

If we restrict ourselves, for simplicity only, and without any loss of generality, to the three-body problem, the existence of these ten algebraic integrals enables us to reduce the order of the equations of motion from the 18th to the 8th, viz. to a problem of 4, instead of 9, de-

degrees of freedom. Practically it is seldom possible to reduce the system to below the 12th order. <sup>(2)</sup> Two more integrals can be found by introducing Jacobi's canonic variables. <sup>(3)</sup> So the system can be finally reduced, in the present state of our knowledge, to the 6th order (3 degrees of freedom). The general case of n-bodies is likewise reduced to a system of  $(6n - 12)$ th order. <sup>(4)</sup>

By introducing "relative" coordinates, expressing  $T$  as a function of the latter and defining  $p_k$  as usual by the equation  $p_k = \frac{\partial T}{\partial \dot{q}_k}$ , the Newtonian equations of motion  $m_k \ddot{x}_k = -\partial V / \partial x_k$  can again be brought to the canonic form. If on the other hand the  $p$ 's are defined by  $p_k = m_k \dot{q}_k$  we obtain the so-called "semi-canonic" equations of motion <sup>(5)</sup> originally introduced by Poincaré and characterized by the fact that each body of the system has its own Hamiltonian function. Equations of this type will be largely made use of in what follows.

An important astronomical case of the n-body problem, but which unfortunately finds but a limited application in atomic problems, is that in which the mass of one of the bodies is very large compared to the mass of the other. In this case, the influences of the latter on one another may be neglected, at least for a certain interval of time. To a first approximation the paths of the small masses -- for shortness called "planets" -- are conics of which the

large mass -- the "sun" -- occupies one of the foci. If now it is required to take into account the mutual actions of the planets, we proceed as follows: we consider the elements of the conic obtained above as a first approximation to be variable and determine their variations in such a manner that the actual motion is correctly described. This is the principle of Lagrange's "method of variation of constants". Mathematically, it can be formulated as follows: In order to integrate the system of canonic equations

$$\dot{q}_k = \frac{\partial H}{\partial p_k} \quad \dot{p}_k = - \frac{\partial H}{\partial q_k} \quad k = 1, 2, \dots, n \quad (1)$$

we take first a part  $H'$  of the Hamiltonian function and integrate the system

$$\dot{q}_k = \frac{\partial H'}{\partial p_k} \quad \dot{p}_k = - \frac{\partial H'}{\partial q_k} \quad k = 1, 2, \dots, n-1 \quad (2)$$

We thus get the coordinates  $q_k$  and  $p_k$  as a function of  $t$  (time) and  $2(n-1)$  parameters. This path is called the "intermediate" orbit. If now these parameters are looked upon as variables, we may derive from the former a system of  $2(n-1)$  equations of the first order, which correspond completely to the original system. In particular, if equations (2) are integrated through the Hamilton-Jacobi method, the parameters are, by Jacobi's theorem, themselves canonic. Such parameters are called canonic elements.

As an example, we choose the three body problem.

Expressed in Jacobi coordinates, the Hamilton function  
(6)  
is

$$H = \frac{1}{2\mu_b} (p_1^2 + p_2^2 + p_3^2) + \frac{1}{2\mu_a} (p_4^2 + p_5^2 + p_6^2) - k^2 \left( \frac{m_b m_c}{r_{bc}} + \frac{m_c m_a}{r_{ca}} + \frac{m_a m_b}{r_{ab}} \right)$$

where  $\mu_a = \frac{m_a (m_b + m_c)}{m_a + m_b + m_c}$

$$\mu_b = \frac{m_b m_c}{m_b + m_c}$$

and  $m_a$ ,  $m_b$ ,  $m_c$  are the masses of the three bodies.

Let  $q_1, q_2, q_3$  be the relative coordinates of  $m_b$  referred to  $m_c$  and  $q_4, q_5, q_6$ , the relative coordinates of  $m_a$  referred to the center of gravity. If one of the masses, say  $m_c$  is very large compared to the two others, an easy algebraic computation gives, if we write

$$H = H' + H''$$

$$H' = \frac{1}{2\mu_b} (p_1^2 + p_2^2 + p_3^2) + \frac{1}{2\mu_a} (p_4^2 + p_5^2 + p_6^2) - k^2 \left( \frac{m_b m_c}{\sqrt{q_1^2 + q_2^2 + q_3^2}} + \frac{m_a m_c}{\sqrt{q_4^2 + q_5^2 + q_6^2}} \right)$$

$$H'' = \frac{k^2 m_a m_c}{r_{ga}} - \frac{k^2 m_a m_c}{r_{ca}} - \frac{k^2 m_a m_b}{r_{ab}}$$

The system (2) can be split into two separate systems: the first for  $k = 1, 2, 3$ , the second for  $k = 4, 5, 6$ . We consider the equations

$$\dot{q}_k = \frac{\partial H_b}{\partial p_k}, \quad \dot{p}_k = - \frac{\partial H_b}{\partial q_k} \quad k = 1, 2, 3.$$

$$\text{where } H_b = \frac{1}{2\mu_b} (p_1^2 + p_2^2 + p_3^2) - \frac{k m_b m_c}{\sqrt{q_1^2 + q_2^2 + q_3^2}}$$

and the integral of the system is, therefore, an integral of the Hamilton-Jacobi equation

$$\left(\frac{\partial W}{\partial q_1}\right)^2 + \left(\frac{\partial W}{\partial q_2}\right)^2 + \left(\frac{\partial W}{\partial q_3}\right)^2 + \frac{2k^2 \mu_b m_b m_c}{\sqrt{q_1^2 + q_2^2 + q_3^2}} - 2h_1 \mu_b = 0$$

$h_1$  being the energy-constant. Transforming this equation to spherical coordinates we obtain

$$\left(\frac{\partial W}{\partial r}\right)^2 + \frac{1}{r^2} \left(\frac{\partial W}{\partial \phi}\right)^2 + \frac{1}{r^2 \cos^2 \phi} \left(\frac{\partial W}{\partial \theta}\right)^2 - \frac{2k^2 \mu_b m_b m_c}{r} - 2h_1 \mu_b = 0$$

which, as seen by substituting in the Levi-Civita conditions, (7) is integrable by separation of variables. We have

$$r^2 \left[ -\left(\frac{\partial W}{\partial r}\right)^2 + \frac{2\beta^2}{r} + 2\mu_b h_1 \right] = h_2^2,$$

$$\cos^2 \phi \left[ -\left(\frac{\partial W}{\partial \phi}\right)^2 + h_2^2 \right] = h_3^2$$

$$\left(\frac{\partial W}{\partial \theta}\right)^2 = h_4^2$$

$$h_2 + h_3 + h_4 = h_1$$

whence 
$$W_r = \int \sqrt{\frac{2\beta^2}{r} + 2\mu_b h_1 - \frac{h_2^2}{r^2}} dr = \int \sqrt{R} dr$$

$$W_\phi = \int \sqrt{h_2^2 - \frac{h_3^2}{\cos^2 \phi}} d\phi = \int \sqrt{\Phi} d\phi$$

$$W_\theta = h_4 \theta$$

$$h_2 + h_3 + h_4 = h_1$$

and by Jacobi's theorem

$$t + \beta_1 = \mu_b \int_{r_1}^r \frac{dr}{\sqrt{R}}, \quad \beta_2 = -h_2 \left[ \int_{r_1}^r \frac{dr}{r^2 \sqrt{R}} + \int_0^\phi \frac{d\phi}{\sqrt{\Phi}} \right]$$

$$\beta_3 = -h_3 \int_0^\phi \frac{d\phi}{\cos \phi \sqrt{\Phi}} + \Theta$$

The path is therefore a conic. The intermediate integrals are

$$\mu \dot{r} = \sqrt{R} \quad \mu r^2 \dot{\phi} = \sqrt{\Phi} \quad \mu r^2 \cos^2 \phi \dot{\theta} = h_3$$

and the duration of the period  $T$  of  $r$  is

$$T = \mu \int_{r_{\min}}^{r_{\max}} \frac{dr}{\sqrt{R}}$$

the mean motion being therefore  $n = \pi/T$ .

first two equations we get

$$\tan \Omega = \tan \Omega'$$

therefore,

$$\Omega = \Omega' + \pi$$

and we obtain the important theorem due to Jacobi:

The increasing node of the orbit of one of the planets on the invariable plane coincides with the decreasing node of the other planet.

The third integral is one of the most important theorems of celestial mechanics, viz. the stability-proof of Laplace. Laplace proved that, if only terms of the lowest degree in the masses are kept, the semi-major axes  $a$  and  $a'$  can only oscillate about mean values  $a_0$  and  $a'_0$ , and also that the eccentricities and inclinations oscillate by small amounts. The assumptions for the validity of this last part of the theorem which should be clearly brought out in view of future developments, are,

1) that the semi-axes describe oscillations of small amplitude.

2) that  $\beta \sqrt{a}$  and  $\beta' \sqrt{a'}$  are of the same order of magnitude.

It should also be clearly brought out that Laplace's stability theorem holds for any number of bodies,  $n$ .



### 3. Elimination of the Nodes. Laplace's stability

Theorem. We denote the Jacobi coördinates of B by  $q_1, q_2, q_3$ , those of A by  $q_4, q_5, q_6$ . The initial point of the coördinates lies in the former case at C, in the latter at the center of gravity of B and C. We set (three-body problem)

$$p_k = \mu_b q_k \quad k = 1, 2, 3$$

$$p_k = \mu_a q_k \quad k = 4, 5, 6$$

the canonic equations of the intermediate path are

$$\dot{q}_i = \frac{\partial H_b}{\partial p_i} \quad \dot{p}_i = - \frac{\partial H_b}{\partial q_i} \quad i = 1, 2, 3$$

$$\dot{q}_i = \frac{\partial H_a}{\partial p_i} \quad \dot{p}_i = - \frac{\partial H_a}{\partial q_i} \quad i = 4, 5, 6$$

where, as in Par. 2),

$$H_a = \frac{1}{\mu_a} (p_4^2 + p_5^2 + p_6^2) + \frac{k^2 m_a m_c}{\sqrt{q_4^2 + q_5^2 + q_6^2}}$$

$$H_b = \frac{1}{\mu_b} (p_1^2 + p_2^2 + p_3^2) + \frac{k^2 m_b m_c}{\sqrt{q_1^2 + q_2^2 + q_3^2}}$$

The conservation of moment of momentum gives, for B,

$$\mu_b (q_2 \dot{q}_3 - q_3 \dot{q}_2) = c_1$$

$$\mu_b (q_3 \dot{q}_1 - q_1 \dot{q}_3) = c_2$$

$$\mu_b (q_1 \dot{q}_2 - q_2 \dot{q}_1) = c_3$$

Vectorially  $\mu_b q_k \times r_k = C$

and likewise for A. The intermediate motion is therefore in a plane. Let the inclination of this plane be  $i$ ;  $\Omega$  the longitude of the nodal point; we have:

$$\begin{aligned}c_1 &= c \sin i \sin \Omega \\c_2 &= -c \sin i \cos \Omega \\c_3 &= c \cos i\end{aligned}$$

with  $c = \sqrt{c_1^2 + c_2^2 + c_3^2}$

and likewise for the mass A. If the XY-plane is laid in the orbit of B, it is easily shown that

$$c = \beta \sqrt{a(1-e^2)}$$

when  $\beta^2 = \frac{k^2 m_b^2 m_c^2}{m_b + m_c}$ ,  $a$  is the semi-major axis and

$e$  the eccentricity; so that the above equations can be written

$$\begin{aligned}\mu_b (q_2 \dot{q}_3 - q_3 \dot{q}_2) &= \beta \sqrt{a(1-e^2)} \sin i \sin \Omega \\ \mu_b (q_3 \dot{q}_1 - q_1 \dot{q}_3) &= -\beta \sqrt{a(1-e^2)} \sin i \cos \Omega \\ \mu_b (q_1 \dot{q}_2 - q_2 \dot{q}_1) &= \beta \sqrt{a(1-e^2)} \cos i\end{aligned}$$

and likewise for A. Since the law of conservation of angular momentum holds also for the true path, we have,

$$\begin{aligned}a(1-e^2) \sin i \sin \Omega + \beta \sqrt{a'(1-e'^2)} \sin i' \sin \Omega' &= c_1'' \\ a(1-e^2) \sin i \cos \Omega + \beta \sqrt{a'(1-e'^2)} \sin i' \cos \Omega &= -c_2'' \\ a(1-e^2) \cos i + \beta \sqrt{a'(1-e'^2)} \cos i' &= c_3''\end{aligned}$$

and in the particular case where the XY-plane is chosen as the invariable plane,  $c_1'' = c_2'' = 0$ , so that from the

4. Delaunay's and Poincare's variables. The Perturbation Function and its Expansion. The elliptic elements of an orbit, which we shall call  $a, e, i, t_\pi, \pi$  and  $\Omega$  are, as known, the semi-major axis, eccentricity, inclination, time of passage through perihelion, angular distance along equatorial plane of perihelion from increasing nodal point, longitude of nodal point, respectively. Instead of these, we have used canonic variables,  $L, G, H, l, g, h$  which are related to the elliptic elements by the equations

$$\begin{aligned} L &= \beta \sqrt{a} & G &= \beta \sqrt{a(1-e^2)} & H &= \beta \sqrt{a(1-e^2)} \cos i \\ l &= n(t + \gamma) & g &= \pi - \Omega & h &= \Omega \end{aligned}$$

we now perform a contact-transformation to Delaunay variables  $\Lambda, \Gamma, \lambda, \gamma, \zeta$  defined by

$$\begin{aligned} \Lambda &= L & \Gamma &= L - G & \zeta &= G - H \\ \lambda &= l + g + h & \gamma &= -g - h & \zeta &= -h \end{aligned}$$

That this is a contact-transformation is readily verified by using the Poisson bracket expressions. A new contact transformation from variables  $\Gamma, \lambda, \gamma, \zeta$  to Poincare's variables  $\xi, \eta, p, q$  is defined by

$$\begin{aligned} \xi &= \sqrt{2\Gamma} \cos \gamma & p &= \sqrt{2\zeta} \cos \zeta \\ \eta &= \sqrt{2\Gamma} \sin \gamma & q &= \sqrt{2\zeta} \sin \zeta \end{aligned}$$

$$\begin{aligned} \text{and we have } (\xi, \xi) &= (\eta, \eta) = 0 & (\xi, \eta) &= 1 \\ (p, p) &= (q, q) = 0 & (p, q) &= 1 \end{aligned}$$

$\xi, \eta$  are therefore canonic variables. We shall now use the following mixed system of Delaunay-Poincare variables:  $\Lambda, \lambda, \xi, \eta, p, q$ . We have the relations between these and the elliptic elements:

$$\begin{aligned}\Lambda &= \beta \sqrt{a}, & \lambda &= \lambda + \pi & \xi &= \sqrt{2\Lambda(1-\sqrt{1-e^2})} \cos \pi \\ \eta &= \sqrt{2\Lambda(1-\sqrt{1-e^2})} \sin \pi, & p &= \sqrt{2\Lambda(1-e^2)^{\frac{1}{2}}(1-\cos i)} \cos \Omega \\ q &= -\sqrt{2\Lambda(1-e^2)^{\frac{1}{2}}(1-\cos i)} \sin \Omega\end{aligned}$$

From which, by power expansion,

$$\left(\frac{\xi}{\Lambda}\right)^2 + \left(\frac{\eta}{\Lambda}\right)^2 = 2(1 - \sqrt{1-e^2}) = e^2 + \frac{e^4}{4} + \dots$$

hence  $e^2$  can be expanded in powers of  $\left[\left(\frac{\xi}{\Lambda}\right)^2 + \left(\frac{\eta}{\Lambda}\right)^2\right] = u$  as follows

$$e^2 = u - \frac{1}{4} u^2 + \dots$$

$$\text{Further } \left[2(1 - (1-e^2)^{\frac{1}{2}})\right]^{\frac{1}{2}} = e + \frac{e^3}{8} + \dots$$

$$\text{therefore } \frac{\xi}{\Lambda^{\frac{1}{2}}} = e \cos \pi (1 + \alpha_n e^{2n}) \text{ added on } n.$$

$$\frac{\eta}{\Lambda^{\frac{1}{2}}} = -e \sin \pi (1 + \alpha_n e^{2n}) \quad " \quad " \quad "$$

hence the theorem:  $e \cos \pi$  and  $e \sin \pi$  can be expanded in powers of  $\xi/\Lambda^{\frac{1}{2}}$  and  $\eta/\Lambda^{\frac{1}{2}}$  and conversely. In a similar manner we may show that  $\sin i \cos \Omega$  and  $\sin i \times \sin \Omega$  can be expanded in a powers of  $p/\Lambda^{\frac{1}{2}}$  and  $q/\Lambda^{\frac{1}{2}}$ .

$$\text{The function } F = \frac{\beta^4}{2\mu_a L'^2} + \frac{\beta'^4}{2\mu' L'^2} - H''$$

$$\text{or } F = \frac{\beta^4}{2\mu L'^2} + \frac{\beta'^4}{2\mu' L'^2} + \frac{K^2 m_a m_b}{r_{ab}} + \frac{K^2 m_a m_c}{r_{ac}} - \frac{K^2 m_a m_c}{r_{ga}}$$

is known as the perturbation function of the three-body problem. It is now required to show that the perturbation function can be expanded in powers of  $e \cos \pi$ ,  $e \sin \pi$ ,  $\sin i \cos \Omega$ ,  $\sin i \sin \Omega$ , etc. Since this perturbation function is an analytic function of the coördinates, it is only required to prove that the latter can be expanded in power series of the quantities in question. We shall not take up the formal proof here. (8)

The more important question of the convergency of the expansion is taken up later. Suffice it to state that the perturbation function can be expanded as follows:

$$F = \sum_{i,j,k,l,\dots=0}^{\infty} A_{i,j,k,l,\dots} \left(\frac{\xi}{\lambda^{\frac{1}{2}}}\right)^i \left(\frac{\eta}{\lambda^{\frac{1}{2}}}\right)^j \left(\frac{p}{\lambda^{\frac{1}{2}}}\right)^k \left(\frac{q}{\lambda^{\frac{1}{2}}}\right)^l \dots$$

and the differential equations of the canonic elements are

$$\begin{aligned} \dot{\lambda} &= \frac{\partial F}{\partial \lambda}, \quad \dot{\lambda} = -\frac{\partial F}{\partial \lambda}; \quad \dot{\xi} = \frac{\partial F}{\partial \xi}, \quad \dot{\eta} = -\frac{\partial F}{\partial \xi}; \\ \dot{p} &= \frac{\partial F}{\partial p}, \quad \dot{q} = -\frac{\partial F}{\partial p} \quad \text{etc.} \end{aligned}$$

No one has yet been able to carry out the rigorous integration of this system. It is not known whether the semi-axes of the osculating ellipses oscillate within finite limits, or whether the elements,  $\xi, \eta, p, q$  etc. can increase

indefinitely. All that we do know, from the days of Laplace, is that if the variation of the  $\Lambda$ 's is small so are the variations of  $\xi, \eta$ , etc. All that we can do is to calculate the orbits for a limited time. The methods used in this connection constitute the so-called Calculus of Perturbations. The whole astronomical theory of perturbations is based upon the assumption that the deviations of the elements are small. If this is true, the changes of the elements themselves are small, at least over certain intervals of time, and may be neglected. The integration of the equations attained in this manner gives the "perturbation of the first order". From this, a repetition of the process gives the "expansion in powers of the masses". It is emphasized here that such power expansions are not always convergent, but may be sufficiently approximate over certain finite intervals.

Let  $E$  be any element. We have for  $E$  a differential equation of the form

$$E = f(\Lambda, \lambda, \xi, \eta, p, q \dots)$$

If we look upon the system planet-planet for a short time as a two-body problem, we know that  $f$  is a periodic function of time, because the two-body problem is conditioned periodic. We may therefore write

$$\dot{E} = \sum \beta^{(i)} \cos [i\lambda + i'\lambda' + D^{(i,i')}]$$

and for each element we have an equation of the same form.

In order to obtain the perturbation of the first order, we set,

$$\lambda = \lambda_0 = n_0(t + \gamma_0)$$

$$\lambda' = \lambda'_0 = n'_0(t + \gamma'_0)$$

and set the other elements  $\Lambda, \xi, \eta$  etc. equal to constants

$\Lambda_0, \xi_0, \eta_0$  etc. We get then

$$\dot{E} = \sum B_0^{(i,i')} \cos(i\lambda_0 + i'\lambda'_0 + D_0^{(i,i')})$$

where  $B_0^{(i,i')}$ ,  $D_0^{(i,i')}$  are independent of time. The

~~of the~~ discussion, convergence of this series will be left till later. Integrating we get

$$E = \sum \frac{B_0^{i,i'}}{in_0 + i'n'_0} \sin(i\lambda_0 + i'\lambda'_0 + D_0^{i,i'}) + ct + E_0$$

where  $C = B_0^{0,0}$  and  $E_0$  are integration constants.  $\Lambda_0, \gamma_0, \xi_0,$  etc. are so chosen that at a given instant -- so-called "epoch" -- they determine an osculating-element system.

The above expression for  $E$  consists of the following terms:

a) A term  $ct$ , non-periodic in  $t$ , which is the so-called secular perturbation

b) The terms  $\sum \frac{B_0^{i,i'}}{in_0 + i'n'_0} \sin(i\lambda_0 + i'\lambda'_0 + D_0^{i,i'})$

which are the periodic perturbations.

It is apparent that secular perturbations increase indefinitely with time, so long as we consider perturbations of the first order. If perturbations of higher orders are

taken into account, it is shown, although the mathematical proof is not free from objection, that such secular perturbations are also periodic, of comparatively large amplitude and period.

If the sum

$$\sum_{l'=0}^{\infty} \left| \frac{B_{l',i'}}{in_0 + i'n'_0} \right|$$

is finite, periodic perturbations cannot exceed a certain upper limit. Each term in (b) is periodic and comes back to its same value after a certain time, except when  $in_0 + i'n'_0 = 0$ , i.e. when the osculating motions of the two planets are commensurable. In this case our differential equation can obviously not be handled in the way above. On the other hand, if  $n_0$  and  $n'_0$  are not commensurable, we may choose  $i^0$  and  $i'$  so that  $in_0 + i'n'_0$  remains as small as we please. Such terms -- so-called "small divisors" -- play an important part in the theory of perturbations and cause no inconsiderable difficulties, because terms containing them may become exceedingly large. Such terms, as ~~will~~ be seen later, are of paramount importance in atomic dynamics.



5. Secular Perturbations. In previous paragraphs we have shown that, by using Jacobi coordinates and Delaunay elements, the equations of the three-body problem can be reduced to a canonic system with six degrees of freedom and, further, have pointed out that the perturbation function  $F$  may be expanded in a Fourier series

$$F = \sum_{i,i'} A \cos(il + i'l') + \sum_{i,i'} B \sin(il + i'l')$$

where, for  $i = i' = 0$  we obtain the secular perturbations. Denoting the secular part of the perturbation function by  $S$ , we have, by Fourier's theorem:

$$S = \frac{1}{\pi} \int_0^\pi \int_0^\pi F dldl' = S(L, L', G, G', H, H', g, g', h, h')$$

Since  $S$  does not depend on  $l, l'$ , the canonic equations yield at once

$$\dot{L} = 0, \quad \dot{L}' = 0$$

whence  $L = \text{const.}$   $L' = \text{const.}$

which constitutes the first part of Laplace's celebrated stability theorem. The secular perturbations in  $G, H, g, h$ , etc. are determined by the canonic system

$$\dot{G} = \frac{\partial S}{\partial g}, \quad \dot{H} = \frac{\partial S}{\partial h}, \quad \dot{G}' = \frac{\partial S}{\partial g'}, \quad \dot{H}' = \frac{\partial S}{\partial h'}$$

$$\dot{g} = -\frac{\partial S}{\partial G}, \quad \dot{h} = -\frac{\partial S}{\partial H}, \quad \dot{g}' = -\frac{\partial S}{\partial G'}, \quad \dot{h}' = -\frac{\partial S}{\partial H'}$$

If we place  $G = \Gamma^2$   $G' = \Gamma'^2$

$$H = \frac{c}{2} + \frac{1}{2c} (\Gamma^2 - \Gamma'^2)$$

$$H' = \frac{c}{2} - \frac{1}{2c} (\Gamma^2 - \Gamma'^2)$$

the canonic system above may be reduced to one of two degrees of freedom and, if the motion is plane, the former may still be reduced to a system of one degree of freedom having the integral  $S = \text{const.}$  and which, therefore, can be integrated by quadratures. So far as is known to me, the actual integration has never been carried out. (9)

For the numerical calculation of the perturbation, we may make use of the following expansions:

$$S = \frac{\beta^4}{2\mu\Lambda'} + \frac{\beta'^4}{2\mu'\Lambda'^4} + \frac{k^2}{2} m_a m_b A_0 + k^2 m_a m_b \left[ \frac{B_1}{8} \left( \frac{z^2 + \eta^2}{\Lambda} + \frac{z'^2 + \eta'^2}{\Lambda'} \right) - \frac{B_2}{4} \left( \frac{z z'}{\sqrt{\Lambda\Lambda'}} + \frac{\eta \eta'}{\sqrt{\Lambda\Lambda'}} \right) - \frac{B_1}{8} \left( \frac{p^2 + q^2}{\Lambda} + \frac{p'^2 + q'^2}{\Lambda'} - \frac{2(pp' + qq')}{\sqrt{\Lambda\Lambda'}} \right) \right]$$

in which  $A_0, B_1, B_2$  are the Laplace coefficients.

In the case of  $n$ -bodies of masses  $m_1, m_2, \dots, m_n$  whose Poincaré elements are  $z_i, \eta_i$  etc. ( $i = 1, 2, \dots, n$ ), we may express  $S$  as follows:

$$S = R_0 + \sum R_2' (z_i, z_j) + \sum R_2' (\eta_i, \eta_j) - \sum R_2'' (p_i, p_j) - \sum R_2'' (q_i, q_j)$$

where

$$R_0 = \sum \frac{\beta_i^4}{2\mu_i \Lambda_i^2} + \frac{1}{2} \sum k^2 m_i m_j A_0(a_i, a_j)$$

$$R_2' (x_i, x_j) = k^2 m_i m_j \left[ \frac{B_1}{8} (a_i, a_j) \left( \frac{x_i^2}{\Lambda_i} + \frac{x_j^2}{\Lambda_j} \right) - \frac{B_2}{4} (a_i, a_j) \frac{x_i x_j}{\sqrt{\Lambda_i \Lambda_j}} \right]$$

$$\text{with } B_1(a_i, a_j) = \frac{1}{\pi} \int_0^\pi \frac{a_i a_j \cos \omega d\omega}{[a_i^2 + a_j^2 - 2a_i a_j \cos \omega]^{3/2}}$$

$$B_2(a_i, a_j) = \frac{1}{\pi} \int_0^\pi \frac{a_i a_j \cos 2\omega d\omega}{[a_i^2 + a_j^2 - 2a_i a_j \cos \omega]^{3/2}}$$

The secular perturbations in eccentricities and longitude of the perihelions are given by the canonic equations:

$$\dot{r} = -k_1 s + k_2 s', \quad \dot{s} = k_1 r - k_2 r'$$

$$\dot{r}' = -k_1' s' + k_2' s, \quad \dot{s}' = k_1' r' - k_2' r$$

where  $\zeta = \sqrt{\Lambda} e \cos \pi = r \sqrt{\Lambda'}$  approx.

$p = \sqrt{\Lambda} \sin i \cos \Omega = u \sqrt{\Lambda}$  approx.

$\eta = -\sqrt{\Lambda} e \sin \pi = -s \sqrt{\Lambda}$  approx.

$q = -\sqrt{\Lambda} \sin i \sin \Omega = u - v \sqrt{\Lambda}$  approx.

$$\text{and } k_1 = \frac{k^2 m_a m_b}{4 \Lambda} B_1 \quad k_2 = \frac{k^2 m_a m_b}{4 \Lambda} B_2$$

$$k_1' = \frac{k^2 m_a m_b}{4 \Lambda'} B_1 \quad k_2' = \frac{k^2 m_a m_b}{4 \Lambda'} B_2$$

Expressed in variables  $r, s, u, v$ , etc. the perturbation function is

$$S = \frac{\beta^4}{2\mu\Lambda^2} + \frac{\beta'^4}{2\mu'\Lambda'^2} + \frac{k^2}{2} m_a m_b A_0$$

$$+ k^2 m_a m_b \left[ \frac{B_1}{8} (r^2 + s^2 + r'^2 + s'^2) - \frac{B_2}{4} (rr' + ss') - \right.$$

$$- \frac{B_1}{8} (u^2 + v^2 + u'^2 + v'^2) + \frac{B_1}{4} (uu' + vv')$$

We may write the above as

$$k_2' (r\dot{r} + s\dot{s}) + k_2 (r'\dot{r}' + s'\dot{s}') = 0$$

whose integral is

$$k_2' (r^2 + s^2) + k_2 (r'^2 + s'^2) = c$$

$$\text{or } k_2' e^2 + k_2 e'^2 = c$$

an equation which immediately shows that the eccentricities cannot increase indefinitely, provided  $k_2'$

and  $k_2$  have the same sign as must be the case if both planets revolve in the same direction. If the former are small at a given epoch, they must stay small.

The integrals of the above linear equation are of the form

$$\begin{aligned} r &= N \cos (gt + \beta) & r' &= N' \cos (gt + \beta') \\ s &= N \sin (gt + \beta) & s' &= N' \sin (gt + \beta') \end{aligned}$$

Setting these solutions in our differential equations, we see that the condition

$$\begin{vmatrix} g - k_1 & k_2 \\ k_2' & g - k_1 \end{vmatrix} = 0$$

must be satisfied. This equation has two roots  $-g_1$  and  $g_2$  which must be real and positive provided both planets move in the same direction. If we let  $g_1 = N_1/N_1'$

and  $g_2 = N_2/N_1$ , we may write the above solutions as follows:

$$r = N_1 \cos (g_1 t + \beta_1) + N_2 \cos (g_2 t + \beta_2)$$

$$s = N_1 \sin (g_1 t + \beta_1) + N_2 \cos (g_2 t + \beta_2) \quad \text{etc.}$$

$N_1, N_2, \beta_1, \beta_2$  may be regarded as integration constants.

The following theorems follow readily,

1) If  $N_1 < N_2$ , the longitude of the perihelion has the mean motion  $g_2$ .

2) If  $N_1 > N_2$ , the perihelion has the mean motion  $\frac{1}{2}(g_1 + g_2)$

Now, the equations of motion of the orbital plane are:

$$\dot{u} = k_1(v - v'), \quad \dot{v} = -k_1(u - u'), \quad \dot{u}' = -k_1'(v - v')$$

$$\dot{v}' = k_1'(u - u')$$

$$\text{whence} \quad k_1' (u\dot{u} + v\dot{v}) + k_1 (u'\dot{u}' + v'\dot{v}') = 0$$

$$k_1' \dot{u} + k_1 \dot{u}' = 0$$

$$k_1' \dot{v} + k_1 \dot{v}' = 0$$

whence by integration we obtain immediately

$$k_1' u + k_1 u' = c_1, \quad k_1' v + k_1 v' = c_2$$

$$k_1' (u^2 + v^2) + k_1 (u'^2 + v'^2) = c_3$$

That these equations correspond to the general areal integrals can be readily shown. If now we locate the fundamental plane along the invariable plane,  $c_1 = c_2 = 0$

$$\text{so that} \quad u/u' = v/v' = -k_1/k_1'$$

$$\text{we get:} \quad \tan \Omega = \tan \Omega'$$

which is Jacobi's well-known theorem, that the nodal lines of both planets fall together on the invariable plane, which, as shown by him, holds for all powers of  $u, v$ , etc.

Again from

$$u\dot{u} + v\dot{v} = 0, \quad u'\dot{u}' + v'\dot{v}' = 0$$

we obtain the theorem that the inclination of either orbit with respect to the invariable plane is constant. The single variable to be determined is therefore that which determines the motion of the common nodal line on the invariable plane. This is given by

$$\dot{\omega} = -(k_1 + k_1')$$

and we have the theorem: the common nodal line moves backwards with uniform velocity  $k_1 + k_1'$ .

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## II) Periodic Solutions.

1) Simplest example of a rigorous solution of the three-body problem. No general integral of the three-body problem is known, but for a long time certain configurations of the three bodies <sup>have been</sup> ~~are~~ known for which rigorous solutions can be obtained. These solutions, discovered by Lagrange <sup>(1)</sup> seem to be unimportant, at first sight -- Lagrange says textually "cette recherche n'est a la verite que de pure curiosite" -- but later investigations have shown them to be of fundamental importance, because they stand in a close relationship to the singularities of the general integrals. Lagrange's solutions constitute the starting point of a family of periodic solutions of the n-body problem and may be looked upon as the simplest known periodic solutions. The latter are the only rigorous (known) solutions of the n-body problem.

Suppose that we have n bodies in a plane, so arranged that the resultant of the forces acting on each body goes through their common center of mass G and that this resultant is proportional to the distance from G, it is obvious, that the masses will stay forever in the same relative position if we give the whole system a spin around G such that the centrifugal force arising from this rotation is equal to the corresponding resultant force. This



type of solution has been often utilized in atomic models.

A similar stable configuration arises when the initial velocities of all the masses have an oblique direction with respect to the line joining the mass in question with G and are proportional to the distance of this mass from G. The problem is to find the required configuration of the masses. Let  $m_1 m_2 m_3$  (Fig. 1) be

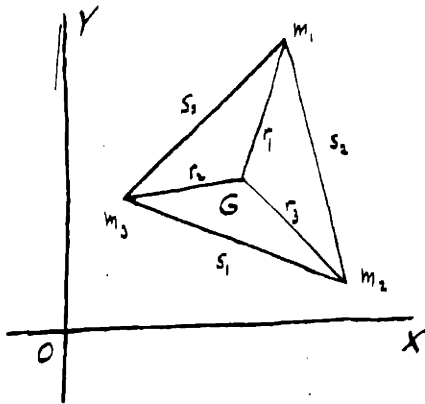


Fig. 1

the three masses;  $s_1 s_2 s_3$  their mutual distances;  $r_1 r_2 r_3$  their distances from the center of gravity. If  $X_1 X_2 X_3$  are the accelerations of  $m_1 m_2 m_3$  respectively parallel to the  $x$ -axis and  $\varphi(s)$  the law of force, we have:

$$X_1 = m_2 s_3^{-1} \varphi(s_3) (x_2 - x_1) + m_3 s_2^{-1} \varphi(s_2) (x_3 - x_1)$$

$$X_2 = m_3 s_1^{-1} \varphi(s_1) (x_3 - x_2) + m_1 s_3^{-1} \varphi(s_3) (x_1 - x_2)$$

$$X_3 = m_1 s_2^{-1} \varphi(s_2) (x_1 - x_3) + m_2 s_1^{-1} \varphi(s_1) (x_2 - x_3)$$

and likewise for  $Y_1, Y_2, Y_3$ . Since the resultant of the forces acting on  $m_1 m_2 m_3$  goes through  $G$  we have:

$$X_1 = k_1 x_1$$

$$X_2 = k_2 x_2$$

$$X_3 = k_3 x_3$$

$$Y_1 = k_1 y_1$$

$$Y_2 = k_2 y_2$$

$$Y_3 = k_3 y_3$$

The magnitudes <sup>of the</sup> resultants are:

$$k_1(x_1^2 + y_1^2)^{\frac{1}{2}} \quad k_2(x_2^2 + y_2^2)^{\frac{1}{2}} \quad k_3(x_3^2 + y_3^2)^{\frac{1}{2}}$$

and since they must be proportional to their distances to G, therefore  $k_1 = k_2 = k_3$ . Eliminating  $x_3, y_3$  we get  $x_1 : x_2 = y_1 : y_2$ , provided  $\varphi(s_2) s_2^{-1} -$

$-\varphi(s_3) s_3^{-1} \neq 0$ . If such is the case:

$$s_1 = s_2 = s_3 = \frac{(m_1 + m_2 + m_3) r}{(m_1^2 + m_2^2 + m_3^2)^{\frac{1}{2}}}$$

In particular, if the force is Newtonian, it is readily seen that each mass describes a conic, of which one of the foci lies at the common center of mass. The masses are at the vertices of an equilateral triangle, and if the conic is <sup>a</sup> parabola or hyperbola, their mutual distances may increase indefinitely. Exceptionally the three masses may lie on a straight line.

Following Gylden, we shall call these points in which there is a Lagrangian rigorous solution of the three-body problem, "librations centers".

2) Periodic solutions in the vicinity of libration centers. The general solutions of the three-body problem, containing the required number of arbitrary constants <sup>(2)</sup> is as yet unknown. Nevertheless various particular solutions, containing less than  $n$  arbitrary constants, have been found. Periodic solutions of this type play a predominant part in recent astronomical investigations, but it is only quite recently that their application to atomic systems has been considered. The investigation of these periodic orbits is now in fact so far developed that it can even serve for numerical computation of orbits.

Periodic solutions of the type we are about to consider were introduced by G. W. Hill <sup>(3)</sup> and their general theory was first developed by H. Poincaré, who applied to this task all the powerful mathematical equipment which stood at his disposal. His first memoir on this subject dates back to 1889 <sup>(4)</sup> and a good deal of his classical work "Les Méthodes nouvelles de la mécanique céleste" is devoted to the consideration of this problem.

Periodic orbits are defined by the statement that they are such that the configuration of the system of  $n$  bodies repeats itself at fixed intervals. <sup>(5)</sup> They are usually divided into two classes: In the first class, the departures from the initial configuration is infinitely

small, in the second class, the former are finite.

Periodic orbits of the second class can be found in general for any continuous region of space. Periodic solutions of the first class on the other hand, occur only for certain quite particular configurations of the bodies. Orbits of the latter class are of course easier to find and handle analytically.

Consider the special case of the three-body problem in which the mass of one of the three bodies is infinitesimal and besides both finite masses move in a circle around the common center of gravity with uniform velocity. Such a case is known in astronomy as "the asteroidal three-body problem". (French: "Problème restreint"). Although of restricted importance in connection with atomic problems, we shall discuss it briefly for the sake of illustration.

Let  $m_1$  and  $m_2$  be the masses of the sun and planet respectively,  $P$  the asteroid (planetoid),  $r_1, r_2$  distances of  $m_1, m_2$  from center of gravity  $G$ , respectively. We place

$$\mu = m_2 / m_1 < 1$$

and have,  $r_1 + r_2 = 1$

$$r_1 = \mu r_2$$

Further choose the time unit so that the gravitational constant is 1. The time for a revolution of  $m_1$  and  $m_2$  around  $G$ , is  $T = 2\pi / \sqrt{1+\mu}$  and the angular velocity is  $n = \sqrt{1+\mu}$ . If, as above, the asteroid moves in the plane of  $m_1, m_2$ , we have, choosing  $G$  for origin of

coordinates and referring the cartesian coordinates of P (x,y) to axes rotating at a constant velocity n we have the equations of motion of P:

$$\ddot{x} - 2n\dot{y} - n^2x = -\frac{\partial V}{\partial x}$$

$$\ddot{y} + 2n\dot{x} - n^2y = -\frac{\partial V}{\partial y}$$

where  $V = \frac{-1}{[(x-r_1)^2 + y^2]^{1/2}} - \frac{\mu}{[(x+r_2)^2 + y^2]^{1/2}} = -\frac{1}{p_1} - \frac{\mu}{p_2}$

after an easy algebraic transformation, writing

$$\Omega = \frac{1}{2} \left[ p_1^2 + \frac{2}{p_1} + \mu \left( p_2^2 + \frac{2}{p_2} \right) \right]$$

we see at once that the above equations admit the Jacobi integral

$$\dot{x}^2 + \dot{y}^2 = 2\Omega - C$$

where C is Jacobi's constant.

Placing now

$$q_1 = x, \quad q_2 = y, \quad p_1 = \dot{x} - ny, \quad p_2 = \dot{y} + nx$$

$$H = \frac{1}{2} \left[ p_1^2 + p_2^2 + 2n(p_1 q_2 - p_2 q_1) \right] + V \text{ (Hamilton function)}$$

we get the equations of motion in the canonic form:

$$\dot{q}_1 = \frac{\partial H}{\partial p_1}, \quad \dot{p}_1 = -\frac{\partial H}{\partial q_1}, \quad \dot{q}_2 = \frac{\partial H}{\partial p_2}, \quad \dot{p}_2 = -\frac{\partial H}{\partial q_2}$$

If  $x = a$ ,  $y = b$  are the coordinates of an arbitrary point which does not coincide either with  $m_1$  or  $m_2$ , we

see that  $\Omega$  and all its derivatives with respect to  $x$  and  $y$  can be expanded in positive powers of  $x - a$  and  $y - b$ . If  $x - a$  and  $y - b$  are sufficiently small, the expansion will involve terms of lower powers only. We now investigate the existence of points enjoying the property that, points in their immediate neighborhood admit periodic solutions of our differential equations, so that  $P$  can stay forever in the vicinity of these points, because it moves along a path which repeats itself after fixed intervals of time. Let  $x = a + \xi$ ,  $y = b + \eta$ . From the equations obtained by using  $\Omega$  instead of  $V$ , we have:

$$\begin{aligned}\ddot{\xi} - 2\eta\dot{\xi} &= - \left( \frac{\partial \Omega}{\partial a} + \xi \frac{\partial^2 \Omega}{\partial a^2} + \eta \frac{\partial^2 \Omega}{\partial a \partial b} + \dots \right) \\ \ddot{\eta} - 2\xi\dot{\eta} &= - \left( \frac{\partial \Omega}{\partial b} + \xi \frac{\partial^2 \Omega}{\partial a \partial b} + \eta \frac{\partial^2 \Omega}{\partial b^2} + \dots \right)\end{aligned}$$

Following our initial assumptions, we neglect higher powers of  $\xi$  and  $\eta$ . In order that this may be so, we must have

$$\frac{\partial \Omega}{\partial a} = \frac{\partial \Omega}{\partial b} = 0$$

and the point  $(a, b)$  is determined by these equations.

We have  $\rho_1^2 = (a - r_1)^2 + b^2$ ,  $\rho_2^2 = (a + r_2)^2 + b^2$

whence  $\frac{\partial \Omega}{\partial \rho_1} \frac{a - r_1}{\rho_1} + \frac{\partial \Omega}{\partial \rho_2} \frac{a + r_2}{\rho_2} = \frac{\partial \Omega}{\partial a} = 0$

$$\frac{\partial \Omega}{\partial \rho_1} \frac{b}{\rho_1} + \frac{\partial \Omega}{\partial \rho_2} \frac{b}{\rho_2} = \frac{\partial \Omega}{\partial b} = 0$$

In order that these may be satisfied, we must have either

$$\frac{\partial \Omega}{\partial \rho_1} = \frac{\partial \Omega}{\partial \rho_2} = 0 \quad (1)$$

$$\text{or} \quad \left| \begin{array}{cc} \frac{a - r_1}{\rho_1} & \frac{a - r_2}{\rho_2} \\ \underline{b} & \underline{b} \end{array} \right| = - \frac{b}{\rho_1 \rho_2} (r_1 + r_2) = - \frac{b}{\rho_1 \rho_2} \quad (2)$$

Equations (1) yield  $\rho_1 - \rho_1^{-1} = \rho_2 - \rho_2^{-1} = 0$

$$\text{or} \quad \rho_1 = \rho_2 = 1$$

and the point  $(a, b)$  lies at the vertex of an equilateral triangle whose side is equal to  $m_1 m_2$ . In calculating the roots of (2) we must distinguish three cases

$$1) \quad -r_2 < a < r_1$$

$$2) \quad a < -r_2$$

$$3) \quad r_1 < a.$$

$\rho_2$  or  $\rho_1$  are then determined by the quintics

$$1) \quad (1 + \mu) \rho_2^5 - (3 + 2\mu) \rho_2^4 + (3 + \mu) \rho_2^3 - \mu \rho_2^2 + 2\mu \rho_2 - \mu = 0$$

$$2) \quad (1 + \mu) \rho_2^5 + (3 + 2\mu) \rho_2^4 + (3 + \mu) \rho_2^3 - \mu \rho_2^2 - 2\mu \rho_2 - \mu = 0$$

$$3) \quad (1 + \mu) \rho_1^5 + (2 + 3\mu) \rho_1^4 + (1 + 3\mu) \rho_1^3 - \rho_1^2 - 2\rho_1 - 1 = 0$$

(6)

For  $\mu$  very small, the roots are

$$1) \quad \rho_2 = (\mu/3)^{1/3}, \quad 2) \quad \rho_2 = (\mu/3)^{1/3}, \quad 3) \quad \rho_1 = 1 - 7\mu/12.$$

Now, if we compare the quintics just obtained with

the equation which determines the relative position of the three bodies in the collinear case of Lagrange's solution <sup>(7)</sup> we see at once that points where vicinity periodic solutions can be found fall together with the points for which a rigorous Lagrangian solution can be found.

We close this paragraph with a summary investigation of the curve  $2\Omega - e = 0$ . From Jacobi's integral

$$\dot{x}^2 + \dot{y}^2 = 2\Omega - c$$

we see <sup>that</sup> the point  $P$  can move only in that part of the plane for which  $2\Omega - c > 0$ . If the curve  $2\Omega - c = 0$  is open, it divides the real plane into two parts and motion is possible only in one of them. If it is closed, or has one or more closed branches, motion can take place only within such bounded regions of the plane.  $2\Omega - c = 0$  is therefore called the "limiting curve" or also, "Hill's curve". It is very important in discussions connected with the stability of a dynamic system. A discussion and calculation of Hill's curves was given first by Darwin <sup>(8)</sup> also by Bohlin <sup>(9)</sup>. For any given  $\mu$  the curve depends only on the parameter  $c$ . If  $c < 0$  then evidently  $2\Omega - c \neq 0$ .  $c$  has therefore a positive minimum value and if  $c$  is smaller than this minimum, there exists no limiting curve. This minimum is  $c_{\min} = 3(1 + \mu)$  <sup>(10)</sup>. The limiting curves for  $\mu = 0.1$  are shown in Fig. 2. where



$L_1, L_2, L_3$  etc. are the libration centers.

From the fact that the equations for  $\xi, \eta$  are linear with constant coefficients in the neighborhood of the libration centers, it follows that they admit solutions

$$\xi = A e^{\lambda t} \qquad \eta = B e^{\lambda t}$$

and the equation determining  $\lambda$  is

$$\begin{vmatrix} \lambda^2 - \frac{\partial^2 \Omega}{\partial a^2} & -2n\lambda + \frac{\partial^2 \Omega}{\partial a \partial b} \\ 2n & \lambda^2 - \frac{\partial^2 \Omega}{\partial b^2} \end{vmatrix} = 0$$

The nature of the motion depends on the value of the roots. If  $\lambda^2$  is real and negative, periodic solutions exist.

The investigation of periodic solutions in the vicinity of the masses  $m_1$  and  $m_2$  is complicated by the fact that the potential function cannot be expanded there in powers of distances. This case presents scanty interest in those applications in which we are mainly concerned and will not be considered here.

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Periodic Solutions of the First, Second and  
Higher Classes

In his classical investigation of periodic solutions of the dynamical equations, Poincare starts with a consideration of the osculating elements and is thereby led to the consideration of the following three classes of periodic solutions of the three-body problem:

1) For the first class, the inclinations with respect to the invariable plane are zero and the eccentricities vanish for vanishing perturbations.

2) For the second class, the inclinations likewise vanish, but the eccentricities are finite for vanishing mass.

3) For the third class, neither the inclinations nor the eccentricities vanish.

Solutions of the first class are distinguished from those of the second class by an essential point: Suppose we consider two planets moving uniformly in circular paths around the main mass, then the motion of these three masses is always periodic and its period is equal to the synoidal time of both planets. Matters are different if the planets move in elliptical orbits around the central body. The motion can also be here periodic, but only under the condition that the mean motions of both planets shall be commensurable.

In many cases, Poincare proceeds as follows:

Suppose that for  $\mu = 0$  the inclinations are zero but the eccentricities are finite. The question is now: when does a system of three bodies, of which two move in given Keplerian orbits around the third, make up a periodic system? Evidently such is the case when the mean motions of the two planets are commensurable. Then the motion is always periodic. Let  $n/n' = p/q$  where  $p$  and  $q$  are prime integers. If  $N$  is the greatest common divisor of  $p$  and  $q$ , the period  $T$  is  $2\pi/N$ . Now, when do periodic motions of the same period  $T$  exist when  $\mu \neq 0$ ? We have seen already how the general equations of the three-body problem can be reduced to a system of three degrees of freedom. Taking the canonic elements as

$$\begin{aligned} L &= \beta \sqrt{a} & l &= \text{mean anomaly of } m, \\ L' &= \beta' \sqrt{a'} & l' &= \text{ " " " } m', \\ K &= \beta \sqrt{a(1-e^2)} & K &= \pi - \pi' \end{aligned}$$

The perturbation function in terms of these elements will be called  $P$ . We have

$$P = P_0 + \mu P_1 + \mu^2 P_2 + \dots$$

In our previous notations:

$$P_0 = \frac{\beta^2 m}{2L^2} + \frac{\beta'^2 m'}{2L'^2} \tag{1}$$

$$\text{and } P_1 = \sum A \cos (il + i'l' + jK)$$

where the A's depend only on L, L' and K. Our canonic equations are

$$\begin{aligned}\dot{L} &= \frac{\partial F}{\partial l}, & \dot{L}' &= \frac{\partial F}{\partial l'}, & \dot{K} &= \frac{\partial F}{\partial K}, & \dot{l} &= -\frac{\partial F}{\partial L} \\ \dot{l}' &= -\frac{\partial F}{\partial L'}, & \dot{K}' &= -\frac{\partial F}{\partial K}\end{aligned}$$

We investigate periodic solutions of these equations of period T. By Poincaré's theorem we must have:

$$H(P_0) = \frac{q \beta \beta' m m'}{L^4 L'^4}$$

and besides

$$\frac{\partial [P_1]}{\partial l} = \frac{\partial [P_1]}{\partial l'} = \frac{\partial [P_1]}{\partial K} = 0, \quad \frac{\partial [P_1]}{K} = 0$$

We can easily find, if  $l_0, l'_0$  are the value of  $l, l'$  for  $t = 0$ .  $\mu = 0$

$$[P_1] = \sum A \cos [s(q l_0 - p l'_0) + j k_0] \quad s = 1, 2, 3, \dots$$

So that our conditions are

$$\begin{aligned}\sum s q A \sin [s(q l_0 - p l'_0) + j k_0] &= 0 \\ \sum s p A \sin [s(q l_0 - p l'_0) + j k_0] &= 0 \\ \sum j A \sin [s(q l_0 - p l'_0) + j k_0] &= 0\end{aligned}$$

$p$  and  $q$  are given numbers, so the first and second of these equations are identical, and we may choose one of the two quantities  $l_0, l'_0$  arbitrarily. We put  $l'_0 = 0$ , i.e. the mass  $m'$  is at its perihelion at  $t = 0$ . The equations to be satisfied are now:

$$\sum s A \sin (sq l_0 + j k_0) = 0$$

$$\sum j A \sin (sq l_0 + j k_0) = 0$$

which are satisfied for  $k_0, l_0 = i\pi$  or 0, where  $i$  is an integer. Geometrically, this means that at  $t = 0$  and for  $\mu = 0$ , both bodies are either in conjunction or in opposition on the line of apsides, which obviously has the same direction for both planets. The longitudes of the perihelions can either fall together or differ by  $180^\circ$ . Poincaré refers to this case as "symmetrical conjunction" or opposition. To be sure this is not the general solution, for Schwarzschild <sup>(2)</sup> first pointed out that, in order that our equations be satisfied, it is not necessary that  $l_0$  be a multiple of  $(180^\circ)$  but only that  $q l_0$  be such a multiple. We have then the solutions

$$\begin{aligned} \alpha) \quad k_0 = \pi - \pi' = 0 & \quad l_0 = \frac{r}{q} 180^\circ \\ ) \quad k_0 = \pi - \pi' = 180^\circ & \quad l_0 = \frac{r}{q} 180^\circ \end{aligned}$$

where  $r = 0, 1, 2, \dots, 2q - 1$ . In each one of these cases there are  $2q$  values of  $l_0$  which together make up a periodic solution. These  $4q$  values of  $l_0$  do not have to be all different. For example if  $n/n' = 1/\xi$ ,  $q = s$  and  $l_0$  may have any one of the values.

$$l_0 = 0, 60^\circ, 120^\circ, 180^\circ, 240^\circ, 300^\circ.$$

In closing this paragraph we shall mention two important theorems, for a proof of which the reader is referred to either Poincaré or Charlier. These are:

1) In any periodic solution of the second kind of the asteroidal (restricted) three-body problem, the perihelion of the small planet is fixed.

2) The eccentricity of the orbit of the small planet is always smaller than that of the perturbing planet.

Periodic solutions of the third class arise also for  $\mu = 0$ , when the mean motions  $n$  and  $n'$  are commensurable. An important point in this connection which to the writer's knowledge was first pointed out by Charlier (3) is that no circular periodic orbits exist for  $\mu = 0$ .

In Poincaré's investigation, periodic orbits corresponding to large values of  $\mu$  are excluded from the start. It should be borne in mind that  $\mu$  is a factor in the expressions for the secular variations of perihelions and nodes of the periodic orbits, and therefore the expansion in powers of  $\mu$  is likewise at the same time in powers of  $t$ . Therefore we cannot expect to obtain in this way such periodic orbits for which the period  $T$  is larger than a certain maximum  $T_0$ .

Again, we have assumed with Poincaré that the period  $T$  is the same for  $\mu = 0$  and for  $\mu \neq 0$ . A more general solution would be, if  $T_\mu$  is the period for  $\mu \neq 0$  and  $T_0$  that for  $\mu = 0$ , obtained by putting  $T_\mu = f(\mu)$  such that  $\lim_{\mu \rightarrow 0} T_\mu = T_0$ .

As pointed out by Poincaré (4), the importance of periodic solutions ~~lies~~ in the fact that they can be used as

the starting point of a method of successive approximations and also has opened up the way to the analytic investigation of the nature of the integrals of the n-body problem. The fundamental memoirs of Poincaré are a precious source of information to the mathematician, the astronomer and the physicist as well. Indeed it might be said that the interest of such solutions, since the advent of Bohr's theory, has moved over from the astronomer to the physicist. It is in this field that their most important applications ought to be found.



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Behr's Atomic Model from the Standpoint of the  
General Theory of Relativity and of the  
Calculus of Perturbations  
by M. S. Vallarta.  
Abstract

I. In the first part of this thesis, Behr's atomic model is studied in detail from the standpoint of the general theory of relativity. A) Lenard's arguments: 1) that the theory of relativity stands in no causal relationship to Sommerfeld's theory of fine structure, and 2) that it is not clear why the restricted theory of relativity is sufficient to account for the motion of the electron in the atom, whereas the general theory is required to explain the anomaly of the perihelion of Mercury, are examined at length. The former objection naturally leads to an examination of the more general question: Does the metric of space-time stand in a causal relationship to the advance of the perihelion of Mercury? It is shown that

$\alpha$ ) The Newtonian equations of dynamics are obtained from the geodesic equations in a four-dimensional continuum by cancellations which, so far as our analysis can show, are purely arbitrary.

$\beta$ ) Complementing Le Reux's and Painleve's objec-

tions to the arbitrariness of Schwarzschild's solution of Einstein's gravitational equations  $G_{\mu\nu} = 0$  in a static sphere-symmetrical gravitational field, it is proved that Schwarzschild's result is arrived at by a series<sub>of</sub> suitable restrictive arbitrary assumptions. There exist in fact an infinite number of solutions of the gravitational equation consistent with the definition of static, spherical and symmetrical field and the choice of the Schwarzschildian form must be regarded as purely arbitrary. It follows that Einstein's equation is insufficient to fix uniquely the relativistic Keplerian orbit.

γ) Von Sleich's and Zaremba's analysis are discussed in detail and found to hold. The argument may be summed up as follows: For motions in a central field the dynamic equations of classical mechanics must be supplemented by a "relativistic" equation, but this last equation is arbitrary because several forms of this equation lead to the same formula for the perihelion advance. Hence it cannot be maintained that the metric of space-time stands in a necessary causal relationship to the anomaly of the perihelion. The general conclusion is that Lenard's first objection holds.

2) Using Nordström's fundamental form in a static sphere-symmetrical material field and Weyl-Eddington's equation of motion of the electron in this field it is

shows:  $\alpha$ ) that the motion is plane,  $\beta$ ) that the curvature of the space-time in the region around the nucleus almost vanishes (Laue's condition),  $\gamma$ ) that the field of the nucleus is very near static,  $\delta$ ) that it is justified to treat hydrogen or hydrogenic atoms as a relativistic one-body problem,  $\epsilon$ ) that our more general equation of the orbit reduces to Sommerfeld's equation if conclusions  $(\alpha)$  to  $(\delta)$  are taken into account,  $\zeta$ ) that Einstein's equation for planetary motion follows from our equation of the orbit if the terms depending on electric charges vanish,  $\theta$ ) that condition  $(\beta)$  is not satisfied for planetary motion but condition  $(\gamma)$  is.  $\eta$ ) that the general relativistic Keplerian orbit can be obtained by the familiar method of the calculus of perturbations and yields results in accordance with rigorous analysis. In closing, the weakness of the Weyl-Eddington equation of motion is discussed.

B. An attempt is made to bring the quantum theory into relativity. Following on the work of Mecke, it is shown that the two fundamental postulates of the quantum theory: minimal principle, and continuity principle can be brought in harmony with relativity and lead to the following results:  $\alpha$ ) an explanation of the existence of unmechanical orbits in the atom,  $\beta$ ) a unified interpretation of Bohr's second postulate (monochromatic radia-

tion) and his principle of correspondence. Mecke's "integral principle" (atomistic conception of action) can also be brought into the body of the theory of relativity, but, if it is to have any usefulness, privileged coordinate systems must be introduced. On the author's view, this is due entirely to the artificiality of our present quantum conditions and shows no weakness of the main argument. The fundamental meaning of the relativistic two-body problem, in which probably the periodic solutions are the natural quantized states, irrespective of any quantum rules, is brought out. It is shown next that the electron problem is not yet sufficiently developed to warrant an attack on the quantum problem from that side. In closing, Mehrovicic's objection that "different geometries hold at the same spot of the universe" (ex. atom in gravitational field) examined and found to be untenable.

II. A. In the second part of this investigation, the applicability of the calculus of perturbations to the Bohr atom with more than one electron is studied in detail. The methods of quantization of Epstein, Bohr and Born and Pauli are examined. It is shown that it follows directly from the form of the integrals of the equations of dynamics, as determined by the classical theorems of Poincaré and Bruns, that neither of these methods can converge. In Epstein's case nothing is known

as to the remainder, and he disregards altogether a possible libration of the momenta of the intermediate orbit, a case which cannot be handled by Delaunay's method, which he uses. The contention of Born and Pauli, that the semi-convergency of the Newcomb-Lindstedt or Bohlén expansion of the perturbation function is sufficient for practical atomic problems, is shown to be untenable. Stress is laid on the fundamental significance of commensurabilities in periodic times in these methods that utilize the Newcomb-Lindstedt expansion and the impossibility of introducing angle variables in certain cases. For continuity reasons, it is concluded that neither the solution of Epstein nor that of Born and Pauli are compatible with the adiabatic hypothesis or the correspondence principle. The decisive advantages of periodic solutions and the appropriateness of Behr's method as a first approximation, in those cases to which it applies, is brought out clearly. The general conclusions: For purely analytic reasons, the calculus of perturbations is not in general applicable to the atomic system with more than one electron.

B. Following Fermi, Poincaré's theorem is discussed from a geometrical-statistical standpoint. We establish the following theorem: Except for the dynamical system of one degree of freedom, no continuous analytic hypersurface exists in phase-space, besides the energy-surface.

which can wholly contain a dynamical trajectory issued from one of its points. This holds under conditions which are explicitly stated.

C. An attempt is made to extend the adiabatic theorem to non-conditioned-periodic systems. The method of attack is geometrical-statistical and the result is in the main negative. We establish the following theorems:  $\alpha$ ) Any dynamical system admitting a single integral, uniform and independent of time, admits an adiabatic transformation leaving this characteristic adiabatically invariant. As a particular corollary we have the well-known theorem that the energy of a quasi-ergodic system is adiabatically invariant, and the adiabatic invariant of harmonic motion.  $\beta$ ) If a dynamical system admits more than one integral uniform and independent of time, none of these integrals is in general an adiabatic invariant. An exception is, for instance, the phase-integrals of a conditioned periodic system.

The results of Krutkow and Fock and Kneser are analyzed and discussed. In closing, the difficulties standing in the way of a generalization of the concept of adiabatic invariance to systems of higher degree of freedom are discussed, following Ehrenfest. It is pointed out that the theorem of the conservation of dimensions for the transformation from  $W$ ,  $\mathfrak{F}$ -phase-space to

p,q-phase-space involves only differentiability conditions, which for physical reasons are satisfied in all likelihood, but no rigorous proof is given. Ehrenfest and Breit's example of the rotating dipole is explained from the standpoint of our general discussion.

D. A discussion is given of the separation of variables in Hamilton-Jacobi's equation, embodying the condition of separability of Levi-Civita, the latter's theorem on the separability of the variables in the kinetic energy and Dall'Acqua's proof of the separable forms of the potential.

E. For purposes of ready reference, Cauchy's existence proof and its generalization by Poincaré, Poincaré's periodic solution, the convergency of the expansion of the perturbation function and the theorems of Poincaré and Bruns are given at the end.

III. An appendix is added collecting certain classical results of celestial dynamics which are made use of in the text.